

12-12-2007

U.S. PTO
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Attorney Docket No.: NG04168/U73.12-0226KL -- arm

To the Commissioner for Patents: Mail Stop Assignment Recordation Services - Please record the attached copy thereof.

1. Name of conveying party(ies):

- (1) Rajendra K. Agrawal
(2) Steven J. Sirica
(3) William F. Schneider

11-29-07

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

3. Nature of Conveyance:

- [X] Assignment [] Merger [] Security Agreement
[] Change of Name [] Other _____

Execution Date:

Rajendra K. Agrawal November 16, 2007
Steven J. Sirica November 14, 2007
William F. Schneider November 14, 2007

2. Name and address of receiving party(ies):

Name: United Technologies Corporation

Internal Address: _____

Street Address: One Financial PlazaCity: Hartford, State: CT ZIP 06101

Additional name(s) & address(es) attached? [] Yes [X] No

11/998291

4A. Application No.(s)

If this document is being filed together with a new application, the execution date(s) of the application is:

Rajendra K. Agrawal November 16, 2007
Steven J. Sirica November 14, 2007
William F. Schneider November 14, 2007

Additional numbers attached? [] Yes [X] No

4B. Patent No.(s)

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen M. Komarec
Street Address: Kinney & Lange, P.A.,
312 South Third Street
City: Minneapolis State: MN ZIP 55415-1002

6. Total number of applications and patents involved: [1]

7. Total fee (37 C.F.R. 3.41):.....\$ 40.00

The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No. 210279. Deposit Account Name: Pratt & Whitney
A duplicate copy of this communication is enclosed.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen M. Komarec
Stephen M. Komarec

12/11/2007 MJAMA1 00000071 210279 11998291
11/29/2007 00000071 210279 40.00 DA
Date

Total number of pages including cover sheet, attachments and document: [5]

PATENT
REEL: 020246 FRAME: 0310

ASSIGNMENT

Attorney Docket No.

U73.12-0226/ PA0004168US

First Named Inventor : Rajendra K. Agrawal

Title : CONVERTIBLE GAS TURBINE PROPULSION SYSTEM

WHEREAS, I, Rajendra K. Agrawal, of South Windsor, CT, U.S.A.; Steven J. Sirica, of Ellington, CT, U.S.A.; and William F. Schneider, of Cromwell, CT, U.S.A., have invented certain new and useful improvements as described in an application entitled CONVERTIBLE GAS TURBINE PROPULSION SYSTEM for Letters Patent of the United States, the application having been executed on even date herewith, and/or being identifiable in the United States Patent and Trademark Office by Application No. _____, filed _____; and

WHEREAS, United Technologies Corporation, a corporation organized and existing under the laws of the State of Delaware, and having offices at One Financial Plaza, Hartford, CT 06101, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the application, and any and all Letters Patent or similar legal protection, foreign or domestic, to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention, the above-identified application, corresponding domestic and foreign applications, all Letters Patent or similar legal protection issuing thereon, and all rights and benefits under any applicable treaty or convention; and I authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent thereof to issue the Letters Patent or similar legal protection to the Assignee.

I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date and application number of the application when ascertained.

I authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries.

I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.


Rajendra K. Agrawal

11/16/2007
Date


Witness Signature

STEPHEN HATZIS
Witness Printed Name

ASSIGNMENT

Attorney Docket No.

U73.12-0226/ PA0004168US


Steven J. Sirica

11-14-2007
Date

Allen F. Apel 11-14-2007
Witness Signature

ALLEN F. APEL
Witness Printed Name

ASSIGNMENT

Attorney Docket No.

U73.12-0226/ PA0004168US

William F. Schneider

William F. Schneider

11/14/07

Date

A. Anto

Witness Signature

AAVO ANTO

Witness Printed Name