# Electronic Version v1.1

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SUBMISSION TYPE:			NEW ASSIGNMENT	
NATURE OF CONVEYANCE:			ASSIGNMENT	
CONVEYING PARTY	DATA			
		Na	ame	Execution Date
James Hartwell Holl II				11/29/2007
James J Voll				11/29/2007
Konstantinos Roussos	8			11/29/2007
Anshu Surana				11/21/2007
RECEIVING PARTY D	ΑΤΑ			
Name:	Network Applia	ance, Ir	nc.	
Street Address:	495 East Java I	Drive		
City:	Sunnyvale			
State/Country:	CALIFORNIA			
Postal Code:	94089			
PROPERTY NUMBERS Total: 1 Property Type Number				
Property Type		Number	 N	
		19482	270	
CORRESPONDENCE DATA				
Fax Number:	(408)881-(	0145		
Correspondence will b	pe sent via US M	1ail whe	en the fax attempt is unsuccessful.	Ĩ
Phone: 408-881-0140				
Email: sarah@s2law.com				
Correspondent Name: Gregory Suh				
Address Line 1:       60 SOUTH MARKET         Address Line 2:       SUITE 480				
Address Line 2:     SUITE 480       Address Line 4:     SAN JOSE, CALIFORNIA 95113				
ATTORNEY DOCKET NUMBER:			NTAP.P0013-3806	
NAME OF SUBMITTER:			Gregory Suh	
Total Attachments: 11 PATENT				
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## ASSIGNMENT

Whereas We, James Hartwell Holl II, whose residence address is Los Gatos, CA, James J Voll, whose residence address is Palo Alto, CA, Konstantinos Roussos, whose residence address is Sunnyvale, CA, and Anshu Surana, whose residence address is Bangalore. India have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled CENTRALIZING ACCESS REQUEST AUTHORIZATIONS FOR STORAGE SYSTEMS, identified by Network Appliance Inc. File No. P01-3806, the specification of which application was executed by us herewith; and

Whereas Network Appliance, Inc., whose address is 495 East Java Drive, Sunnyvale, CA 94089, and which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited;

Now, Therefore, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservation:

1. Assign, transfer, and convey to Assignee our entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-in-part, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct;

4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;

5. Bind our heirs, legal representatives and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made;

and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which we have executed this Assignment as an instrument under seal on the dates indicated next to our names.

11/24/2007	( All
	James Hariwell Holl II, Inventor
State of Colifbanda	) )ss.
County of	)

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2007, before me appeared James Hartwell Holl II, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

[seal]

PATENT REEL: 020181 FRAME: 0746

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1/29/07	Jag Val
Date	James J Voll Inventor
State of California	)
County of	)ss.
County of	

On this \_\_\_\_\_\_day of \_\_\_\_\_\_, 2007, before me appeared James J Voll, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

[seal]

 $\frac{11/29/pF}{2} \leq 11/29/pF$ Konstantinos Roussos, Inventor Date ) )ss. ) County of

On this day of , 2003, before me appeared Konstantinos Roussos, to me known and known to me to be the person described in and who executed the foregoing instrument, and be acknowledged the same to be his free act and deed.

Notary Public

[seal]

Date

Anshu Surana, Inventor

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2007, before me appeared Anshu Surana, to me known and known to me to be the person described in and who executed the foregoing instrument, and be acknowledged the same to be bis free act and deed.

Notary Public

[seal]

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

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On $\frac{1}{24}$ $\frac{1}{2$	Cdelymn N. Rivela Northly TUBGE, Natry and Title of Officer (e.g. Jane Doe, Nexary Physics)
personally appeared <u>Limits r KATIO</u>	ELL HOUT JAMES JVOLL,
+ KONSTANTINOS KOUSSO	5



personally known to me

K proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ja/are subscribed to the within instrument and acknowledged to me that \_be/she/they executed the same in \_hia/her/their authorized capacity(ies), and that by \_his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted. executed the instrument.

WITNESS my hand and official seal.

Place Notary Saal Above

Signature of

Number of Pages:

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# Capacity(ies) Claimed by Signer(s)

Signer's Name:	Signer's Name:
i Individual	🗋 Individual
Corporate Officer — Title(s):	Corporate Officer — Title(s):
💠 Partner — 🗄 Limited 🗇 General 🛛 metromologie	🙀 📋 Partner — 🗆 Limited 🗋 General 🛛 premonance
El Altomey in Fact	LE Attorney in Fact     Top of theme here
Trustee	III Trustee
Guardian or Conservator	Guardian or Conservator
Other:	
Cinner in Deanna antiona	
Signer is Representing:	Signer Is Representing:

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PATENT REEL: 020181 FRAME: 0750

#### ASSIGNMENT

Whereas We, James Hartwell Holl II, whose residence address is Los Gatos. CA, James J Voll, whose residence address is Palo Alto, CA, Konstantinos Roussos, whose residence address is Sunnyvale, CA, and Anshu Surana, whose residence address is Bangalore, India have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled CENTRALIZING ACCESS REQUEST AUTHORIZATIONS FOR STORAGE SYSTEMS, identified by Network Appliance Inc. File No. P01-3806, the specification of which application was executed by us herewith; and

Whereas Network Appliance, Inc., whose address is 495 East Java Drive. Sunnyvale, CA 94089, and which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited;

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1. Assign, transfer, and convey to Assignee our entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-in-part, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent;

 Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct;

4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;

5. Bind our heirs, legal representatives and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made;

and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which we have executed this Assignment as an instrument under seal on the dates indicated next to our names.

Date	James Hartwell Holl II. Inventor	
State of California	)	
County of	)ss. )	

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2007, before me appeared James Hartwell Holl II, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

[seal]

Date	James J Voll, Inventor	
State of California	)	
County of	)ss. )	
On this c	lay of, 2007, before me appeared Ja	ames J

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2007, before me appeared James J Voll, to me known and known to me to be the person described in and who executed the foregoing instrument, and be acknowledged the same to be his free act and deed.

Notary Public

[seal]

Date	Konstantinos Roussos, Inventor
State of California	)
County of	)ss. )

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2007, before me appeared Konstantinos Roussos, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

[seal]

2111 Date

Anshu Surana, Inventor

On this \_\_\_\_\_ day of \_\_\_\_\_, 2007, before me appeared Anshu Surana, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

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Notary Public

[seal]

PATENT REEL: 020181 FRAME: 0755

**RECORDED: 11/30/2007**