### Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: **NEW ASSIGNMENT** RELEASE BY SECURED PARTY NATURE OF CONVEYANCE:

#### **CONVEYING PARTY DATA**

Name	Execution Date
Back Bay Capital Funding LLC	12/20/2007

#### **RECEIVING PARTY DATA**

Name:	Universal Lighting Technologies, Inc.	
Street Address:	26 Century Blvd.	
Internal Address:	Suite 500	
City:	Nashville	
State/Country:	TENNESSEE	
Postal Code:	37214-3683	

#### PROPERTY NUMBERS Total: 78

Property Type	Number
Patent Number:	6720741
Patent Number:	7015652
Patent Number:	7019662
Patent Number:	7247998
Patent Number:	6813125
Patent Number:	6437520
Patent Number:	6437250
Patent Number:	6333605
Patent Number:	6291944
Patent Number:	6281641
Patent Number:	6274987
Patent Number:	6225757
Patent Number:	6204613
Patent Number:	6204602
	DATENT

PATENT

**REEL: 020339 FRAME: 0410** 

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Patent Number:	6177769
Patent Number:	6137239
Patent Number:	0611365
Patent Number:	6091199
Patent Number:	6074263
Patent Number:	6051940
Patent Number:	6031340
Patent Number:	6023132
Patent Number:	5982113
Patent Number:	5973455
Patent Number:	5959408
Patent Number:	5936357
Patent Number:	5925990
Patent Number:	5877592
Patent Number:	5838181
Patent Number:	5831395
Patent Number:	5818181
Patent Number:	5817975
Patent Number:	5788527
Patent Number:	5751523
Patent Number:	5751118
Patent Number:	5735703
Patent Number:	5731667
Patent Number:	5731666
Patent Number:	5650925
Patent Number:	5642019
Patent Number:	5635799
Patent Number:	5625939
Patent Number:	5614810
Patent Number:	5583402
Patent Number:	5583398
Patent Number:	5568041
Patent Number:	5539281
Patent Number:	5510762
Patent Number:	5500576

REEL: 020339 FRAME: 0411

Patent Number:	5497052
Patent Number:	5493181
Patent Number:	5493180
Patent Number:	5488268
Patent Number:	5463522
Patent Number:	5461287
Patent Number:	5440475
Patent Number:	5405271
Patent Number:	5396155
Patent Number:	5250316
Patent Number:	5350292
Patent Number:	5349508
Patent Number:	5260678
Patent Number:	5204587
Patent Number:	5132595
Patent Number:	4952899
Patent Number:	4937547
Patent Number:	4924350
Patent Number:	4902938
Patent Number:	4853667
Patent Number:	4812601
Patent Number:	4808959
Patent Number:	4775337
Patent Number:	4766406
Patent Number:	4724602
Patent Number:	4716343
Patent Number:	4686427
Patent Number:	4667281
Patent Number:	4636763

#### **CORRESPONDENCE DATA**

Fax Number: (212)735-8708

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 212-735-8751

Email: mantoinette@morrisoncohen.com

Correspondent Name: Marissa Antoinette

Address Line 1: 909 Third Avenue

Address Line 2: 27th Floor

Address Line 4: New York, NEW YORK 10022

NAME OF SUBMITTER: Marissa Antoinette

**Total Attachments: 5** 

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## RELEASE OF SECURITY INTEREST IN PATENTS

FOR VALUE RECEIVED, the undersigned, BACK BAY CAPITAL FUNDING LLC, a Delaware limited liability company ("Assignor"), does hereby sell, assign, transfer and convey to UNIVERSAL LICHTING TECHNOLOGIES, INC., a Delaware corporation ("Assignee"), without any representation or warranty by, or recourse to, Assignor, all right, title and interest of Assignor in and to the patents and patent applications listed on Schedule A attached hereto, arising under that certain Patent Security Agreement dated October 21, 2004, between Assignee and Assignor (as at any time amended, the "Patent Security Agreement"), recorded November 9, 2004, on Reel No. 015377, Frame 0396, United States Patent and Trademark Office.

This Assignment is intended to operate as a release of all liens and security interests conveyed by Assignee to Assignor pursuant to the terms of the Patent Security Agreement, and to reassign to Assignee all of Assignor's right, title, and interest acquired pursuant to the terms of the Patent Security Agreement.

IN WITNESS WHEREOF, Assignor has caused this Agreement to be signed by its duly authorized officer on December 20, 2007.

BACK BAY/CAPITAL FUNDING LLC

Name: Peter Sherman

Managing Director

Title:

PATENT

REEL: 020339 FRAME: 0414

#### SCHEDULE A

# UNIVERSAL LIGHTING TECHNOLOGIES PATENT INTELLECTUAL PROPERTY

Patent or Service Mark	Federal Registrations United States Patent and Trademark Office Publication No. Publication Date	
	Publication No.	Publication Date
Electronic ballast having open circuit in output	6720741	4/13/2004
Electronic Ballast Having End of Lamp Life, Overheating, and Shut Down Protections, and Relamping and Multiple Striking Capabilities	7015652	10/17/2003
LED Drive for Generating Constant Light Output	7019662	7/29/2003
Transient Detection of End of Lamp Life Condition Apparatus and Method	7247998	7/31/2003
Secondary Ground Fault Protected Neon Transformer	6813125	7/1/2002
Electronic ballast with cross-coupled outputs	6437520	8/20/2002
Cable Reel Structure	6437250	4/12/2001
Light modulating electronic ballast	6333605	12/25/2001
System and method for limiting through- lamp ground fault currents in non-isolated electronic ballasts	6291944	9/18/2001
Electronic ballast for one or more lamps	6281641	8/28/2001
Power sensing lamp protection circuit for ballasts driving gas discharge lamps	6274987	8/14/2001
Combination MH/HPS ballasts	6225757	5/1/2001
Protected dimming control interface for an electronic ballast	6204613	3/20/2001
Compact fluorescent lamp and ballast assembly with an air gap for thermal isolation	6204602	3/20/2001
Electric ballast with selective power dissipation	6177769	1/23/2001
Electric ballast with selective load control	6137239	10/24/2000
Fast starting, surge limited, electronic ballast	6111365	8/29/2000
Heat spreader for electronic ballast	6091199	7/18/2000
Methods of manufacturing ballasts and starter assemblies used in gas discharge lamps	6074263	6/13/2000

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Patent or Service Mark	Federal Registrations United States Patent and Trademark Office	
Y		
	Publication No.	Publication Date
Safety control circuit for detecting the removal of lamps from a ballast and reducing the through-lamp leakage	6051940	4/18/2000
Device and method for capacitive bi-level switching of high intensity discharge	6031340	2/29/2000
lighting Electronic ballast deriving auxiliary power from lamp output	6023132	2/8/2000
Electronic ballast producing voltage having trapezoidal envelop for instant start lamps	5982113	11/9/1999
Electronic ballast with filament cut-out	5973455	10/26/1999
Symmetry Control circuit for pre-heating in electronic ballasts	5959408	9/28/1999
Electronic ballast that manages switching frequencies for extrinsic purposes	5936357	8/10/1999
Microprocessor controlled electronic ballast	5925990	7/20/1999
Programmed-start parallel-resonant electronic ballast	5877592	3/2/1999
Pulse-width modular circuit for use in low post power factor correction circuit	5838181	11/17/1998
Three-way fluorescent adapter	5831395	11/3/1998
Neon lamp isolation transformer for mid- point commoned neon lamps	5818181	10/6/1998
Housing with integral mounting bracket	581 <b>7</b> 975	10/6/1998
Electrical connector with improved safety latching for a fluorescent-lighting	5788527	8/4/1998
Secondary ground fault protected luminous tube transformer for mid-point connected luminous tubes	5751523	5/12/1998
Universal input dimmer interface	5751118	5/12/1998
Recessed bushing style luminous tube transformer featuring versatile mounting configuration	5735703	4/7/1998
Hybrid sequence start ballast for an instant start discharge lamp	5731667	3/24/1998
Integrated magnetic filter having a lossy shunt	5731666	3/24/1998
Diode clamping arrangement for use in electronic ballast	5650925	7/22/1997
Safety protector for non isolated ballasts	5642019	6/24/1997
Lamp protection circuit for electronic ballasts	5635799	6/3/1997

Patent or Service Mark	Federal Registrations United States Patent and Trademark Office	
	Publication No.	Publication Date
Method for assembling an inductive device	5625939	5/6/1997
Power factor correction circuit; in a pre- regulator system	5614810	3/25/1997
Symmetry control circuit and method; circuit for controlling the flow of current through a load	5583402	12/10/1996
Powerfactor correcting flyback arrangement having a resonant capacitor; ballast circuit	5583398	12/10/1996
Lighting-Low Cost Power Factor Correction	5568041	10/22/1996
Externally dimmable electronic ballast	5539281	7/23/1996
Simplified ballast transformer assembly; mounting arrangement for an inductive device	5510762	4/23/1996
Low height ballast for fluorescent lamps	5500576	3/19/1996
Isolated constant wattage lamp ballast	5497052	3/5/1996
Capacitive lamp out detector	5493181	2/20/1996
Lamp protective, electronic ballast; for powering a gas discharge lamp	5493180	2/20/1996
Electrical connector with improved centering of mating terminal pins, for a fluorescent-lighting ballast	5488268	1/30/1996
Housing and mounting arrangement for thermal protector device	5463522	10/31/1995
Booster driven inverter ballast employing the output from the inverter to trigger the booster	5461287	10/24/1995
Electronic ballast with low harmonic distortion	5440475	8/8/1995
Apparatus and method for improving assembly of leadless ballasts into fluorescent luminaries	5405271	4/11/1995
Self-dimming electronic ballast	5396155	3/7/1995
Fluorescent-lamp leadless ballast with improved connector	5350316	9/27/1994
Electrical half connector with contact- centering vanes	5350292	9/27/1994
Integral housing for ballast and fluorescent lamps	5349508	9/20/1994
Fluorescent-lamp leadless ballast with improved connector	5260678	11/9/1993
Fluorescent lamp powered control	5204587	4/20/1993
Filament switch for a lamp ballast	5132595	7/21/1992

Patent or Service Mark	Federal Registrations United States Patent and Trademark Office	
	Publication No.	Publication Date
Shunt holding means for ballast	4952899	8/28/1990
Shunt retaining clip in a ballast	4937547	6/26/1990
Retention clip for a thermal protection sensor	4924350	5/8/1990
Electronic ballast with high voltage protection	4902938	2/20/1990
Wire bobbin for inductive devices	4853667	8/1/1989
Electrical terminal	4812601	3/14/1989
Electrical coil with tap transferring to end-	4808959	2/28/1989
layer position		
Conductive wire with integral electrical terminal	4775337	10/4/1998
Fluorescent ballast assembly	4766406	8/23/1988
Autotransformer with common winding having oppositely wound sections	4724602	2/16/1988
Constant illumination, remotely dimmable electronic ballast; discharge lamps	4716343	12/29/1987
Fluorescent lamp dimming switch	4686427	8/11/1987
Speed-up circuit for switched power transistor	4667281	5/19/1987
Bobbin with strain relief	4636763	1/13/1987

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