

Form PTO-1595 (Rev. 08/05)
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U.S. DEPARTMENT OF COMMERCE
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

- 1. Clifford Weber
- 2. Carol Alexander
- 3. Charles Baker

2. Name and address of receiving party(ies)

Name: American Stock Exchange, LLC

Internal Address: _____

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

- 1. 09/03/02
- 2. 10/09/02
- 3. 09/04/02

Execution Date(s) _____

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

Street Address: 86 Trinity Place

City: New York

State: New York

Country: USA Zip: 10006-1872

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

11/974,468

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael J. Stimson

Internal Address: HOWREY LLP

Atty. Dkt.: 00322.0009.DVUS01

Street Address: 2941 Fairview Park Drive, Suite 200

City: Falls Church

State: VA Zip: 22042

Phone Number: (949) 759-3961

Fax Number: (703) 336-6950

Email Address: stimsonm@howrey.com

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ 40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

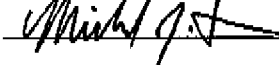
8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 08-3038

Authorized User Name Howrey LLP

9. Signature:  Signature

January 9, 2008 Date

Michael J. Stimson, Reg. No. 45,429
Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

6

CH \$40.00 083038 11974468

Attorney Docket No: 11657-005001

ASSIGNMENT

For valuable consideration, we, Clifford Weber, of Basking Ridge, New Jersey, Carol Alexander, of Purley, Surrey, United Kingdom, Charles A. Baker, of Wayne, Pennsylvania, hereby assign to:

The American Stock Exchange, LLC, a Delaware corporation having a place of business at:

86 Trinity Place
New York, NY 10006-1872
United States of America; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled HEDGING EXCHANGE TRADED MUTUAL FUNDS OR OTHER PORTFOLIO BASKET PRODUCTS, filed June 17, 2002, and assigned U.S. Serial Number 10/174,505, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Attorney Docket No: 11657-005001

IN WITNESS WHEREOF, I hereto set my hand and seal at American Stock Exchange
this 3 day of September, 2002
Clifford Weber L.S.
Clifford Weber

State of New York :
County of Richmond : ss.

Before me this 3 day of September, 2002, personally
appeared Clifford Weber known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Arlene M. Collins-Day
Notary Public

My Commission Expires:

ARLENE M. COLLINS-DAY
Notary Public, State of New York
No. 01C4707748
Certificate filed in Richmond County
Commission Expires April 30, ~~2002~~
2003

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at _____
this _____ day of _____, 20____

Carol Alexander L.S.

State of _____ :
County of _____ : ss.

Attorney Docket No: 11657-005001

IN WITNESS WHEREOF, I hereto set my hand and seal at London, England
this 9th day of October, 2002

Clifford Weber

L.S.

State of _____ :

: ss.

County of _____ :

Before me this _____ day of _____, 20_____, personally
appeared Clifford Weber known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at London, England
this 9th day of October, 2002

Carol Alexander

L.S.

State of England :

: ss.

County of Greater London

Attorney Docket No: 11657-005001

Before me this _____ day of _____, 20_____, personally appeared Carol Alexander known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at _____
this 4th day of September, 2002
[Signature] L.S.
Charles A. Baker

State of Pennsylvania :
: ss.
County of Chester :

Before me this 4th day of September, 2002, personally appeared Charles A. Baker known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

[Signature]
Notary Public

My Commission Expires:

[Notary's Seal Here]

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NOTARIAL SEAL
PATRICIA A. SHIELDS, Notary Public
Elverson, Chester County
My Commission Expires Dec. 30, 2002