

**PATENT ASSIGNMENT**

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|-------------------------------------------------------------------------------------------------------------------------------|------------------------------------|
| SUBMISSION TYPE:                                                                                                              | NEW ASSIGNMENT                     |
| NATURE OF CONVEYANCE:                                                                                                         | ASSIGNMENT                         |
| CONVEYING PARTY DATA                                                                                                          |                                    |
| Name                                                                                                                          | Execution Date                     |
| Nicholas Lea                                                                                                                  | 12/10/2001                         |
| RECEIVING PARTY DATA                                                                                                          |                                    |
| Name:                                                                                                                         | SunGard Systems International Inc. |
| Street Address:                                                                                                               | 33 St. Mary Axe                    |
| City:                                                                                                                         | London EC3A 8AA                    |
| State/Country:                                                                                                                | UNITED KINGDOM                     |
| PROPERTY NUMBERS Total: 2                                                                                                     |                                    |
| Property Type                                                                                                                 | Number                             |
| Application Number:                                                                                                           | 10492903                           |
| PCT Number:                                                                                                                   | GB0104605                          |
| CORRESPONDENCE DATA                                                                                                           |                                    |
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| ATTORNEY DOCKET NUMBER:                                                                                                       | SDS-0095                           |
| NAME OF SUBMITTER:                                                                                                            | Janis Calvo                        |
| Total Attachments: 3<br>source=Lea Assignment#page1.tif<br>source=Lea Assignment#page2.tif<br>source=Lea Assignment#page3.tif |                                    |

CH \$80.00 10492903

## ASSIGNMENT

*Willow Cottage, Berkeley, Oxford Oxon.* WHEREAS, I, Nicholas Lea, hereinafter referred to as the assignor, residing at *Willow Cottage, Berkeley, Oxford Oxon.*, am a joint inventor with Henrik Rasmussen of certain inventions or improvements for which we have filed an International Patent Application, identified as PCT/GB01/04605 entitled "Financial Instrument Portfolio Credit Exposure Evaluation" ("the application"); and,

WHEREAS, SunGard Systems International Inc., dba SunGard Trading and Risk Systems, 33 St Mary Axe, London EC3A 8AA, United Kingdom, hereinafter referred to as the assignee, is desirous of acquiring my entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of one pound and other valuable consideration the receipt of which is hereby acknowledged, I, the said assignor, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to said assignee, my entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all patents which may be granted on or as a result thereof anywhere in the world, and any re-issue or re-issues or extension or extensions of said patents, and assign to and authorize said assignee to file in my name applications for patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which any patent may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by me had this assignment, sale and transfer not been made. This assignment includes (i) an assignment to assignee of assignor's entire right, title and interest in and to any copyrightable works, and the copyright therein, which relate to the invention or to the content of the application; and (ii) the right of assignee to bring, in its own name alone, proceedings for any previous infringement of, or to enforce, any rights conveyed herein

AND I hereby covenant that I have full right to convey my entire interest herein assigned, and that I have not executed and will not execute any agreement in conflict herewith, and I further covenant and agree that I will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said patents to said assignee, its successors, assigns, nominees or legal representatives, and I agree to communicate to said assignee, or to its nominee all known facts respecting said inventions or improvements, said application and said patents, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and worldwide applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

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**One Joint Inventor Assigning Their Rights Only**

AND I hereby authorize and request any official of any country or countries whose duty it is to issue patents of applications as aforesaid, to issue to said assignee, as assignee of my entire right, title and interest, any and all patents for said inventions or improvements, including any and all patents which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

10 December 2001  
Date

  
\_\_\_\_\_  
NICHOLAS JOHN LEA.

(L.S.)

SIGNED at Marsh Baldon, Oxford  
(City)

(Country)

DOCKET NO.: @@ PATENT


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STATEMENT OF WITNESS

SIGNED at Marshfield Oxford (City) (Country)

This 10<sup>th</sup> day of December, 2001.

I, JEREMY MARK ROBINSON whose full  
residence address is YANWATH, DECTOR7 ROAD, GREAT HASELEY  
OXON OX44 7JQ  
was personally present and did see N.J. LEA execute the above assignment on the 10<sup>th</sup> day of  
DECEMBER, 2001, and such assignor is personally known to me to be the person described in such  
document.

  
\_\_\_\_\_  
Signature of Witness