-22.08

Form **PTO-1595** (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008 U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

103477291

HEET

PATENTS ONLY			
To the Director of the LLS. Patent and Trademark Office: Please record the attached documents or the new address(es) below			

1. Name of conveying party(ies)	2. Name and address of receiving party(ies)		
Francesca Bertone	Name: Pax Streamline, Inc.		
	Internal Address:		
Additional name(s) of conveying party(ies) attached? Yes V No			
3. Nature of conveyance/Execution Date(s):	Street Address: 1615 Fifth Avenue		
Execution Date(s) 11 January 2008			
Assignment Merger			
Security Agreement Change of Name	City: San Rafael		
Joint Research Agreement	State: California		
Government Interest Assignment	Country 11.0 A 75m 04004		
Executive Order 9424, Confirmatory License	Country: U.S.A Zip: 94901		
Other	Additional name(s) & address(es) attached? Yes 🗹 No		
4. Application or patent number(s):	document is being filed together with a new application.		
A. Patent Application No.(s)	B. Patent No.(s)		
11/906,060			
Additional numbers of	ached? ☐Yes ✓No		
5. Name and address to whom correspondence	6. Total number of applications and patents		
concerning document should be mailed:	involved: 1		
Name: Carr & Ferrell LLP	7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00		
Internal Address:	Authorized to be charged by credit card		
monal radios.	✓ Authorized to be charged to deposit account		
Street Address (SOO) C	✓ Enclosed		
Street Address: 2200 Geng Road	None required (government interest not affecting title)		
	8. Payment Information		
City: Palo Alto			
State: CA Zip:94303	a. Credit Card Last 4 Numbers Expiration Date		
Phone Number: 650-812-3400			
Fax Number: 650-812-3444	b. Deposit Account Number <u>06-0600</u> 01/23/2008 MJAMA1 00000041 11906060		
Email Address:	Authorized User Name Kenneth M. Kaskw 48.		
	प्या १४		
9. Signature: "Variety of TVI "//- 1/61./			
9. Signature: <u>Yenveth M. Kuslow</u> Signature			
9. Signature: Yenveth M. Keslow Signature  Kenneth M. Kaslow, Reg. No. 32,246	January 16, 2008  Date  Total number of pages including cover sheet, attachments, and documents:		

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:

Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

## **ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, I, Francesca Bertone, for the undersigned, Pax Scientific, Inc., do hereby sell, assign, and transfer to Pax Streamline, Inc., a Delaware corporation with offices at 1615 Fifth Avenue, San Rafael, CA 94901 ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the United States patent application 11/906,060 filed September 28, 2007 and entitled Axial Flow Fan and in and to said application and all utility, divisional, continuing, substitute, renewal, reissue, and all other patent applications which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States patent application; and

Agree that said Assignee may apply for and receive patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

< REMAINER OF PAGE INTENTIONALLY LEFT BLANK>

PATENT REEL: 020412 FRAME: 0909

## PA3957US PAGE 2 of 2

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date:

Name:

Francesca Bertone, Chief Operating Officer

For Pax Scientific, Inc.

< REMAINER OF PAGE INTENTIONALLY LEFT BLANK>

PATENT REEL: 020412 FRAME: 0910

PTO/SB/21 (10-07)

Approved for use through 10/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Onder the Laberton Rector 1995. Its	Application Number	ection of information unless it displays a valid OMB control number.	
		11/906,060	
TRANSMITTAL	Filing Date	September 28, 2007	
FORM	First Named Inventor	Jayden David Harman	
	Art Unit	3745	
the he wood for all correspondence ofter initial filing	Examiner Name	Unknown	
(to be used for all correspondence after initial filing	Attorney Docket Number	PA3957US	
Total Number of Pages in This Submission 5		17000700	
ENCLOSURES (Check all that apply)			
Fee Transmittal Form Fee Attached	Drawing(s) Licensing-related Papers	After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences	
Document/s)	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence A Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks Stal page number does not include p	Status Letter Other Enclosure(s) (please Identify below):  1) Confirmation Postcard; 2) Assignment (2 pp.); 3) PTO-1595 (in duplicate); 4) Check for \$40.00.	
SIGNATU	RE OF APPLICANT, ATTO	RNEY, OR AGENT	
· ·	cust. No. 22830		
	M. Kaslow		
Printed name Kenneth M. Kaslow			
Date January 16, 2008	R	eg. No. 32,246	
CERTIFICATE OF TRANSMISSION/MAILING  hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on			
he date shown below: Signature  Clunt	th M. Kaslow		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kenneth M. Kaslow, Reg. No. 32,246

Typed or printed name

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Date | January 16, 2008



Form **PTO-1595** (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008)

**RECORDED: 01/22/2008** 

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

RECORDATION FORM COVER SHEET			
PATENTS ONLY			
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.			
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)		
Francesca Bertone	Name: Pax Streamline, Inc.		
'	Internal Address:		
Additional name(s) of conveying party(ies) attached? Yes Mo  3. Nature of conveyance/Execution Date(s):	Street Address: _1615 Fifth Avenue		
Execution Date(s) 11 January 2008	Street Address. 1010 File Avenue		
✓ Assignment			
Security Agreement Change of Name	City: San Rafael		
Joint Research Agreement	State: California		
Government Interest Assignment	Country: U.S.A Zip: 94901		
Executive Order 9424, Confirmatory License	Country. O.O.A		
Other	Additional name(s) & address(es) attached? ☐ Yes ✓ No		
	document is being filed together with a new application.		
A. Patent Application No.(s)	B. Patent No.(s)		
11/906,060	7 72		
'	OTA : j.** efficiently		
Additional numbers att	tached? ☐ Yes ✔ No		
5. Name and address to whom correspondence	6. Total number of applications and patents		
concerning document should be mailed:	involved: 1		
Name: Carr & Ferrell LLP	7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00		
Internal Address:	Authorized to be charged by credit card		
	✓ Authorized to be charged to deposit account		
Street Address: 2200 Geng Road	☑ Enclosed		
Officer Address, <u>LEGS Goring</u> . 1999	None required (government interest not affecting title)		
City: Palo Alto	8. Payment Information		
State: <u>CA</u> Zip: <u>94303</u>	a. Credit Card Last 4 Numbers Expiration Date		
Phone Number: 650-812-3400	,		
Fax Number: <u>650-812-3444</u>	b. Deposit Account Number <u>06-0600</u>		
Email Address:	Authorized User Name <u>Kenneth M. Kaslow</u>		
9. Signature: Henneth M. Karlow	January 16, 2008		
Signature	January 16, 2008 Date		
Kenneth M. Kaslow, Reg. No. 32.246 Name of Person Signing	Total number of pages including cover sheet, attachments, and documents:		

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:

Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT REEL: 020412 FRAME: 0912