

# PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
Name		Execution Date
Scott Patrick Trease		11/26/2007
RECEIVING PARTY DATA		
Name:	Sapere Wealth Management, LLC	
Street Address:	130 Matthews Station Street	
City:	Matthews	
State/Country:	NORTH CAROLINA	
Postal Code:	28105	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	11946429	
CORRESPONDENCE DATA		
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<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>		
Phone:	919-854-1400	
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ATTORNEY DOCKET NUMBER:	9678-2	
NAME OF SUBMITTER:	Michele P. McMahan	
Total Attachments: 2		
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PATENT  
REEL: 020170 FRAME: 0453

**ASSIGNMENT**

THIS ASSIGNMENT, made by me, Scott Patrick Trease, a citizen of the United States of America, residing at 1211 Rosehill Drive, Waxhaw, North Carolina 28173;

WITNESSETH: That,

WHEREAS, I am the sole inventor of certain new and useful improvements in **METHODS, SYSTEMS, AND COMPUTER PROGRAM PRODUCTS FOR PROVIDING LOW RISK PORTABLE ALPHA INVESTMENT INSTRUMENTS** for which an application has been filed, or is being concurrently filed, in the United States Patent and Trademark Office. I hereby authorize and request Myers Bigel Sibley & Sajovec, P.A., to insert here in parentheses (Application No. \_\_\_\_\_, filed \_\_\_\_\_) the filing date and application number of said application when known or to file this Assignment concurrently with the application; and

WHEREAS, Sapere Wealth Management, LLC, a North Carolina corporation having a principal place of business at 130 Matthews Station Street, Matthews, North Carolina, hereinafter referred to as assignee, is desirous of acquiring the entire right, title and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues or other forms of protection thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted along with any term extensions thereon or therefor, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as

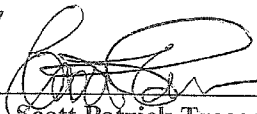
fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

I hereby request that said Letters Patent be issued in accordance with this assignment.

I further covenant and agree that, at the time of the execution and delivery of these presents, I possess full title to the invention and application above-mentioned, and that I have the unencumbered right and authority to make this assignment.

I further covenant and agree to communicate to said assignee or its representatives any facts known to me relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives; and I hereby instruct, and further covenant and agree to bind my heirs, legal representatives, and assigns, to do same, without further compensation, but at the expense of said assignee or its representatives.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 26 day of November, 2007

  
\_\_\_\_\_  
Scott Patrick Trease (SEAL)

STATE OF North Carolina  
COUNTY OF Union ) ss:

Before me personally appeared the person described in and who executed the foregoing instrument, and s/he acknowledged to me that s/he executed the same for the purposes therein stated, this 26 day of November, 2007

  
\_\_\_\_\_  
Notary Public

SEAL  
My Commission Expires: Jan. 6, 2008