

01-11-2008

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

U.S. PTO
12/005674
12/28/2007



ET

103474475

To the Director of the U.S. Patent &

documents or the new address(es) below.

1. Name of conveying party(ies)

Jerry Murray
Allen B. Carney
Randall L. Bax

Elias Ayana
Roger Pautzke
John McCarthy

2. Name and address of receiving party(ies)

Name: Cummins Power Generation IP, Inc.

Internal Address: _____

Street Address: 1400-73rd Avenue, NE

City: Minneapolis

State: MN

Country: USA Zip: 55432

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) December 20, 2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

New Application

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: John H. Allie

Internal Address: Krieg DeVault LLP

Street Address: One Indiana Square, Suite 2800

City: Indianapolis

State: IN Zip: 46204

Phone Number: 317-636-4341

Fax Number: 317-636-1507

Email Address: ipdocketing@kdlegal.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 1070
Expiration Date 04/10

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:

Signature

December 28, 2007

Date

John H. Allie
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 9

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

12/31/2007 MARZ11 00000003 12005674
05 FC:0021 40.00 DP

PATENT
REEL: 020355 FRAME: 0566

ASSIGNMENT

WHEREAS, Jerry Murray of 16307 280th Street, Center City, Minnesota 55012; Randall L. Bax of 708 142nd Avenue NW, Andover, Minnesota 55304; Elias Ayana of 1326 Coach Road, #232, St. Paul, Minnesota 55432; Allen B. Carney of 3225 Ridgewood Avenue, Vadnais Heights, Minnesota 55127; John McCarthy of 9910 28th Avenue N., Plymouth, Minnesota 55441; and Roger Pautzke of 435 Arnica Drive, Watertown, Minnesota 55388, hereinafter referred to as Assignors, have made one or more new and useful inventions in TRANSFER SWITCH ASSEMBLY for which Assignors have made or will make application for Letters Patent of the United States (hereinafter the "Application"); and

WHEREAS, Cummins Power Generation IP, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware, having a principal place of business at 1400 73rd Avenue NE, Minneapolis, Minnesota 55432, desires to acquire all of the entire right, title and interest in, to and under the one or more inventions disclosed, described and claimed or intended so to be in the Application, and in, to and under the Application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefore and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under the one or more inventions disclosed, described and claimed or intended so to be in the Application, and any and all other applications thereon and arising therefrom, including any and all applications claiming the benefit thereof, and any and all divisions, continuations, and continuations-in-part thereof,

and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors acknowledge that the Application was executed concurrently herewith unless a different execution date is indicated in item (a) below. Assignors hereby authorize Assignee, its counsel, or its agents to fill-in some or all of the following list of information items (a) through (c) at the discretion of the Assignee, its counsel, or its agents:

- (a) Execution Date of the Application: _____
- (b) Patent Office Number of the Application: _____
- (c) Filing Date of the Application: _____

Assignors hereby authorize and request the Honorable Assistant Secretary and Commissioner for Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

* * * * *

WITNESS Assignor's hand this 20 day of DECEMBER, 2007.

Jerry Murray
Jerry Murray

STATE OF MINNESOTA)
) : SS
COUNTY OF ANOKA)

Before me, a Notary Public, in and for said County and State, personally appeared Jerry Murray, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

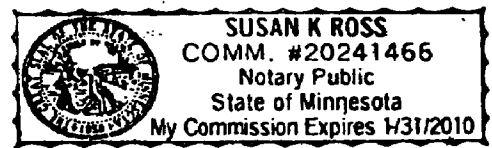
WITNESS my hand and Notarial Seal this 20 day of DECEMBER, 2007.

Susan K. Ross
Notary Public

Printed: SUSAN K. ROSS

Resident of ANOKA County

My Commission Expires:
1-31-2010



WITNESS Assignor's hand this 20 day of DECEMBER, 2007.

Randall L. Bax
Randall L. Bax

STATE OF MINNESOTA)
) : SS
COUNTY OF ANOKA)

Before me, a Notary Public, in and for said County and State, personally appeared Randall L. Bax, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 20 day of DECEMBER, 2007.

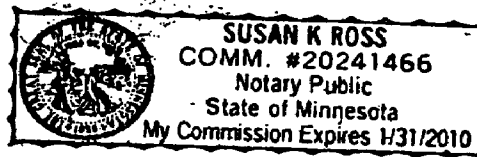
Susan K. Ross
Notary Public

Printed: SUSAN K. ROSS

Resident of ANOKA County

My Commission Expires:

1-31-2010



WITNESS Assignor's hand this 20 day of December, 2007.

Elias Ayana
Elias Ayana

STATE OF MINNESOTA)
) : SS
COUNTY OF ANOKA)

Before me, a Notary Public, in and for said County and State, personally appeared Elias Ayana, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 20 day of December, 2007.

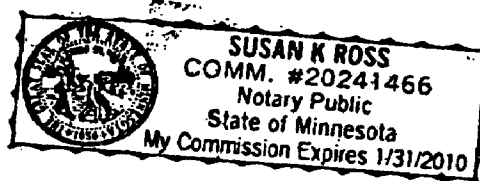
Susan K. Ross
Notary Public

Printed: SUSAN K. ROSS

Resident of ANOKA County

My Commission Expires:

1-31-2010



WITNESS Assignor's hand this 20 day of December, 2007.

Allen B. Carney
Allen B. Carney

STATE OF MINNESOTA)
COUNTY OF ANOKA) : SS

Before me, a Notary Public, in and for said County and State, personally appeared Allen B. Carney, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 20 day of December, 2007.

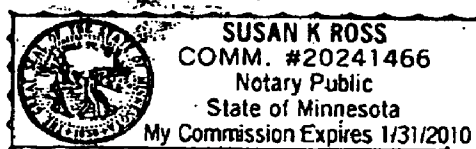
Susan K. Ross
Notary Public

Printed: SUSAN K. ROSS

Resident of ANOKA County

My Commission Expires:

1-31-2010



WITNESS Assignor's hand this 20 day of December, 2007.

John McCarthy
John McCarthy

STATE OF MINNESOTA)
COUNTY OF ANOKA) : SS

Before me, a Notary Public, in and for said County and State, personally appeared John McCarthy, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

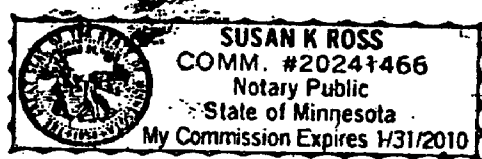
WITNESS my hand and Notarial Seal this 20 day of December, 2007.

Susan K. Ross
Notary Public

Printed: SUSAN K ROSS

Resident of Anoka County

My Commission Expires:
1-31-2010



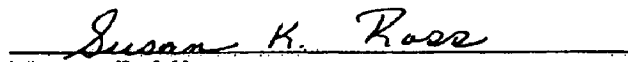
WITNESS Assignor's hand this 20 day of December, 2007.


 Roger Pautzke

STATE OF MINNESOTA)
) : SS
 COUNTY OF ANOKA)

Before me, a Notary Public, in and for said County and State, personally appeared Roger Pautzke, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 20 day of December, 2007.


 Notary Public

Printed: SUSAN K. ROSS

Resident of ANOKA County

My Commission Expires:

1-31-2010

