

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

EXECUTIVE ORDER 9424, CONFIRMATORY LICENSE

CONVEYING PARTY DATA

Name	Execution Date
National Science Foundation	10/01/2007

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**Stabilized trans-cerebellar “long-loop” responses enable
reaching movements without internal models of limb dynamics**

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Abstract

A computational model of cerebro-cerebellar control demonstrates that many concerns regarding the limitations of feedback control are not compelling. **The Recurrent Integrator Proportional-Integral-Derivative (RIPID)** model proposes that recurrent corollary discharge from motor cortex provides sufficient phase-lead to enable long-loop transcortical responses to exert strong control actions, obviating internal models of body dynamics (e.g. [1,2]). Recently, it was shown that the upright posture of the body can be stabilized against disturbances using a RIPID-based mechanism [3]. That model employed smooth switching between two sets of 3x3 matrices representing cerebellar control according to sensed kinematic state.

A two-joint 'extended' RIPID model has been developed for horizontal planar reaching movements. Cerebral and cerebellar processing is distributed across a population of units having one of eight different "preferred" directions. This gives rise to parallel activity streams in cerebellum each of which yields a potentially differently tuned PID control. Net control can therefore be tailored to motion direction. Using this system at least moderately fast point-to-point movements can be managed without high limb stiffness. Changes in internal gains can correct path deflections caused by a velocity-dependent curl field, and cause nearly mirror image aftereffects during catch trials, as occurs *in vivo* (e.g. [4]).

For many movements, it is useful, if not necessary, to use a "pitch and catch" approach wherein one set of PID gains controls movement to just beyond mid-point, and a second gainset controls arrival. A pulse of muscular coactivation upon arrival may also be useful, if not necessary, to limit terminal oscillation and/or drift. These mechanisms directly parallel those used in RIPID stabilization of posture against high-speed disturbances [3].

During movement the e-RIPID model generates waveforms that appear similar in amplitude and time course to many observed experimentally *in vivo*. Finally, simulated lesions of the system generate characteristic features of cerebellar ataxia and tremor (not shown).

The RIPID cerebrocerebellar control system exhibits brief feedforward control that blends progressively into feedback-dependent control. It can effectively afford an internal model of external disturbing *forces*, but does not incorporate an internal model of body *dynamics*. The model may thereby help to reconcile a number of theoretical viewpoints.

Conclusions

The simulations of the two-joint e-RIPID model indicate that realistic control of a two-joint arm model can be achieved by a fairly simple feedback control system without internal circuitry that models arm forward or inverse dynamics. Three features are highlighted:

- 1) **Implicit management of limb dynamics.** In a manner similar to that proposed in equilibrium point control mechanisms, the arm dynamics are managed implicitly by stabilized long-loop feedback. It is proposed that stabilization is afforded by a recurrent integrator that provides positive phase (phase lead). Fast movements are facilitated by switching to an 'arrival' PID gainset during second half of movement that is optimized for 'catching' limb. That the internal control circuitry is not providing an inverse model of the limb dynamics can be ascertained from the fact that the circuitry is of a lower dynamic order than the plant, is linear, and is always critically dependent on feedback.
- 2) **Implicit management of disturbance forces.** The response to an external curl field indicates that implicit dynamic management can extend to imposed disturbances in a realistic manner.
- 3) **Appropriate unit signals.** Because of its structure, feedback signals permeate the system such that most units respond both to peripheral disturbances as well as during volitional movements. In the process, unit waveforms are somewhat specific for anatomical location and function. Model units appear similar to many recorded *in vivo*.

This work supported by NSF-KDI IBN-9873478

Simulated Fast Movement and Responses to Viscous Curl Field

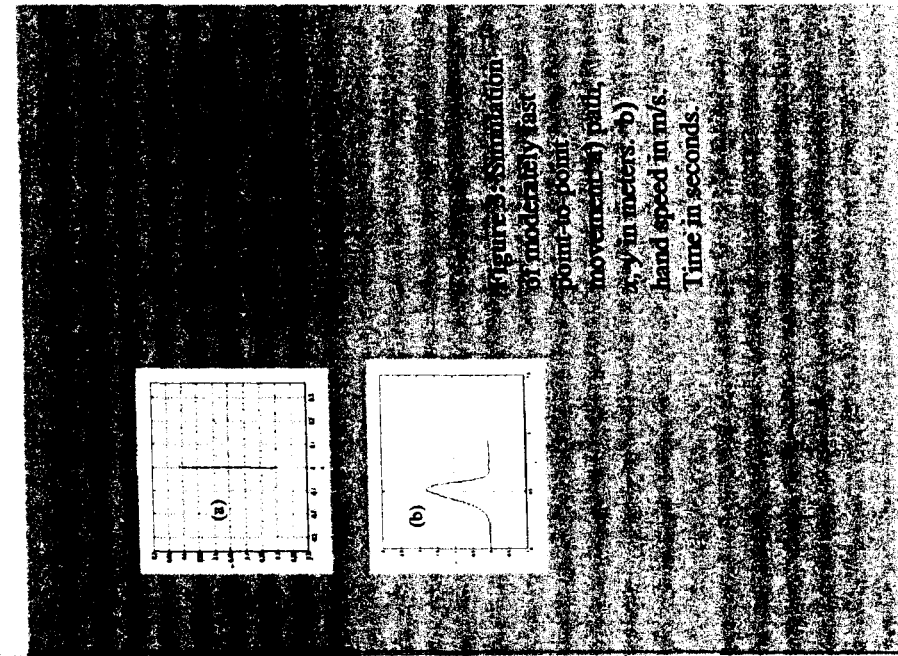


Figure 2: Simulation of moderately fast point-to-point movement. (a) path, x-y in meters. (b) hand speed in m/s. Time in seconds.

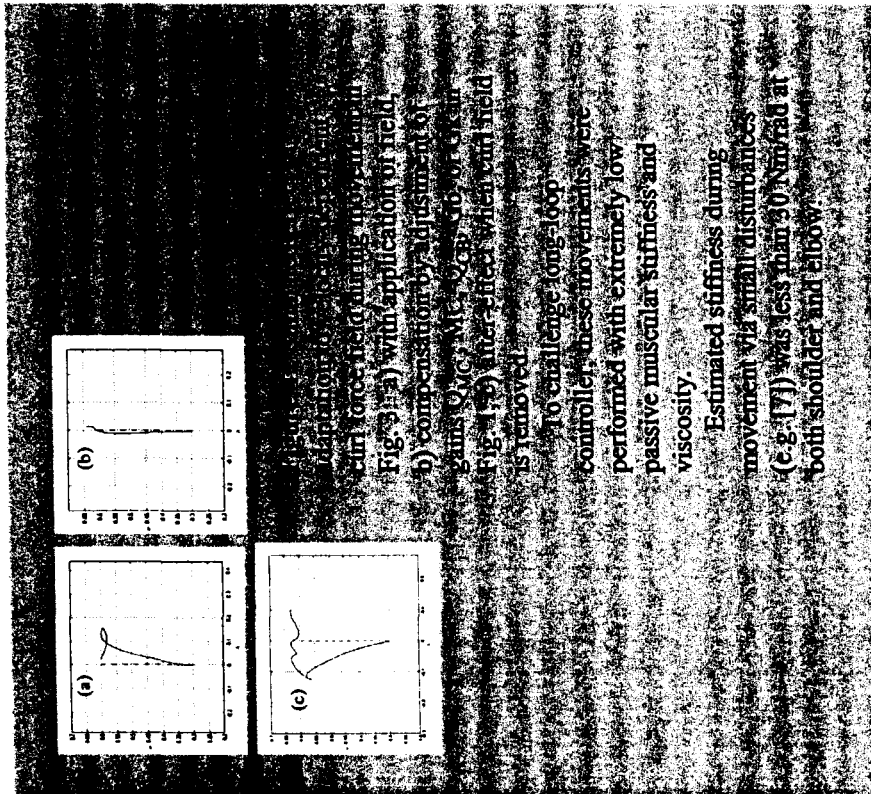


Figure 3: Simulation of long-loop controlled movements. (a) curl force field during movement. (b) compensation by adjustment of gains. (c) effect when field is removed.

To challenge long-loop controlled movements were performed with extremely low passive muscular stiffness and viscosity.

Estimated stiffness during movement via small disturbances (e.g. [7]) was less than 30 Nm/rad at both shoulder and elbow.

e-RIPID Cerebro-Cerebellar System Model

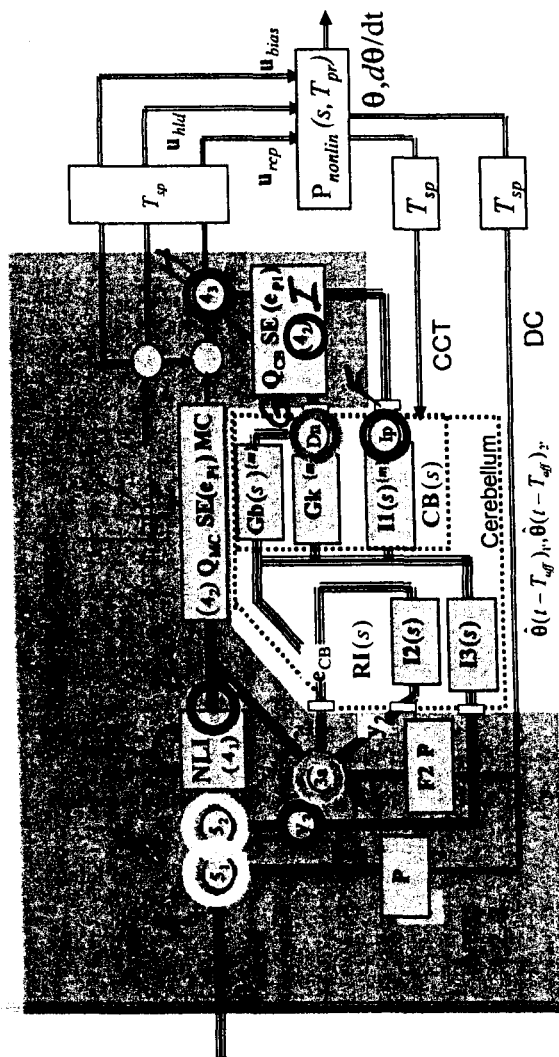


Figure 1: 2j-e-RIPID Model. Principal components: tripled lines: 8-channel movement error vector (e_p) direction-specific control streams, P (8×2) projection matrices, NLI nonlinear integrator [5], e_{CB} cerebellar input signal from Area 3a, $RI(s)$ recurrent integrator (effort copy) loop lead filter transfer function $s/(s+I2)$, $I2(s) = I2/s$ (diagonal) gain matrix and integrator, $GB(s)$, GK cerebellar differentiator and proportional (8×8) diagonal gain matrices, $CB(s)$ total cerebellar forward processing, Dn dentate, Ip interpositus, 4_3 motor cortical output selector based on parietal tracking error direction. u_{hid}^p , u_{bias}^p small tonic reconstitution-distribution matrices, $SE(e_p)$ motor cortical output channel selector based on parietal tracking error direction. u_{hid}^p , u_{bias}^p small tonic holding signals at arrival. CCT cuneocerebellar tract, DC dorsal columns, T_{sp} spinal delay 8 msec, T_{pr} peripheral delays 13, 17 ms, cerebrotocerebellar and other intra-brain delays (tiny empty boxes) are 4 ms. $\theta^{(a)}(t - T_{af})^{1,2,3}$ are primary afferent signals to area 3a, and secondary afferent signals to areas 1,2,3. $P_{nonlin}(s, T_{pr})$ nonlinear two-joint, spino musculoskeletal model including 6 muscles with activation dependent force-length and force-velocity relations, peripheral delays and low-pass muscular excitation activation dynamics $30^2/(s+30)^2$ and phase-lead primary spindle dynamics $(1 + 0.1s)$. Auxiliary sigmoidal input to plant (graph inset, top) is proposed position holding signal that takes over at arrival. Signal may provide bias to static gamma efferents (analogous to [6]) activate transient muscular coactivation and/or transition to position holding gainsets Q_{CB} , GB , GK , II . Such gainset switching is more important for 'catching' high-speed movements.

References. [1] Wolpert DM, Kawato M (1998) *Neural Networks* 11 1317-1329. [2] Miall RC, Weir DJ, Wolpert DM, Stein JF (1993) *J. Mot. Behav.* 25 203-216. [3] Jo and Massaquoi (2004) *Biol. Cybern.* 91 (3) 188-202. [4] Shadmehr R, Mussa-Ivaldi FA (1998) *J. Neurosci* 14 (5) 3208-3224. [5] Karamah FN, Massaquoi SG (2005) *Conf IEEE Soc Eng in Med Biol*, Shanghai, China. [6] Bullock D, Cisek P, Grossberg S (1998) *Cerebral Cortex* 8 48-62. [7] Gomi H, Kawato M (1996) *Science* 272 (5) 117-120.

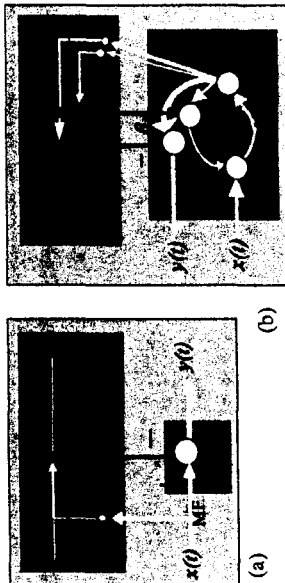


Figure 2: Cerebellar functional corticonuclear microcomplexes (subserving $GB(s)$, GK , $II(s)$ in Fig 1): a) Proportional and Differentiating, b) Integrating. PF parallel fiber, MF mossy fiber, T_{pf} delay 20 ms, β_i synaptic weights, β_2 and β_4 adaptable, $CbCtx$ cerebellar cortex, Dn dentate, Ip interpositus, LRN lateral reticular nucleus, $RNmc$ red nucleus magnocellular, $x(t)$ input, $y(t)$ output. In a), $y(t) = \beta_1 x(t) - \beta_2 x(t - T_{pf}) \approx (\beta_1 - \beta_2)x(t) + \beta_2 T_{pf} dx/dt$. In b), $y(t) = (\beta_3 - \beta_4)z(t)$, where $z(s) = x(s)/(s + \alpha - (\beta_1 - \beta_2)) = x(s)/s$, for $(\beta_1 - \beta_2) = \alpha$ where α is the decay rate of $RNmc$ units with assumed leaky integrator transfer function $1/(s + \alpha)$. So $y(t) = (\beta_3 - \beta_4) \int x(t) dt$.

Table R3 (continued). Signals transmitted by selected ae-RIPID model units

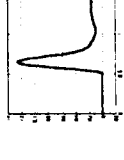
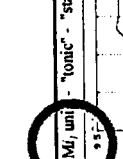
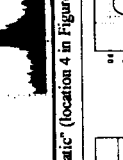
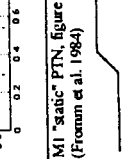
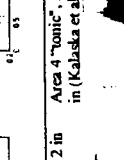
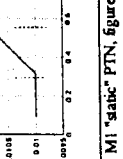
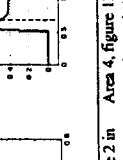
Response	Ramp disturbance	Point-to-point movement response	Comments
Pii units - "phasic" (location 3" in Figure M9)			Pii units: neurons in area 5 proposed to receive recurrent feedback from cerebellar I, recurrent integrator. Simulated response to ramp is (negative) position-like. No experimental data was found for this task and unit. Activity in point-to-point movements sometimes displays a terminal plateau or an undershoot depending upon parameter settings. The shallow "u"-like dip is corresponds to the subtle overshoot in the actual joint motion. When slightly more damped motions are simulated, such as the movement studied by Kalaska et al. [Kalaska, 1990 #558], dip is accordingly eliminated and activity decline is closer to that shown in the histogram. Movement onset occurs just before the activity peak in both simulation and experiment.
M1 unit - "static" PTN, figure 2 in (Fromm et al. 1984)			M1 "static" PTN, figure 2 in (Fromm et al. 1984)
M1 unit - "dynamic" PTN, figure 2 in (Fromm et al. 1984)			M1 "dynamic" PTN, figure 2 in (Fromm et al. 1984)
M1 unit - "phasic-RT" (Kalaska et al. 1989), figure 15A			M1 "phasic-RT" (Kalaska et al. 1989), figure 15A

Table R3 (continued). Signals transmitted by selected ae-RIPID model units

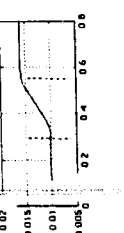
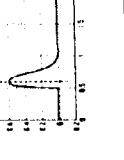
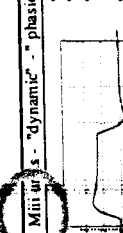
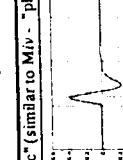
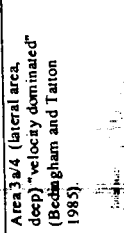
Response	Ramp disturbance	Point-to-point movement response	Comments
M1 unit - "static" PTN, figure 2 in (Fromm et al. 1984)			M1 "static" PTN, figure 2 in (Fromm et al. 1984)
M1 unit - "dynamic" PTN, figure 2 in (Fromm et al. 1984)			M1 "dynamic" PTN, figure 2 in (Fromm et al. 1984)
M1 unit - "phasic-RT" (Kalaska et al. 1989), figure 15A			M1 "phasic-RT" (Kalaska et al. 1989), figure 15A

Table R3 (continued). Signals transmitted by selected ac-RIPID model units.

Disturbance	Point-to-point movement response	Comments
Ramp disturbance Miv units (location 8 in Figure M9)	Area M1 (Kalaska et al 1989), figure 12B.	• Miv units (Area 4): hypothetically transmit the negative phase of the dentate unit output: $Miv(t) = -Di(t)$. Response to ramp disturbance is a pulse at ramp end. No examples of this type of waveform were found in the literature. In point-to-point movements, simulated waveform has correct initial timing but lacks activity plateau seen <i>in vivo</i>. Possibly, the plateau corresponds to unmodulated tonic (co-) activation of antagonist.
Miv units (location 8 in Figure M9)	Area M1 (Kalaska et al 1989), figure 12B.	• Miv units (Area 4): hypothetically transmit a combination of dentate and interpositus signals. Here, $Miv(t) = -0.5Di(t) + Di(t) + 10Ii(t)$. Interpositus contribution results in significant position-component during ramps, but not during point-to-point movement where unit appears more purely dynamic. Unit may correspond to "dynamic" M1r neurons (Wise and Tanji 1981).
M1 "dynamic-tonic" PTN, figure 2 (Fronum et al. 1984)	Area M1 (Kalaska et al 1989), figure 12D.	• Miv units (Area 4): principal output neurons from motor cortex to spinal cord. During ramp disturbances, response shows position, velocity and acceleration-like components. During point-to-point movements, units display characteristic pulse, trough and plateau. Plateau may show oscillatory components become more prominent relative to the plateau. Movement onset occurs during falling phase of initial pulse. Oscillations in the experiments are of lower frequency, possibly due to inertial load provided by the monkey's manipulandum.
Miv units: phasic-tonic (location 9 in Figure M9)	Area M1 "phasic-tonic" (Kalaska et al. 1989), figure 12A.	• Miv units (Area 4): principal output neurons from motor cortex to spinal cord. During ramp disturbances, response shows position, velocity and acceleration-like components. During point-to-point movements, units display characteristic pulse, trough and plateau. Plateau may show oscillatory components become more prominent relative to the plateau. Movement onset occurs during falling phase of initial pulse. Oscillations in the experiments are of lower frequency, possibly due to inertial load provided by the monkey's manipulandum.
Area 4 "M site", figure 3 (Bedingham, 1985 #501)	Area M1 (Kalaska et al 1989), figure 12A.	• Miv units (Area 4): principal output neurons from motor cortex to spinal cord. During ramp disturbances, response shows position, velocity and acceleration-like components. During point-to-point movements, units display characteristic pulse, trough and plateau. Plateau may show oscillatory components become more prominent relative to the plateau. Movement onset occurs during falling phase of initial pulse. Oscillations in the experiments are of lower frequency, possibly due to inertial load provided by the monkey's manipulandum.

Disturbance	Point-to-point movement response	Comments
Ii units (location 11 in Figure M9)	Interpositus unit, figure 2 in [Mackay, 1988a #492].	• Ii units (interpositus nucleus) transmit scaled integrated output of Miv units. Predicted response to ramp disturbance is (negative) position-like. No examples of corresponding experimental recordings were found. Response during point-to-point movements is velocity-like.
Di units (location 12 in Figure M9)	Dentate unit, figure 6 in [Mackay, 1988a #492].	• Di units (dentate nucleus) transmit scaled output of Miv units. Negative of simulated unit activity shown to facilitate comparison. Predicted response to ramp disturbance is (negative) velocity-like. No examples of corresponding experimental recordings were found. Response during point-to-point movements is acceleration-like.
Di units (location 13 in Figure M9)	"Complex" dentate unit, figure 9 in [Mackay, 1988a #492].	• Di units (dentate nucleus) transmit scaled output of Miv units. Predicted response to ramp disturbance is acceleration-like. No examples of corresponding experimental recordings were found. Response during point-to-point movements is jerk-like. In both simulation and experiment, movement onset is very close to bottom of central trough. However, histogram does not appear to consist of pure Di unit activity. First, there is an underlying rising tonic component that is not present in the simulation. However, activation of the direct path from Mi, to Miv units (location 4 to 7) gives rise to a similar component. Inclusion of some Di signal contributes more height to second peak as in histogram. However, central trough becomes more prominent.

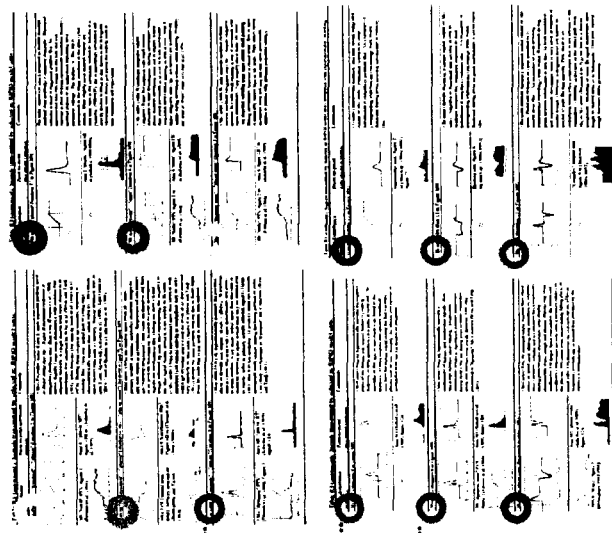
Figure 5 : Comparison between various candidate signals recorded in cerebral cortex and cerebellar nuclei *in vivo* and signals predicted by (single joint version of) e-RIPID model during single-joint movements. **Left subfigures:** response to imposed ramp. **Right:** response during volitional single-joint step (point-to-point) movements. Colored circles correspond to location in Fig. 1. Ramp experimental data could not be found for all units. Most signals were predicted. Some (**) at motor cortex were fit using linear recombinations or portions of predicted signals. Most signals also have appropriate latency in relation to movement onset and/or offset (thin vertical lines). **Intracerebellar waveforms** have been fit using an extension of RIPID model (RICSS model, see SFN 2005 poster of K. Takahashi, et al).

CSAIL

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Abstract

The RUPID cerebrocardioid control system exhibits brief feedforward control that blends progressively into feedback-dependent control. It can effectively afford an internal model of the target, and it can learn to track a target, but does not incorporate an internal model of body dynamics. The model may describe how to generate a number of theoretical wave-pulses.

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The simulations of the two-joint 4-8 IPID model indicate that realistic control of a two-joint arm model can be achieved by a fairly simple feedback control system without internal circuitry that models arm forward or inverse dynamics. These conclusions are highlighted:

- 1) **Impulsive management of linear dynamics.** In a manner similar to that proposed in a quadrature phase control system, the system is controlled impulsively by substituting linear feedback. It is proposed that stabilization is achieved by an internal PID-gain during second half of movement that is optimized for "catching". Substituting by switching to a neural PID-gain during second half of movement that is optimized for "catching". Thus the natural control of a system providing an inverse model of the limb dynamics can be ascertained from the fact that the control of a lower dynamic order than the plant, in this case, is $n-1$ or $n-2$ critically dependent on feedback.
- 2) **Impulsive management of disturbance forces.** The response to an external force could indicate the implicit dynamic management can control imposed disturbances in a reflexive manner.
- 3) **Appropriate neural signals.** Because of its structure, feedback signals permeate the system such that more units respond both to peripheral disturbances as well as during voluntary movements. In the process, the information of state data is fed back to the central control.

This work is supported by NSF-KIT IRI-93-0747M

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(1) Wolpert, J.M., Kassab, M. (1998), *Neural Networks* 11 (1) 1-179.
 (2) Mallik, M., Wee, D., Wolpert, J.M., Sirovica, J. (1991), *J. Adv. Biol.* 25 203-216.
 (3) Ju and Mannagosa (2004) *Biol. Cybern.* 91 (1) 149-162.
 (4) Sengupta, R., Mannagosa, J. (1998), *J. Neurosci.* 18 (3) 1266-1276.
 (5) Kassab, M., Mannagosa, J. (2005)