## Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: **NEW ASSIGNMENT** NATURE OF CONVEYANCE: **ASSIGNMENT** 

#### **CONVEYING PARTY DATA**

Name	Execution Date
FileNet Corporation	08/23/2007

#### **RECEIVING PARTY DATA**

Name:	International Business Machines Corporation
Street Address:	New Orchard Road
City:	Armonk
State/Country:	NEW YORK
Postal Code:	10504

#### PROPERTY NUMBERS Total: 38

Property Type	Number
Patent Number:	6338074
Patent Number:	7010602
Patent Number:	6560639
Patent Number:	7003528
Patent Number:	6028938
Patent Number:	7213022
Application Number:	10328072
Application Number:	60074684
Application Number:	11280726
Application Number:	60018675
Application Number:	10441240
Application Number:	10441241
Application Number:	60441512
Application Number:	10745463
Application Number:	10745346
	DATENT

PATENT

**REEL: 020166 FRAME: 0855** 

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Application Number:	10745474
Application Number:	60505017
Application Number:	60441429
Application Number:	10758954
Application Number:	10758953
Application Number:	11363946
Application Number:	11741688
Application Number:	10887806
Application Number:	10964694
Application Number:	60620090
Application Number:	11251831
Application Number:	11255534
Application Number:	11254604
Application Number:	11317712
Application Number:	11401994
Application Number:	60801185
Application Number:	11544872
Application Number:	11546542
Application Number:	11539982
Application Number:	11615726
Application Number:	11615816
Application Number:	11615842
Application Number:	11615853

#### **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER:	FILENETASSIGNTOIBM
NAME OF SUBMITTER:	Patricia McLaughlin

Total Attachments: 6

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## PATENT ASSIGNMENT

WHEREAS, FileNet Corporation, a Delaware corporation (referred to as "Company"), has ownership of the patent applications listed on Schedule A hereto, all patents which may issue therefrom, and all divisionals, reissues, substitutions, continuations, re-examinations and extensions thereof which may be pending in or granted by any patent office or forum throughout the world (referred to as the "Patents") and whereas Company owns all inventions disclosed and claimed in the Patents ("Inventions");

WHEREAS, International Business Machines Corporation, a New York corporation (referred to as "IBM"), desires to acquire the entire right, title and interest in and to the Inventions and Patents; and

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Company hereby transfers and assigns to IBM free and clear of all liens or other encumbrances, and IBM hereby accepts the transfer and assignment of, the entire right, title, and interest of Company in and to the Patents and Inventions, and all rights of priority resulting from the filing of the Patents; and Company hereby authorizes and requests the Commissioner of Patents and any other relevant authority to issue all patents related to the Patents to IBM as assignee of Company's entire right, title and interest therein.

Company also hereby transfers and assigns to IBM, and IBM hereby accepts the transfer and assignment of, any foreign rights to the Inventions and Patents, in all countries of the world, including the right to file applications, prosecute, and obtain patents under any treaty including the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, and of the European Patent Convention. Company agrees that this executed document is recordable evidence of such transfer and assignment and further agrees to perform at IBM's expense, upon reasonable notice, such lawful acts and to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such foreign patent rights.

Upon the written request of IBM, Company hereby further agrees that Company will sign all lawful papers, execute all divisional, continuation, reissue, re-examination, and substitute applications, make all lawful oaths, and provide reasonable assistance to aid IBM, its successors, assigns and nominees to facilitate the prosecution and maintenance of the Patents in all countries of the world.

This transfer and assignment is effective as of July 1, 2007.

IN WITNESS WHEREOF, the parties hereto have executed this Patent Assignment by its respective duly authorized officer in their respective corporate names.

FileNet Corporation	International Business Machines
	Corporation
Il Mala Co	Will Ratorta
By: Frederick P. Dillon	By: William R. LaFontaine, Jr.
	•

Title: WW Sales Operations Executive Enterprise Content Management Title: Vice President Business Development & Licensing

Date: 8 - 13 - 07 Date:  $8 \cdot 23 - 07$ 

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### PATENT ASSIGNMENT FILENET CORPORATION TO INTERNATIONAL BUSINESS MACHINES SCHEDULE A

Patent Number	Issue Date	Application No.	Filing Date	Title	Country	Owner
6338074	01/08/2002	08/899408	07/23/1997	System for Enterprise-wide work flow automation	US	FileNet Corporation
7010602	03/07/2006	09/989833	11/19/2001	Multilevel Queuing System for Distributing Tasks in an Enterprise-Wide Work Flow Automation	US	FileNet Corporation
6560639	05/06/2003	09/249061	02/12/1999	System for Web Content Management based on Server-Side Application	US	FileNet Corporation
7003528	02/21/2006	10/354909	01/29/2003	Method and System for Web Management	US	FileNet Corporation
6028938	02/22/2000	08/760002	12/03/1996	Secure Electronic Forms Permitting Layout Revision	US	FileNet Corporation
7213022	05/01/2007	10/834100	04/29/2004	Enterprise Content Management Network-Attached System	US	FileNet Corporation

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# PATENT ASSIGNMENT FILENET CORPORATION TO INTERNATIONAL BUSINESS MACHINES SCHEDULE A

Application No.	Filing Date	Title	Country	Owner
10/328072	12/23/2002	System for Enterprise-Wide Work Flow Automation	US	FileNet Corporation
60/074684	02/13/1998	System and Method of Web Management	US	FileNet Corporation
11/280726	11/16/2005	System and Method of Web Management	US	FileNet Corporation
60/018675	05/30/1996	Secure Electronic Forms Permitting Layout Revision	US	FileNet Corporation
10/441240	05/19/2003	System and Method of Processing an Electronic Form Using Layered Aspects	US	FileNet Corporation
10/441241	05/19/2003	System and Method of Implementing Calculated Fields in an Electronic Form	US	FileNet Corporation
60/441512	01/17/2003	Methods and System for Workflow	US	FileNet Corporation
10/745463	12/23/2003	Component Integrator	US	FileNet Corporation
10/745346	12/23/2003	System and Method for Directing Content Entry	US	FileNet Corporation
10/745474	12/23/2003	System and Method for Accessing Non-Compatible Content Repositories	US	FileNet Corporation
60/505017	09/17/2003	Methods and System for Workflow	US	FileNet Corporation
60/441429	01/17/2003	Methods and System for Web Content Management	US	FileNet Corporation
10/758954	01/16/2004	System and Method of Managing Web Content	US	FileNet Corporation
10/758953	01/16/2004	Content Manager Integration	US	FileNet Corporation
11/363946	03/01/2006	Automated Records Management with Enforcement of a Mandatory Minimum Retention Period	US	FileNet Corporation
11/741688	04/27/2007	Enterprise Content Management Network- Attached system	US	FileNet Corporation
PCT/US05/013588	04/22/2005	Enterprise Content Management Network- Attached System	WO	FileNet Corporation

## PATENT ASSIGNMENT FILENET CORPORATION TO INTERNATIONAL BUSINESS MACHINES SCHEDULE A

2563879	10/13/2006	Enterprise Content	CA	FileNet Corporation
		Management Network-Attached System		
05737497.7	10/23/2006	Enterprise Content Management Network-Attached System	EP	FileNet Corporation
2007510807	10/16/2006	Enterprise Content Management Network-Attached System	JP	FileNet Corporation
10/887806	07/12/2004	Active and Contextual Risk Management Using Risk Software Objects	US	FileNet Corporation
PCT/US05/015257	05/03/2005	Active and Contextual Risk Management Using Risk Software Objects	WO	FileNet Corporation
200580023595.1	01/12/2007	Active and Contextual Risk Management Using Risk Software Objects	CN	FileNet Corporation
2007521459	01/11/2007	Active and Contextual Risk Management Using Risk Software Objects	JP	FileNet Corporation
10/964694	10/15/2004	Automated Records Management Based on Business Process Management	US	FileNet Corporation
60/620090	10/19/2004	Team Collaboration System with Business Process Management and Records Management	US	FileNet Corporation

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# PATENT ASSIGNMENT FILENET CORPORATION TO INTERNATIONAL BUSINESS MACHINES SCHEDULE A

SCHEDULE A				
11/251831	10/18/2005	Team Collaboration System with Business Process Management and Records Management	US	FileNet Corporation
11/255534	10/19/2005	Record Authentication and Approval Transcript	US	FileNet Corporation
11/254604	10/19/2005	Capturing the result of an Approval Process/Workflow and declaring it a record	US	FileNet Corporation
11/317712	12/23/2005	Dynamic Holds of Record Dispositions During Record Management	US	FileNet Corporation
11/401994	04/11/2006	Multiple Alternate Retention Combination in a Records Management System	US	FileNet Corporation
60/801185	05/17/2006	Active Storage and Retrieval Systems and Methods	US	FileNet Corporation
11/544872	10/09/2006	Active Storage and Retrieval Systems and Methods	US	FileNet Corporation
11/546542	10/10/2006	Automated Records Management with Hold Notification and Automatic Receipts	US	FileNet Corporation
11/539982	10/10/2006	Leveraging Related Content Objects in a Records	US	FileNet Corporation

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### PATENT ASSIGNMENT FILENET CORPORATION TO INTERNATIONAL BUSINESS MACHINES SCHEDULE A

**RECORDED: 11/28/2007** 

		Management System		
11/615726	12/22/2006	Advanced Records Security Management	US	FileNet Corporation
11/615816	12/22/2006	Multiple RM Disposition Schedules Per Category	US	FileNet Corporation
11/615842	12/22/2006	Development, Production, Multi- System Fileplan Import/Sync	US	FileNet Corporation
11/615853	12/22/2006	Physical to Electronic Record Content Management	US	FileNet Corporation

**PATENT** 

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**REEL: 020166 FRAME: 0863**