

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

BioCardia DeviceCo, Inc.

2. Name and address of receiving party(ies)

Name: BioCardia, Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance/Execution Date(s):**Execution Date(s) August 9, 2002☐ Assignment☐ Merger☐ Security Agreement☒ Change of Name☐ Joint Research Agreement☐ Government Interest Assignment☐ Executive Order 9424, Confirmatory License☐ Other _____Street Address: 384 Oyster Point Blvd., #5City: South San FranciscoState: CaliforniaCountry: USA Zip: 94080Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**

A. Patent Application No.(s)

10/671,270

11/231,100

11/220,794

10/190,429

☐ This document is being filed together with a new application.

B. Patent No.(s)

09/177,765 which issued as 6,443,949

09/407,461 which issued as 6,511,477

09/418,206 which issued as 6,547,787

09/418,205 which issued as 6,416,510

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: K. David Crockett, Esq.

Internal Address: _____

Street Address: 24012 Calle de la Plata, Suite 400City: Laguna HillsState: California Zip: 92653Phone Number: 949 588 6171Fax Number: 949 588 6172

Email Address: _____

6. Total number of applications and patents involved: 8**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 320**☐ Authorized to be charged by credit card☒ Authorized to be charged to deposit account☐ Enclosed☐ None required (government interest not affecting title)**8. Payment Information**

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 03-3700Authorized User Name K. David Crockett**9. Signature:**

Signature

December 14, 2007

Date

K. David Crockett

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$320.00 033700 10671270

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Page 2/3



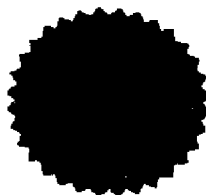
Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BIOCARDIA DEVICECO, INC.", CHANGING ITS NAME FROM "BIOCARDIA DEVICECO, INC." TO "BIOCARDIA, INC.", FILED IN THIS OFFICE ON THE NINTH DAY OF AUGUST, A.D. 2002, AT 9 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

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AUTHENTICATION: 1930461

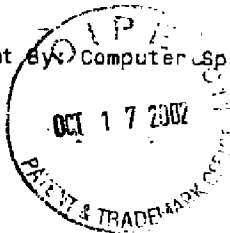
DATE: 08-09-02

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Page 3/3



STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 09:00 AM 08/09/2002
020508197 - 3427357

**CERTIFICATE OF AMENDMENT
OF THE CERTIFICATE OF INCORPORATION
OF BIOCARDIA DEVICECO, INC.**

BioCardia DeviceCo, Inc., a corporation organized and existing under the laws of the State of Delaware, hereby certifies as follows:

A. The name of the corporation is BioCardia DeviceCo, Inc. The corporation was originally incorporated under the same name and the original Certificate of Incorporation of the corporation was filed with the Secretary of State of the State of Delaware on March 1, 2002.

B. This Certificate of Amendment of the Certificate of Incorporation has been duly adopted in accordance with the provisions of the General Corporation Law of the State of Delaware by the Board of Directors and the stockholders of the corporation.

C. Pursuant to Section 242 of the General Corporation Law of the State of Delaware, this Certificate of Amendment of the Certificate of Incorporation amends the provisions of this corporation's Certificate of Incorporation as set forth herein.

D. Article I of the Certificate of Incorporation is hereby amended to read in its entirety as follows:

The name of the corporation is "BioCardia, Inc."

IN WITNESS WHEREOF, the Company has caused this Certificate of Amendment of the Certificate of Incorporation to be signed by its President, effective as of August 9, 2002.

BIOCARDIA DEVICECO, INC.

By: /s/ Peter Altman
Peter Altman
President