

U.S. PTO

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeRECORD/  
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103476806

To the Director of the U.S. Patent and Trademark

is new address(es) below.

## 1. Name of conveying party(ies)

1. Shigeo OKA;
2. Rika TEZUCHI: and
3. Etsuyo YAMADA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance/Execution Date(s):

Execution Date(s) DECEMBER 21, 2007

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Joint Research Agreement
- ☐ Government Interest Assignment
- ☐ Executive Order 9424, Confirmatory License
- ☐ Other

## 2. Name and address of receiving party(ies)

Name: PENTEL KABUSHIKI KAISHA

Internal Address:

Street Address: 7-2, NIHONBASHI KOAMI-CHO

CHUO-KU

City: TOKYO

State:

Country: JAPAN Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

01/14/2008 KGBREK1 00000014 29294427

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Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: BRUCE L. ADAMS, ESQ.

Internal Address:

Street Address: ADAMS &amp; WILKS

17 BATTERY PLACE-SUITE 1231

City: NEW YORK

State: NY Zip: 10004

Phone Number: 212-809-3700

Fax Number: 212-809-3704

Email Address:

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 1.21(h) &amp; 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
- ☒ Authorized to be charged to deposit account -- any deficiency in enclosed fee
- ☒ Enclosed
- ☐ None required (government interest not affecting title)

## 8. Payment Information

a. Credit Card Last 4 Numbers Expiration Date

b. Deposit Account Number 01-0268

Authorized User Name ADAMS &amp; WILKS

## 9. Signature:

Signature

JANUARY 10, 2008

Date

BRUCE L. ADAMS  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1460, Alexandria, V.A. 22313-1460PATENT  
REEL: 020402 FRAME: 0994

**ASSIGNMENT**

WHEREAS, we, Shigeo OKA, Rika TEZUCHI and

Etsuyo YAMADA

have invented new and useful improvements in

BALLPOINT PEN

for which we have prepared an application for Letters Patent of  
the United States of America;

AND WHEREAS, PENTEL KABUSHIKI KAISHA

of 7-2, Nihonbashi Koami-cho, Chuo-ku, Tokyo, Japan

is desirous of acquiring an interest therein and in the Letters  
Patent to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may  
concern, that for and in consideration of Ten Dollars (\$10.00)  
and other good and valuable consideration to us in hand paid, the  
receipt of which is hereby acknowledged, we have assigned, sold  
and transferred and by these presents do hereby assign, sell and  
transfer unto the said PENTEL KABUSHIKI KAISHA

for the territory of the United  
States of America, our entire right, title and interest in and to  
the said invention, and in and to the said application, prepared  
and executed by us on December 21, 2007, filed  
19\_\_, Serial No. \_\_\_\_\_, preparatory to obtaining Letters  
Patent thereon and in and to any and all divisions, reissues and

continuations thereof, and in and to any Letters Patent that may be granted thereon; said invention, application and Letters Patent to be held and enjoyed by the said \_\_\_\_\_

PENTEL KABUSHIKI KAISHA

its legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment, sale and transfer not been made.

AND, we hereby covenant and agree that we will at any time upon the request and at the expense of said assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and we hereby authorize and request the Honorable Commissioner of Patents and Trademarks to issue the said Letters Patent to the said \_\_\_\_\_

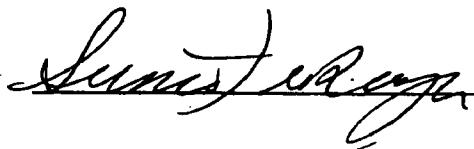
PENTEL KABUSHIKI KAISHA

in accordance with this assignment.

Executed this 21st day of December, 2007 at

Tokyo, Japan

Witness:



Shigeo Oka (L.S.)  
Shigeo OKA

Rika Tezuchi (L.S.)  
Rika TEZUCHI

Etsuyo Yamada (L.S.)  
Etsuyo YAMADA

\_\_\_\_\_  
(L.S.)

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(L.S.)