

DOCUMENT ID NO.: 700349502

Form PTO-1595 (Rev. 08/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Jie-Ren Ku

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

THE REGENTS OF THE UNIVERSITY OF
Name: CALIFORNIA

Internal Address: _____

Street Address: 1111 Franklin Street

12th Floor

City: Oakland

State: California

Country: USA Zip: 94607-5200

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) November 5, 2007

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

11/466,411

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: John Shih

Internal Address: University of California
Office of Technology Transfer

Street Address: 1111 Franklin Street
5th Floor

City: Oakland

State: California Zip: 94607-5200

Phone Number: (510) 587-6000

Fax Number: (510) 587-6090

Email Address: john.shih@ucop.edu

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 21-0649

Authorized User Name John Shih

9. Signature:


Signature

November 8, 2007
Date

John Shih

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 210649 11466411

ASSIGNMENTU.C. Case No. 2006-127

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

I. Jie-Ren Ku

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

"NANOSTRUCTURE AND PHOTOVOLTAIC CELL IMPLEMENTING SAME"

and which is found in

- (a) XX Two U.S. provisional application serial nos. 60/710,097 and 60/710,262 both filed on August 22, 2005 and listing the above named person as inventor;
- (b) XX U.S. application serial no. 11/466,411, filed on August 22, 2006;
- (c) XX International Patent application serial no. PCT/US2006/032987, filed on August 22, 2006.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

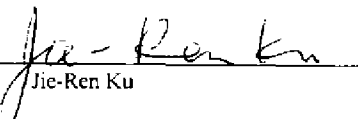
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

17th day of November, 2007


Jie-Ren Ku