

U.S. PTO

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03-01)  
No. 0651-0027 (exp. 5/31/2002)  
REV04

01/16/2008

01-28-2008



Docket No.: K06-244527/TBS

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To the Director of the United States Patent and Trademark Office, please find the attached original documents or copy thereof.

Name of conveying party(ies):

Setsuo NAGAI

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: January 10, 2008

2. Name and address of receiving party(ies):

Name: JTEKT Corporation

Address: 5-8, Minamisemba 3-chome, Chuo-ku

City: Osaka-shi State/Prov.: Osaka

Country: Japan ZIP: 542-8502

Additional name(s) &amp; address(es)

☐ Yes ☒ No

Application number(s) or patent numbers(s):

This document is being filed together with a new application, the execution date of the application is: January 10, 2008

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

Name and address of party to whom correspondence concerning document should be mailed:

Name: Sean M. McGinn, Esq.

Registration No. 34,386

Address: McGinn Intellectual Property Law Group, PLLC

321 Old Courthouse Road, Suite 200

City: Vienna State/Prov.: Virginia

Country: USA ZIP: 22182-3817

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0481 (Deficiencies Only)

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Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sean M. McGinn, Esq.

Name of Person Signing

Signature

January 16, 2008

Date

Total number of pages including cover sheet, attachments, and document:

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01/17/2008 CNGUYEN2 00000063 12007895

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PATENT

REEL: 020429 FRAME: 0494

***ASSIGNMENT OF APPLICATION FOR PATENT***

***WHEREAS***

NAME AND ADDRESS  
OF ASSIGNOR

Setsuo NAGAI

of c/o JTEKT Corporation, 5-8, Minamisemba 3-chome, Chuo-ku,  
Osaka-shi, Osaka 542-8502 Japan

(HEREINAFTER REFERRED TO AS ASSIGNOR), have invented and  
own a certain new and useful invention entitled:

TITLE OF INVENTION:

CAGE FOR ROLLING BEARING AND ROLLING BEARING HAVING  
THE SAME

***WHEREAS***

FULL NAME AND ADDRESS  
OF ASSIGNEE

JTEKT Corporation of  
5-8, Minamisemba 3-chome, Chuo-ku, Osaka-shi, Osaka 542-8502 Japan

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the  
entire right, title, and interest in, to and under said invention and the  
United States Letters Patent to be obtained therefor:

***NOW THEREFORE, TO ALL WHOM IT MAY CONCERN:*** Be  
it known that in consideration of the payment of ASSIGNEE to  
ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is  
hereby acknowledged, and for other good and valuable consideration,  
ASSIGNOR, by these presents, hereby sells, assigns and transfers to  
ASSIGNEE the entire and exclusive right, title and interest to said  
invention and all Letters Patent of the United States to be obtained  
therefor on said application or any continuation, division, renewal,  
substitute or reissue thereof for the full term or terms for which the same  
may be granted.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents  
and Trademarks to issue said Letters Patent of the  
United States Patent obtained therefor on said application or any

(Assignment of Application for Patent -- page 1 of 2)

continuation, division, renewal, substitute or reissue thereof for the full term or terms to said ASSIGNEE, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

DATE OF SIGNING

*IN WITNESS WHEREOF, I/We have hereunto set*

hand and seal this 10<sup>th</sup> day of January, 2008.

SIGNATURE

*Setso NAGAI*

By: Setso NAGAI

SIGNATURE

By: \_\_\_\_\_

SIGNATURE

By: \_\_\_\_\_

SIGNATURE

By: \_\_\_\_\_

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By: \_\_\_\_\_

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By: \_\_\_\_\_

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By: \_\_\_\_\_

WITNESSES

\_\_\_\_\_  
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**(Assignment of Application for Patent -- page 2 of 2)**

**PATENTS ONLY**

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RECORDED: 01/16/2008

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