

12-4-07

12-14-2007

11/921534

Form PTO-1595 (Rev. 06-01-00)
OMB No. 0651-002



581-40

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United States Patent and Trademark Office

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RM COVER SHEET

RECEIVED PCT/PTO 04 DEC 2007

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Johannes Georg SCHAEDE (August 18, 2006)
Hartmut Karl SAUER (July 6, 2006)

2. Name and address of receiving party(ies)

Name: KBA-GIORI S.A.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) see above dates in Box 1

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

Street Address: Rue de la Paix 4

City: 1003 Lausanne

State: _____

Country: Switzerland

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Clifford W. Browning

Internal Address: _____

Street Address: One Indiana Square, Suite 2800

City: Indianapolis

State: IN Zip: 46204

Phone Number: 317-238-6203

Fax Number: 317-636-1507

Email Address: cbrowning@kdlegal.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 1104

Expiration Date 04/10

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:

Signature

December 4, 2007

Date

Clifford W. Browning
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT (PATENT)

IAP17 Rec'd PCT/PTO 04 DEC 2007

WHEREAS, Johannes Georg SCHAEDE, Max-Heim-Strasse 8, 97074 Würzburg, Germany, hereinafter referred to as Assignor, has made a new and useful invention in

NUMBERING PROCESS FOR SECURITIES, METHOD FOR PROCESSING THE NUMBERED SECURITIES AND NUMBERING DEVICE TO CARRY OUT THE NUMBERING PROCESS

which is found in International Patent Application No. PCT/IB 2006/051666, filed on May 24, 2006, and for which Assignor will make application for Letters Patent of the United States; and

WHEREAS, KBA-GIORI S.A., with address at Rue de la Paix 4, 1003 Lausanne, Switzerland, hereinafter referred to as Assignee, desires to acquire 100% of the right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained thereof and thereon;

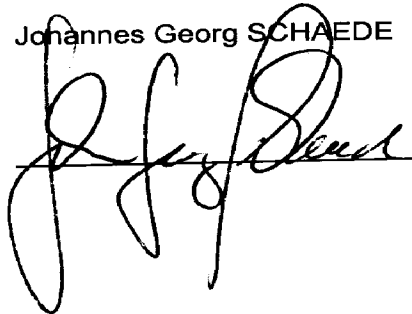
NOW, THEREFORE, to all and whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignor in hand paid, the receipt of which is hereby acknowledged, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto Assignee, 100% of the right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof, and any and all divisions and continuations thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignor hereby authorizes and requests the firm of Krieg DeVault LLP, One Indiana Square, Suite 2800, Indianapolis, IN 46204-2079, to insert here in parentheses (Serial No. _____, Filed _____ 20 __) the date and serial number of said application for Letters Patent of the United States when officially known, and Assignor hereby authorizes and requests the Honorable Commissioner of Patents to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignor agrees to sign and execute any and all other papers necessary and desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hands this 18th day of August, 2006.

Johannes Georg SCHAEDE



ASSIGNMENT (PATENT)

WHEREAS, Hartmut Karl SAUER, Obere Ringstrasse 45, 97267 Himmelstadt, Germany, hereinafter referred to as Assignor, has made a new and useful invention in

NUMBERING PROCESS FOR SECURITIES, METHOD FOR PROCESSING THE NUMBERED SECURITIES AND NUMBERING DEVICE TO CARRY OUT THE NUMBERING PROCESS

which is found in International Patent Application No. PCT/IB 2006/051666, filed on May 24, 2006, and for which Assignor will make application for Letters Patent of the United States; and

WHEREAS, KBA-GIORI S.A., with address at Rue de la Paix 4, 1003 Lausanne, Switzerland, hereinafter referred to as Assignee, desires to acquire 100% of the right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained thereof and thereon;

NOW, THEREFORE, to all and whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignor in hand paid, the receipt of which is hereby acknowledged, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto Assignee, 100% of the right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof, and any and all divisions and continuations thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignor hereby authorizes and requests the firm of Krieg DeVault LLP, One Indiana Square, Suite 2800, Indianapolis, IN 46204-2079, to insert here in parentheses (Serial No. _____, Filed _____ 20 __) the date and serial number of said application for Letters Patent of the United States when officially known, and Assignor hereby authorizes and requests the Honorable Commissioner of Patents to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignor agrees to sign and execute any and all other papers necessary and desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hands this 06 day of July, 2006.

Hartmut Karl SAUER

