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OPR/ENHANCE

U.S. DEPARTMENT OF COMMERCE  
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies)/Execution Date(s):

Paul HANSELMANN and

Wolfgang WENGER

Execution Date(s) January 18, 2008Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

## 2. Name and address of receiving party(ies)

Name: LONZA AG

Internal Address: \_\_\_\_\_

Street Address: Münchensteinerstrasse 38City: Basel

State: \_\_\_\_\_

Country: Switzerland Zip: CH-4052Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

A. Patent Application No.(s)

10/577,070☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: Fisher, Christen & Sabol

Internal Address: \_\_\_\_\_

Street Address: 1725 K Street, N.W., Suite 1108City: WashingtonState: DC Zip: 20006Phone Number: 202-659-2000Fax Number: 202-659-2015Email Address: fcasbol@aol.com

## 6. Total number of applications and patents involved:

17. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

## 8. Payment Information

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## 9. Signature:

Virgil H. Marsh  
SignatureJanuary 23, 2008  
Date

Virgil H. Marsh

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

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PATENT  
REEL: 020443 FRAME: 0797

## WHEREAS

We:

Paul HANSELMANN, a Swiss citizen, residing at Holzachstr. 23, CH-3903 Glinis, SWITZERLAND;  
Wolfgang WENGER, a Swiss citizen, residing at Arpa, CH-3997 Ballwald, SWITZERLAND

have invented certain new and useful improvements in METHOD FOR THE PRODUCTION OF 6,6,6-TRIALO-3,5-DIOXOHENANOIC ACID ESTERS for which for which we made application for LETTERS PATENT OF THE UNITED STATES, Serial No. 10/577,070, filed on June 21, 2006

and Whereas, LONZA AG, organized and existing under the laws of Switzerland, having its principal place of business at Munchensteinerstrasse 38, CH-4052 Basel, Switzerland,

hereinafter called the assignee, is desirous of acquiring the entire right, title and interest in and to said invention within the United States of America and its territorial possessions and any United State LETTERS PATENT that may be granted therefor.

Now, this Indenture Witnesseth, That for and in consideration of the sum of forty Dollars, in hand paid to US, and other good and valuable considerations, the receipt whereof is hereby acknowledged.

WE have assigned, sold and transferred, and do hereby assign, sell and transfer to the said assignee the entire right, title and interest in and to the said invention, within the United States of America and its territorial possessions, and in and to any subsequent application for LETTERS PATENT of the United States, and in and to all divisions and continuations of said application, and in and to any LETTERS PATENT of the United States and all renewals, reexaminations and extensions thereof that may be granted, together with the right to extend the protection of said U.S. LETTERS PATENT to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, all said rights to be held and enjoyed by the assignee for its own use and behoof, and for the use and behoof of its successors or assigns, to the full end of the respective terms for which said LETTERS PATENT may be granted, as fully and entirely as the same would have been held and enjoyed by

US if this assignment sale had not been made. And WE do hereby request and authorize that any and all LETTERS PATENT, when granted, be issued in accordance with this assignment to the assignee.

In further consideration of said sum of forty Dollars, and said other good and valuable considerations, to US paid, WE covenant and agree with the assignee that WE have a full and unnumbered title to the invention hereby assigned, which title WE warrant unto the assignee, and WE further agree that WE will, without demanding any further consideration therefor, at the request but at the expense of the assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, furnishing of information and giving of testimony, that may be or become necessary for obtaining, sustaining, reexamining or restoring said United States LETTERS PATENT for the said invention, and for maintaining and perfecting the assignee's right to said invention and said LETTERS PATENT, particularly in cases of interference and litigation.

In Testimony Whereof, I have hereunto set my hand and affixed my seal, this 18 day of January, 2008



Paul HANSELMANN

(SEAL)

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In Testimony Whereof, I have hereunto set my hand and affixed my seal, this 18 day of January 2008.

W. Wenger (SEAL)  
Wolfgang WENGER

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