

FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Attorney Docket No. 110.02370101

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

A. Kevin H. Mayo
B. Thomas R. Hoyer
C. Xuemei Chen

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ License
☐ Other _____

Execution Date: A. October 25, 2006; B. March 30, 2007;
C. November 2, 2006

2. Name and address of receiving party(ies):

Name: REGENTS OF THE UNIVERSITY OF MINNESOTA

Street Address: 450 McNamara Alumni Center
200 Oak Street SE

City: Minneapolis State: MN ZIP: 55455-2070

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s).
11/664,641

B. Patent No(s).

Title: CALIXARENE-BASED PEPTIDE CONFORMATION MIMETICS, METHODS OF USE, AND METHODS OF MAKING

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Nancy A. Johnson
Address: MUETING, RAASCH & GEBHARDT, P.A.
P.O. Box 581415
Minneapolis, MN 55458-1415

Customer Number 26813

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to Deposit account number: 13-4895

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is an original or a true copy of the original document.

Nancy A. Johnson (Reg. No. 47,266)

Name of Person Signing

Nancy A. Johnson
Signature

December 17, 2007
Date

Total number of pages including cover sheet, attachments, and document(s): 5

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director, United States Patent and Trademark Office

P.O. Box 1450
Alexandria, VA 22313-1450

PATENT

REEL: 020266 FRAME: 0843

700353855

CH \$40.00 134895 11664641

Docket 110.02370201

ASSIGNMENT

Whereas We, Kevin H. Mayo, Thomas R. Hoyer, and Xuemei Chen, with residences and citizenships as indicated below, have made an invention in

**CALIXARENE-BASED PEPTIDE CONFORMATION MIMETICS, METHODS OF USE,
AND METHODS OF MAKING**

International Appln. No.: PCT/US2005/036128
U.S. Provisional Appln. No.: 60/616,133

International Filing Date: 04 October 2005
Filing Date: 04 October 2004

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto Regents of the University of Minnesota ("Company"), a corporation of Minnesota, having its principal office at 450 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, Minnesota 55455-2070, its successors and assigns, the entire right, title, and interest in and to said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by the Company of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Patents, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Patents, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any continued prosecution application, divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made;

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Patents, Utility Models, or Inventors' Certificates in any and all countries;

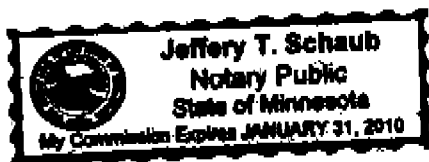
In witness whereof, we have hereunto signed our names on the days and years set forth below.

Kevin H. Mayo
Name: Kevin H. Mayo Date: 10/25/06
Address: 221 First Avenue NE, #29, Minneapolis, Minnesota 55413
Citizenship: US

STATE OF Minnesota)
) ss.
COUNTY OF Hennepin)

On this 25 day of Oct. 2006, before me personally appeared Kevin H. Mayo, to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

Jeffery T. Schaub
Notary Public



PATENT
REEL: 020266 FRAME: 0844

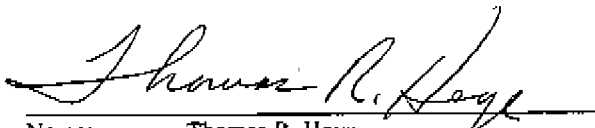
Assignment

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Int'l Appl. No. PCT/US2005/036128

Int'l Filing Date: 04 October 2005

For: CALIXARENE-BASED PEPTIDE CONFORMATION MIMETICS, METHODS OF USE, AND METHODS OF MAKING



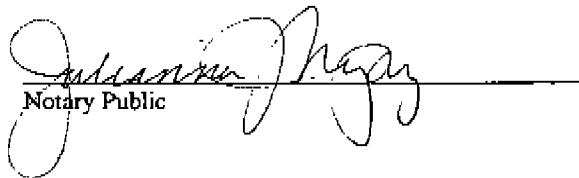
3-30-07

Name: Thomas R. Hoyer
Address: 154 Montrose Place, St. Paul, Minnesota 55104
Citizenship: US

Date

STATE OF MINNESOTA)
) ss.
COUNTY OF HENNEPIN)

On this 30th day of MARCH 2007, before me personally appeared Thomas R. Hoyer, to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.


Notary Public

Name: Xuemei Chen
Address: 1988 Brewster Street, #209, St. Paul, Minnesota 55108
Citizenship: China

Date

STATE OF _____)
) ss.
COUNTY OF _____)

On this _____ day of _____ 2006, before me personally appeared Xuemei Chen, to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

Notary Public

Docket 110.02370201

ASSIGNMENT

Whereas We, Kevin H. Mayo, Thomas R. Hoyer, and Xuemei Chen, with residences and citizenships as indicated below, have made an invention in

**CALIXARENE-BASED PEPTIDE CONFORMATION MIMETICS, METHODS OF USE,
AND METHODS OF MAKING**

International Appln. No.: PCT/US2005/036128

International Filing Date: 04 October 2005

U.S. Provisional Appln. No.: 60/616,133

Filing Date: 04 October 2004

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto Regents of the University of Minnesota ("Company"), a corporation of Minnesota, having its principal office at 450 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, Minnesota 55455-2070, its successors and assigns, the entire right, title, and interest in and to said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by the Company of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Patents, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Patents, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any continued prosecution application, divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made;

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Patents, Utility Models, or Inventors' Certificates in any and all countries;

In witness whereof, we have hereunto signed our names on the days and years set forth below.

Name: Kevin H. Mayo Date _____
Address: 221 First Avenue NE, #29, Minneapolis, Minnesota 55413
Citizenship: US

STATE OF _____)
) ss.
COUNTY OF _____)

On this _____ day of _____, 2006, before me personally appeared Kevin H. Mayo, to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

Notary Public

Assignment

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Int'l Appln. No. PCT/US2005/036128

Int'l Filing Date: 04 October 2005


For: CALIXARENE-BASED PEPTIDE CONFORMATION MIMETICS, METHODS OF USE, AND METHODS OF MAKING

Name: Thomas R. Hoye Date
Address: 154 Montrose Place, St. Paul, Minnesota 55104
Citizenship: US

STATE OF _____)
) ss.
COUNTY OF _____)

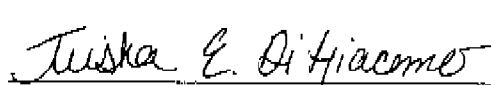
On this _____ day of _____ 2006, before me personally appeared Thomas R. Hoye, to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

Notary Public

 _____ Date 11/2/06
Name: Xuemei Chen
Address: ~~1988 Brewster Street, #209, St. Paul, Minnesota 55108~~ X.C.
Citizenship: China 102 Bleeker Rd. Apt 6, Cuiderland, NY 12084

STATE OF New York)
) ss.
COUNTY OF Albany)

On this 2 day of November 2006, before me personally appeared Xuemei Chen, to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

 _____
Notary Public

TRISHA E DIGIACOMO
NOTARY PUBLIC-STATE OF NEW YORK
No. 01D16142145
Qualified in Albany County
Commission Expires March 13, 2010