Form PTO-1595 (Rev. 08/05) OMB No. 0651-0027 (exp. 6/30/2008)	U.S. DEPARTMENT OF COMMERCI United States Patent and Trademark Offic
RECORDATION FORM COVER SHEET PATENTS ONLY	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
1. Name of conveying party(ies) TOSHIFUMI MIKAYAMA HITOSHI YOSHIDA WALKER R. FORCE	Name and address of receiving party(ies) Name: KIRIN BEER KABUSHIKI KAISHA Internal Address:
Additional name(s) of conveying party(ies) attached? X Yes No. 3. Nature of conveyance/Execution Date(s): Execution Date(s) See 1 in Addendum X Assignment Merger	Street Address: 10-1, Shinkawa 2-chomc
Security Agreement Change of Name	City: Chuo-ku, Tokyo
Joint Research Agreement Government Interest Assignment Executive Order 9424, Confirmatory License	State:
Other	Additional name(s) & address(es) attached? Yes X No document is being filed together with a new application.
	B. Patent No.(s) tached? □Yes ☑No
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:l
Name: PILLSBURY WINTHROP SHAW PITTMAN LLP	7. Total fee (37 CFR 1.21(h) & 3.41) \$_40.00_
Internal Address: 12255 El Camino Real Suite 300	Authorized to be charged by credit card X Authorized to be charged to deposit account
Street Address: 12255 Fl Camino Real, Suite 300	Enclosed None required (government interest not affecting title)
City: San Diego	8. Payment Information 021286-0358923
State: CA Zip: 92130-4088	a. Credit Card Last 4 Numbers Expiration Date
Phone Number: (619) 234-5000 Fax Number: (858) 509-4010	b. Deposit Account Number 033975
Email Address: robert.bedgood@pillsbyrylaw.com	Authorized User Name
9. Signature: Signature	<u>January 31, 2008</u> Date
Robert M. Bedgood, Reg. No. 43488 Name of Person Signing	Total number of pages Including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Bex 1450, Alexandria, V.A. 22313-1450

ATTACHMENT FOR RECORDATION FORM COVER SHEET PATENTS ONLY (Form PTO-1595)	
Name of conveying party(ies):	
XINGJIE CHEN	NOBUAKI TAKAHASHI
·	
Additional name(s) of conveying party(ies) attached?	ଢ଼ Yes ଔr No
Name and address of receiving party(ies)	
Name:	
Internal Address:	
Street Address:	
City: State:	
Name and address of receiving party(ies)	
Name:	
Internal Address:	
Street Address:	
City: State:	ZIP:
Additional name(s) & address(es) attached?	Yes No
Application number(s) or patent number(s):	
If this document is being filed together with a new applic	cation, the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
·	
	· · · · · · · · · · · · · · · · · · ·
Additional numbers attached?	Yes X No

Attachment to Form PTO - 1595 Recordation Form Cover Sheet

1. February 12, 2004 (Toshifumi MIKAYAMA); February 19, 2004 (Hitoshi YOSHIDA); February 20, 2004 (Walker R. FORCE); February 26, 2004 (Xingjie CHEN); February 16, 2004 (Nobuaki TAKAHASHI)

PATENT

REEL: 020445 FRAME: 0072

1/3

Attorney's Docket No.: 02]286-0306473 Assignment of Application

WHEREAS, I (WE)
Toshifumi MIKAYAMA of c/o Pharmaceutical Research Laboratories, Kirin Beer
Kabushiki Kaisha, 3, Miyahara-cho, Takasaki-shi, Gunma 370-1295 Japan,
Hitoshi YOSHIDA of c/o GEMINI SCIENCE INC., 3030 Bunker Hill Street, Suite 226, San Diego, CA 92109, U.S.A.,
Walker R. FORCE of c/o GEMINI SCIENCE INC., 10355 Science Center Drive, San Diego, CA 92121, U.S.A.,
Xingjie CHEN of 827 Harmony Place, Milpitas, CA 95035, U.S.A. and
Nobuaki TAKAHASHI of c/o Pharmaceutical Research Laboratories, Kirin Beer
Kabushiki Kaisha, 3, Miyahara-cho, Takasaki-shi, Gunma 370-1295 Japan,
have invented certain new and useful improvements in:
ANTI-CD40 MONOCLONAL ANTIBODY
for which an application for Letters Patent was executed on
(Application No. 10/693,629 ,filed October 23, 2003),and
WHEREAS, <u>KIRIN BEER KABUSHIKI KAISHA</u>
(hereinafter referred to as"ASSIGNEE")having a place of business at:
10-1, Shinkawa 2-chome, Chuo-ku, Tokyo 104-8288 Japan
is desirous of acquiring the entire right, title and interest in and to said invention and in and t any Letters Patent that may be granted therefore in the United States and its territoria possessions and in any and all foreign countries;

NOW,THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, have assigned and hereby do assign, and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any official of any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its

PATENT REEL: 020445 FRAME: 0073

2/3

(his) successors and have assigned and hereby do assign, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its legal representatives and have assigned and hereby do assign covenant and any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and have assigned and hereby do assign, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Pillsbury Winthrop LLP of 11682 El Camino Real, Suite 200, San Diego, CA 92130 U.S.A. the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: Feb. 12. 2004 (Signature of Typestor) Tochifumi MTV A VANC

(Signature of Inventor) Toshifumi MIKAYAMA

Date: Fab. 19, 2004 Attooki Sportida

(Signature of inventor) Hitoshi YOSHIDA

Date: FEN. 20 2004 WM / 1

(Signature of inventor) Walker R. FORCE

PATENT REEL: 020445 FRAME: 0074

3/3

Date: Feb 26, 2004

(Signature of inventor) X

Xingjie CHEN

Date: Feb. 16. 2004

(Signature of inventor)

Nobuaki TAKAHASHI

PATENT REEL: 020445 FRAME: 0075

8585094010

Attorney's Docket 021286-0358923 Client Reference: 2002-910-US02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of:

Confirmation No: 2950

TOSHIFUMI MIKAYAMA, ET AL.

Application No.: 11/633,716 Group Art Unit: 1644

Examiner: TBA Filed: December 5, 2006

Title: ANTI-CD40 MONOCLONAL ANTIBODY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office at (571) 273-0140 on the date shown below: Recordation Form Cover Sheet; and Assignment.

PILLSBURY WINTHROP SHAW PITTMAN LLP

ROBEŘT M. BEDGOOD

Reg. No. 43488

Date: January 31, 2008 12255 El Camino Real

Suite 300

RECORDED: 01/31/2008

San Diego, CA 92130-4088 Telephone: (619) 234-5000 Facsimile: (858) 509-4010

(Certification of Facsimile Transmission-page 1)

REEL: 020445 FRAME: 0076