# Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

# **CONVEYING PARTY DATA**

| Name                 | Execution Date |
|----------------------|----------------|
| Mark James Bamford   | 09/05/2006     |
| David Matthew Wilson | 09/04/2006     |

## **RECEIVING PARTY DATA**

| Name:             | Glaxo Group Limited  |  |
|-------------------|----------------------|--|
| Street Address:   | Glaxo Wellcome House |  |
| Internal Address: | Berkeley Avenue      |  |
| City:             | Greenford, Middlesex |  |
| State/Country:    | UNITED KINGDOM       |  |
| Postal Code:      | UB6 0NN              |  |

### PROPERTY NUMBERS Total: 1

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 11994689 |

### **CORRESPONDENCE DATA**

Fax Number: (919)483-7977

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: (919) 483-9552

Email: rebecca.f.kerney@gsk.com

Correspondent Name: GlaxoSmithKline

Address Line 1: Five Moore Drive, P.O. Box 13398

Address Line 2: Corporate Intellectual Property-MAI B475

Address Line 4: ResearchTrianglePark, NORTH CAROLINA 27709-3398

ATTORNEY DOCKET NUMBER: PB61501USW (BLD/RFK) NAME OF SUBMITTER: Bonnie L. Deppenbrock

Total Attachments: 4

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PATENT **REEL: 020318 FRAME: 0335** 

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PATENT REEL: 020318 FRAME: 0336 Attorney Docket No.: PB61501USw

Form **PTO-1595** (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

| RECORDATION FORM COVER SHEET PATENTS ONLY  |   |  |
|--|---|--|
| To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.  |   |  |
| 1. Name of conveying party(ies)  Mark James BAMFORD (September 5, 2006) David Matthew WILSON (September 4, 2006)   | 2. Name and address of receiving party(ies)  Name: Glaxo Group Limited  Internal Address:   |  |
| A. Patent Application No.(s) 11/994,689  | Street Address: Glaxo Wellcome House  Berkeley Avenue  City: Greenford, Middlesex  State:  Country: UK Zip: UB6 0NN  Additional name(s) & address(es) attached? Yes No document is being filed together with a new application.  B. Patent No.(s) |  |
| Additional numbers attended to the second se | 6. Total number of applications and patents involved:1  |  |
| Name: GlaxoSmithKline  Internal Address: Corporate Intellectual Property  MAI - B475  Street Address: Five Moore Drive  P.O. Box 13398   | 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  |  |
| City:         Research Triangle Park           State:         NC         Zip: 27709-3398           Phone Number:         (919) 483-9552  | 8. Payment Information  a. Credit Card Last 4 Numbers Expiration Date   |  |
| Fax Number: (919) 483-7977  Email Address: rebecca.f.kerney@gsk.com  9. Signature:   | Authorized User Name Bonnie L. Deppenbrock  |  |
| Signature:  Bonnie L. Deppenbrock (Registration No. 28,209)  Name of Person Signing  | January 4, 2008  Date  Total number of pages including cover sheet, attachments, and documents:   |  |

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or malled to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**REEL: 020318 FRAME: 0337** 

PB61501/US

**ASSIGNMENT** 

WHEREAS I/WE, Mark James BAMFORD and David Matthew WILSON both

of Harlow, Essex, GB (hereinafter called "the inventor(s)") have invented or

discovered "NOVEL COMPOUNDS" (hereinafter called "the invention") for which

a patent application no's. 0513886.2 was filed on 06 July 2005 in the United

Kingdom and for which an international patent application is now being filed

designating the United States of America. I/we hereby authorize and request that

the filing date and PCT International application, when known, be inserted here in

parentheses;

(PCT/EP2006/006613 filed 04 July 2006)

WHEREAS the invention, being made in the course of my employment, belongs

to my/our employer, namely GLAXOSMITHKLINE SERVICES UNLIMITED a

company incorporated in England and Wales whose registered address is 980

Great West Road, Brentford, Middlesex TW8 9GS, England, and

WHEREAS GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED,

a company incorporated in England and Wales whose registered address is 980

Great West Road, Brentford, Middlesex TW8 9GS, England, is desirous of

acquiring from GLAXOSMITHKLINE SERVICES UNLIMITED the whole right, title

and interest in and to the invention and the application, and

GLAXOSMITHKLINE SERVICES UNLIMITED and GLAXOSMITHKLINE

RESEARCH AND DEVELOPMENT LIMITED have authorised and requested

my/our making the application, and

WHEREAS GLAXO GROUP LIMITED a company incorporated in England and

Wales whose registered address is Glaxo Wellcome House, Berkeley Avenue,

Greenford, Middlesex, UB6 0NN, England, is desirous of acquiring from

GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED the whole

right, title and interest in and to the invention and the application in respect of the

United States of America;

PATENT

REEL: 020318 FRAME: 0338

NOW, THEREFORE, to all whom it may concern be it known that I/we, the inventor(s), hereby confirm the ownership by GLAXOSMITHKLINE SERVICES UNLIMITED of the invention and the application by operation of law and, if under the law of the United States of America I/we the inventor(s) have any ownership right, title and interest in the invention and the application (which I/we do not believe to be the case and claim no ownership right, title or interest in the invention and the application based on the law of the United Kingdom), I/we the inventor(s) nevertheless hereby assign and transfer such ownership right, title and interest in and to the invention and the application to GLAXOSMITHKLINE SERVICES UNLIMITED. GLAXOSMITHKLINE SERVICES UNLIMITED in turn hereby assigns and transfers to GLAXOSMITHKLINE RESEARCH AND **DEVELOPMENT LIMITED** its whole right, title and interest in and to the invention and the application. GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED in turn hereby assigns and transfers to GLAXO GROUP LIMITED its whole right, title and interest in and throughout the United States of America in and to the invention and the application and in and to any priority rights in respect of the invention and the application and in and to any divisional application, continuation or continuation in part application thereof, and in and to any extension or re-issue thereof, and I/we the inventor(s) and GLAXOSMITHKLINE SERVICES UNLIMITED and GLAXOSMITHKLINE RESEARCH **DEVELOPMENT LIMITED** hereby authorise and request any patent arising therefrom in the United States of America be issued to GLAXO GROUP LIMITED.

AND GLAXOSMITHKLINE SERVICES UNLIMITED and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more effectually secure to and vest in GLAXO GROUP LIMITED, its successors and assigns, the whole right, title and interest in and to the invention and the application hereby assigned and transferred in respect of the United States of America.

PATENT REEL: 020318 FRAME: 0339 IN WITNESS whereof and with effect from 06 July 2005 the inventor(s) and Peter John GIDDINGS as Attorney of both GLAXOSMITHKLINE SERVICES UNLIMITED and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED by virtue of Powers of Attorney granted by GLAXOSMITHKLINE SERVICES UNLIMITED and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED respectively have hereunto set their respective hands.

| SIGNED by Mark James BAMFORD : | Myndord |
|--------------------------------|---------|
| Date: 5th September 2006       |         |
|                                | JMWdr - |
| Date: 4 TH SEPT 2006           |         |

SIGNED by Peter John GIDDINGS as the Attorney of each of GLAXOSMITHKLINE SERVICES UNLIMITED and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED:

| Alle | 11 SEP 2006<br>Date: |
|------|----------------------|
|      |                      |

PATENT
RECORDED: 01/04/2008 REEL: 020318 FRAME: 0340