

12-21-07

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Attorney Docket Number 9301-021

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1. Name of conveying party(ies):			2. Name and	address of receiving party(ies):	
Rosetta Inpharmatics, Inc.		I	Name:	Rosetta Inpharmatics LLC	
Additional name(s) of conveying party(ie	es) attached? Yes	⊠ No	Address:	401 Terry Avenue North Seattle, Washington	
3. Nature of conveyance:					
⊠ Assignment	Merger		Country (if ot	ther than USA):	Zip Code: 98109
Security Agreement	Change of Name				17 a 1 a 1 a 1 a 1 a
Joint Research Agreement					
Government Interest Assignment					
Executive Order 9424, Confirmatory	License				3
Other					
Execution Date: May 18, 2006			Additional na	ame(s) & address(es) attached?	☐ Yes ⊠ No
4. Application number(s) or patent numb	per(s): <u>6,232,068</u>				() () () () () () () () () ()
If this document is being filed together w	ith a new application,	the execution	date of the app	lication is:	
A. Patent Application No.(s)			B. Patent No	.(s)	
	Additional nun	nbers attached	? □ Yes 🗵	I No	
5. Name and address of party to whom co		······································	6. Number of		
concerning document should be mailed	a:			its involved: 1	· · · · · · · · · · · · · · · · · · ·
JONES DAY 222 East 41st Street				(37 CFR 3.41):\$40.00 to the deposit account listed in	Section 8.
New York, New York 10017			h	ecount number:	
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9. Statement and signature.	<u></u>				
To the best of my knowledge and beli document.	ief, the foregoing infor	mation is true	and correct and	d any attached copy is a true cop	oy of the original
Adriane M. Antler 32	2,605	nane	7h (December 1	er \2 1, 2007
	eg. No. Signature	·		91 FE:0021 BUTTON DATE OF DESCRIPTION OF THE PROPERTY OF THE P	9 43813 6232868
				Total number of pages including	g cover sheet: 14

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NYI- 3984796v1



ASSIGNMENT AND ASSUMPTION AGREEMENT NUNC PRO TUNC

ASSIGNMENT AND ASSUMPTION AGREEMENT, (this "Agreement") is entered into effective nunc pro tunc as of December 1, 2002 between Rosetta Inpharmatics, Inc., a Delaware corporation (the "Corporation"), and Rosetta Inpharmatics LLC, a Delaware limited liability company (the "Assignee").

RECITALS

- WHEREAS, the Board of Directors of the Corporation has decided to separate certain non-operating assets from its operating assets, retain such non-operating assets, and continue future operating assets as a limited liability company;
- WHEREAS, in order to effectuate the foregoing change, the operating assets and liabilities of the Corporation must be transferred to a new limited liability company.
- C. WHEREAS, the Corporation desires to transfer the operating assets and liabilities of the Corporation to the Assignee pursuant to the terms hereof, and the Assignee is willing to give effect to such transfer on the terms and conditions hereof.
- NOW, THEREFORE, in consideration of the premises and of the mutual covenants and agreements set forth herein, the parties hereto hereby agree as follows:
- Assignment. The Corporation hereby sells, assigns and transfers all of its right, title and interest in and to all of the assets relating to the Corporation, nunc pro tunc as of December 1, 2002, except as set forth on Schedule 1 (the "Assigned Assets") to the Assignee. The assets being assigned and transferred by the Corporation to the Assignee include, but are not limited to:
- those patents and patent applications set forth in Schedule 2 and the (a) inventions therein:
- applications that claim priority, directly or through one or more other applications, under provision(s) of 35 U.S.C. § 119 and/or § 120, to the applications set forth in Schedule 2, including but not limited to applications that claim priority under 35 U.S.C. § 119(e) of said applications set forth in Schedule 2, and all divisions, renewals, continuations, and continuations-in-part of said applications set forth in Schedule 2; all patents which may be granted on any and all of the foregoing applications, and all reissues and extensions thereof; and all foreign counterparts of any and all of the foregoing applications and patents; and
- all reissues and extensions of said patents set forth in Schedule 2, and all (c) foreign counterparts of the foregoing.
- Assumption. The Assignee accepts such Assignment of the Assigned Assets and hereby assumes all of the Corporation's obligations and liabilities relating to the Assigned Assets (the "Assumed Liabilities").
- Further Assurances. The Corporation and the Assignee each agrees to take such 3. other actions, if any, as are necessary to reflect and give effect to the transactions contemplated by this Agreement.

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- Successors and Assigns. This Agreement shall be binding upon, and shall inure to the benefit of, the Corporation and the Assignee and their respective successors and assigns. No person or entity shall in any respect be deemed to be a third party beneficiary with respect to this Agreement.
- Governing Law. This Agreement and the rights and obligations of the parties 5. hereto shall be interpreted, enforced in accordance with, and governed by, the laws of the State of Delaware applicable to agreements made and to be performed wholly within that jurisdiction.
- Amendment, Waiver, etc. No amendment, modification or alteration of the terms or provisions of this Agreement shall be binding on the parties hereto unless the same shall be in writing and duly executed by such parties.
- <u>Headings</u>. Section headings contained herein are for convenience of reference only and shall in no way affect the meaning or interpretation of the terms and provisions hereof.
- Counterparts. This Agreement may be executed in any number of counterparts, each of which shall be considered an original and all of which shall together constitute one and the same instrument.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the date first above written.

ROSETTA INPHARMATICS, INC.

By: Carple o Der

Name: Caroline Dorsa

Title: Vice President - Treasurer

Date: ///aij 18 2006.

ROSETTA INPHARMATICS LLC

By:

Name: Celia A. Colbert

Title: Secretary

Date: May 18, 2006

Notary Public State of Washington CHERYL J. BRASS

MY COMMISSION EXPIRES Sept. 28, 2007

This is a true

Copy of the.
Original lasignment
+ lissungation ligreement
(Nunc protunc).



SCHEDULE 1

Intercompany note in aggregate principal amount of \$10,000,000 owed by Merck & Co., Inc. to Rosetta Inpharmatics, Inc.

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SCHEDULE 2

	Issued U. S. Patents				
Patent #	Issue Date	Title			
5,965,352	10/12/1999	Methods for Identifying Pathways of Drug Action			
6,132,969	10/17/2000	Methods for Testing Biological Network Models			
6,146,830	11/14/2000	Method for Determining the Presence of a Number of Primary Targets of a Drug			
6,171,794	01/09/2001	Methods for Determining Cross Hybridization			
6,197,517	03/06/2001	Essential Genes of Yeast as Targets for Antifungal Agents, Herbicides, Insecticides & Anti-Proliferation Drugs			
6,200,803	03/13/2001	Essential Genes of Yeast as Targets for Antifungal Agents, Herbicides, Insecticides & Anti-Proliferation Drugs			
6,203,987	03/20/2001	Methods for Using Co-Regulated Genesets to Enhance Detection & Classification of Gene Expression Patterns			
6,218,122	04/17/2001	Methods of Monitoring Disease States & Therapies Using Gene Expression Profiles			
6,221,597	04/24/2001	Essential Genes of Yeast as Targets for Antifungal Agents, Herbicides, Insecticides & Anti-Proliferation Drugs			
6,222,093	04/24/2001	Methods for Determining Therapeutic Index form Gene Expression Profiles			
6,232,068	05/15/2001	Monitoring of Gene Expression by Detecting Hybridization to Nucleic Acid Arrays Using Anti- Heteronucleic Acid Antibodies			
6,271,002	08/07/2001	RNA Amplification Method			
6,300,078	10/09/2001	Computer System & Method for Determining Number of Primary Targets of a Drug			
6,303,291	10/16/2001	Methods for Comparing Modes of Action of Two or More Drug Compositions			
6,324,479	11/27/2001	Methods of Determining Protein Activity Levels Using Gene Expression Profiles			
6,351,712	02/26/2002	Statistical Combining of Cell Expression Profiles			
6,352,853	03/05/2002	Multichannel Electrode Arrays			
6,370,478	04/09/2002	Methods for Drug Interaction Prediction Using Biological Response Profiles			
6,453,241	09/17/2002	Method & System for Analyzing Biological Response Signal Data			
6,461,807	11/10/2002	Methods for Drug Target Screening			
6,468,476	10/22/2002	Methods for Using Co-Regulated Genesets to Enhance Detection & Classification of Gene Expression Patterns			



	U.S. Patent Applications			
Serial #	Date filed	Title		
09/108,104	06/30/1998	Systems & Methods for the Functional Selection of Cloned DNA Inserts		
09/213,120	12/15/1998	Mammalian CAAX Processing Enzymes		
09/220,142	12/23/1998	Methods of Characterizing Drug Activities Using Consensus Profiles		
09/220,274	12/23/1998	Methods for Robust Discrimination of Profiles		
09/220,275	12/23/1998	Methods for Using Co-Regulated Genesets to Enhance Detection & Classification of Gene Expression Patterns		
09/222,597	12/28/1998	Methods for Robust Discrimination of Profiles		
09/282,243	03/31/1999	Methods for Identifying Pathway-Specific Reporters & Target Genes and Uses Thereof		
09/292,657	04/15/1999	Quantitative Methods, Systems & Apparatuses for Gene Expression Analysis		
09/364,751	07/30/1999	Iterative Probe Design & Detailed Expression Profiling with Flexible In-Situ Synthesis Arrays		
09/374,565	08/13/1999	Methods for Identifying Pathways of Drug Action		
09/408,582	09/29/1999	Methods of Ranking Oligonucleotides for Specificity Using Wash Dissociation Histories		
09/454,889	12/03/1999	Targeted Methods of Drug Screening Using Co- Culture Methods		
09/469,360	12/22/1999	Method & System for Analyzing Biological Response Data		
09/539,697	03/31/2000	Essential Genes of Yeast as Targets for Antifungal Agents, Herbicides, Insecticides & Anti-Proliferation Drugs		
09/540,806	03/31/2000	Methods for the Identification of Reporter & Target Molecules Using Comprehensive Gene Expression Profiles		
09/561,487	04/28/2000	Iterative Probe Design & Detailed Expression Profiling with Flexible In-Situ Synthesis Arrays		
09/616,849	07/14/2000	Method for Determining the Specificity & Sensitivity of Oligonucleotides for Hybridization		
09/724,538	11/28/2000	Compositions & Methods for Exon Profiling		
09/779,004	02/07/2001	Functionating Genomes with Cross Species Co-Regulation		
09/781,814	02/12/2001	Gene Discoveries Using Microarrays		

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	U.S. Patent Applications			
Serial #	Date filed	Title		
09/783,474	02/14/2001	Methods of Monitoring Disease States and Therapies Using Gene Expression Profiles		
09/823,322	04/02/2001	Methods of Determining Therapeutic Index from Gene Expression Profiles		
09/898,559	07/02/2001	Methods for Generating Differential Profiles by Combining Data Obtained in Separate Measurements		
09/946,290	09/04/2001	Methods for Identifying Pathway-Specific Reporters and Target Genes & Uses Thereof		
09/952,334	09/13/2001	Mammalian CAAX Processing Enzymes		
09/965,602	09/27/2001	Essential Genes of Yeast as Targets for Antifungal Agents, Herbicides, Insecticides & Anti-Proliferation Drugs		
09/975,843	10/12/2001	Methods of Determining Protein Activity Levels Using Gene Expression Profiles		
10/001,282	11/30/2001	Use of Profiling for Detecting Aneuploidy		
10/058,696	01/28/2002	Statistical Combining of Cell Expression Profiles		
10/092,285	03/05/2002	Microarray Reaction Cartridge		
10/171,581	06/14/2002	Signature Genes in Chronic Myologenous Leukemia		
10/172,118	06/14/2002	Diagnosis & Prognosis of Breast Cancer Patients		
10/182,209	07/22/2002	Barcoded Synthetic Lethal Screening to Identify Drug Targets		
10/186,383	06/28/2002	Method & System for Analyzing Biological Response Signal Data		
10/201,481	07/19/2002	Genes & Proteins Associated with T-cell Activation		
10/205,841	07/26/2002	Methods for Identification of Reporter & Target Molecules Using Comprehensive Gene Expression Profiles		
10/273,489	10/19/2002	Methods for Using Co-Regulated Genesets to Enhance Detection & Classification of Gene Expression Profiles		
10/287,130	11/04/2002	Statistical Combining of Cell Expression Profiles		
60/127,223	03/31/1999	Methods for the Identification of Reporter & Target Molecules Using Comprehensive Gene Expression Profiles		

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U.S. Patent Applications			
Serial #	Date filed	Title	
60/127,272	03/31/1999	Essential Genes of Yeast as Targets for Antifungal Agents, Herbicides, Insecticides and Anti-Proliferation Drugs	
60/138,620	06/11/1999	Telomere-Specific Pathway Reporter & Target Gene, and Uses Thereof	
60/144,382	07/16/1999	Iterative Probe Design & Detailed Expression Profiling with Flexible In-Situ Synthesis Arrays	
60/154,563	09/17/1999	Methods for Determining the Specificity & Sensitivity of Oligonucleotides for Hybridization	
60/177,460	01/20/2000	Barcoded Synthetic Lethal Screening to Identi Drug Targets	
60/215,935	07/05/2000	Methods for Genetic Interpretation & Predictio of Phenotype	
60/215,936	07/05/2000	Methods & Compositions for Determining Gen Function	
60/227,902	08/25/2000	Compositions & Methods for Exon Profiling	
60/227,966	08/25/2000	Gene Discovery Using Microarrays	
60/250,597	12/01/2000	Use of Profiling for Detecting Aneuploidy	
60/253,641	11/28/2000	Random-Primed Reverse Transcriptase In-Vite Transcription Method for RNA Amplification	
60/268,173	02/12/2001	Confirming the Exon Content of RNA Transcripts by PCR Using Primers Complementary to Each Respective Exon	
60/286,588	04/26/2001	Methods & Compositions for Utilizing Changes of Hybridization Signals During Approach to Equilibrium	
60/296,495	06/06/2001	Hybridization Cartridge for Microarrays	
60/298,914	06/18/2001	Signature Genes of Chronic Myelogenous Leukemia	
60/298,918	06/18/2001	Prognosis of Breast Cancer Patients	
60/306,968	07/20/2001	Genes & Proteins Associated with T-cell Activation	
60/309,067	07/31/2001	Methods & Compositions for Utilizing Changes of Hybridization Signals During Approach to Equilibrium	
60/316,648	08/31/2001	Methods for Preparing Nucleic Acid Samples Useful for Screening DNA Arrays	
60/353,416	02/01/2002	Computer System & Method for Identifying Genes and Determining Pathways Associated with Traits	

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U.S. Patent Applications				
Serial #	Date filed	Title		
60/353,845	01/31/2002	Methods for Analysis of Measurement Errors in Measured Signals		
60/377,714	05/03/2002	LXR-Ligand Induced Genes & Proteins		
60/380,710	05/14/2002	Diagnosis & Prognosis of Breast Cancer Patients		
60/381,437	05/16/2002	Computer System & Method for Identifying Genes and Determining Pathways Associated with Traits		
60/382,036	05/20/2002	Computer System & Methods for Subdividing a Complex Disease into Component Diseases		
60/382,629	06/28/2002	Methods to Assess Quality of Microarrays		
60/385188	05/30/2002	Splice Variant Isoform of Human HMG Co-A Reductase		
60/400,003	07/30/2002	Novel Splice Variant Isoforms of Human Calcium Channel		
60/400,522	08/02/2002	Computer Systems & Methods that Use Clinical & Expression Quantitative Trait Loci to Associate Genes with Traits		
60/407,155	08/29/2002	Splice Variant Isoforms of Human HMG Co-A Reductase		
60/408,058	09/03/2002	Splice Variant Isoform of Human PHKA2		
60/409,094	09/09/2002	Splice Variant Isoform of Human GRM2		
60/421,220	10/25/2002	Splice Variant Isoforms of Human Calcium Channel CACNA1B		

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National Patent Applications		
Serial #	Country	Title
22080/00	Australia	Method & System for Analyzing Biological Response Signal Data
23855/00	Australia	Statistical Combining of Cell Expression Profiles
37899/99	Australia	Methods of Determining Protein Activity Levels Using Gene Expression Profiles
38906/99	Australia	Identifying Pathways of Drug Action
14517/00	Australia	Methods for Using Co-Regulated Genesets to Enhance Detection & Classification of Gene Expression Pattern
40751/99	Australia	Quantitative Methods, Systems & Apparatuses for Gene Expression Analysis
46936/99	Australia	Methods of Monitoring Disease States & Therapies Using Gene Expression Profiles
61595/99	Australia	Methods for Identifying Multiple Primary Targets of a Drug
49635/99	Australia	Methods for Testing Biological Network Models



	National Patent Applications			
Serial #	Country	Title		
2,331,510	Canada	Quantitative Methods, Systems & Apparatuses for Gene Expression Analysis		
2,331,621	Canada	Identifying Pathways of Drug Action		
2,331,754	Canada	Methods of Determining Protein Activity Levels Using Gene Expression Profiles		
2,335,187	Canada	Methods for Testing Biological Network Models		
2,335,299	Canada	Methods of Monitoring Disease States & Therapies Using Gene Expression Profiles		
2,344,267	Canada	Methods for Identifying Multiple Primary Targets of a Drug		
2,348,837	Canada	Methods for Using Co-Regulated Genesets to Enhance Detection & Classification of Gene Expression Patterns		
2,356,648	Canada	Methods of Characterizing Drug Activities Using Consensus Profiles		
2,356,655	Canada	Method & System for Analyzing Biological Response Signal Data		
2,356,673	Canada	Methods for Drug Interaction Prediction Using Biological Response Profiles		
2,356,696	Canada	Statistical Combining of Cell Expression Profiles		
2,356,873	Canada	Methods for Determining Therapeutic Index from Gene Expression Profiles		
2,356,891	Canada	Methods for Robust Discrimination of Profiles		
2,378,933	Canada	Iterative Probe Design & Detailed Expression Profiling with Flexible In-Situ Synthesis Arrays		
2,379,212	Canada	Method for Determining Specificity & Sensitivity of Oligonucleotides for Hybridization		
2,393,360	Canada	Targeted Methods of Drug Screening Using Co-Culture Methods		
2,398,690	Canada	Bar-Coded Synthetic Lethal Screening to Identify Drug Targets		

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National Patent Applications			
Serial #	Country	Title	
00948665.5	EPC	Iterative Probe Design & Detailed Expression Profilin with Flexible In-Situ Synthesis Arrays	
00948666.3	EPC	Method for Determining Specificity & Sensitivity of Oligonucleotides for Hybridization	
00982313.9	EPC	Targeted Methods of Drug Screening Using Co-Cultu Methods	
01942675.8	EPC	Bar-Coded Synthetic Lethal Screening to Identify Dru Targets	
99920392.0	EPC	Methods of Determining Protein Activity Levels Using Gene Expression Profiles	
99921786.2	EPC	Identifying Pathways of Drug Action	
99924189.6	EPC	Quantitative Methods, Systems & Apparatuses for Gene Expression Analysis	
99930384.5	EPC	Methods for Testing Biological Network Models	
99930385.2	EPC	Methods of Monitoring Disease States & Therapies Using Gene Expression Profiles	
99948412.4	EPC	Methods for Identifying Multiple Primary Targets of a Drug	
99966569.8	EPC	Method & System for Analyzing Biological Response Signal Data	
99966667.0	EPC	Methods for Determining Therapeutic Index from Ger Expression Profiles	
99967502.8	EPC	Methods of Characterizing Drug Activities Using Consensus Profiles	
99967594.5	EPC	Statistical Combining of Cell Expression Profiles	
99967595.2	EPC	Methods for Drug Interaction Prediction Using Biological Response Profiles	
99968165.3	EPC	Methods for Robust Discrimination of Profiles	
99971047.8	EPC	Methods for Using Co-Regulated Genesets to Enhance Detection & Classification of Gene Expression Pattern	



	National Patent Applications			
Serial #	Country	Title		
12-548499	Japan	Identifying Pathways of Drug Action		
12-548511	Japan	Quantitative Methods, Systems & Apparatuses for Gene Expression Analysis		
12-548781	Japan	Methods of Determining Protein Activity Levels Using Gene Expression Profiles		
12-554833	Japan	Methods of Monitoring Disease States & Therapies Using Gene Expression Profiles		
12-554875	Japan	Methods for Testing Biological Network Models		
12-574301	Japan	Methods for Identifying Multiple Primary Targets of a Drug		
12-578488	Japan	Methods for Using Co-Regulated Genesets to Enhance Detection & Classification of Gene Expression Patterns		
12-591224	Japan	Methods of Characterizing Drug Activities Using Consensus Profiles		
12-591225	Japan	Methods for Robust Discrimination of Profiles		
12-591226	Japan	Method & System for Analyzing Biological Response Signal Data		
12-591227	Japan	Statistical Combining of Cell Expression Profiles		
12-591228	Japan	Methods for Drug Interaction Prediction Using Biological Response Profiles		
12-591229	Japan	Methods for Determining Therapeutic Index from Gene Expression Profiles		
13-511150	Japan	Iterative Probe Design & Detailed Expression Profiling with Flexible In-Situ Synthesis Arrays		
13-511221	Japan	Method for Determining Specificity & Sensitivity of Oligonucleotides for Hybridization		
13-542581	Japan	Targeted Methods of Drug Screening Using Co-Culture Methods		
13-553391	Japan	Bar-Coded Synthetic Lethal Screening to Identify Drug Targets		



Active PCT Patent Applications			
Serial #	Country	Title	
PCT/US00/35352	PCT	Use of Profiling for Detecting Aneuploidy	
PCT/US01/20928	PCT	Methods & Compositions for Determining Gene Function	
PCT/US01/20931	PCT	Methods for Genetic Interpretation & Prediction of Phenotype	
PCT/US01/26274	PCT	Gene Discovery Using Microarrays	
PCT/US01/26541	PCT	Compositions & Methods for Exon Profiling	
PCT/US01/44821	PCT	Random-Primed Reverse Transcriptase In-Vitro	
		Transcription Method for RNA Amplification	
PCT/US01/48527	PCT	Sample Tracking Using Molecular Barcodes	
PCT/US02/04219	PCT	Confirming Exon Content of RNA Transcripts by PCR	
		Using Primers Complementary to Each Respective Exon	
PCT/US02/12757	PCT	Methods & Compositions for Utilizing Changes of	
		Hybridization Signals During Approach to Equilibrium	
PCT/US02/18947	PCT	Diagnosis & Prognosis of Breast Cancer Patients	
PCT/US02/20835	PCT	Methods of Generating Differential Profiles by	
		Combining Data Obtained in Separate Measurements	
PCT/US02/22787	PCT	Methods for Preparing Nucleic Acid Samples Useful for Screening DNA Arrays	

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PATENT REEL: 020299 FRAME: 0033

RECORDED: 12/21/2007