

1A P02 Rec'd PCT 28 JAN 2008

U.S. Department of COMMERCE
United States Patent and Trademark Office

02-04-2008



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11/989552

To the Director of the U.S. Patents :

documents or the new address(es) below.

103478947

1. Name of conveying party(ies)/Execution Date(s).

Alexander BOECK

Execution Date(s): Nov. 29, 2007

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: MTU Aero Engines GmbH

Internal Address: _____

Street Address: Dachauer Strasse 665

City: Muenchen

State: _____

Country: Germany Zip: 80995

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No.(s)

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: William C. Gehris

Internal Address: Davidson, Davidson & Kappel, LLC

Street Address: 485 Seventh Avenue

14th Floor

City: New York

State: NY Zip: 10018

Phone Number : (212) 736 1940

Fax Number: (212) 736 2427

Email Address: ddk@ddkpatent.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-0552

Authorized User Name Davidson, Davidson & Kappel, LLC

9. Signature :

Signature

January 28, 2008

Date

William C. Gehris, Reg. No. 38,156

Total number of pages including cover sheet, attachments, and documents

3

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 020446 FRAME: 0235

11/989552

Docket No.: 5038.1055

ASSIGNMENT

WHEREAS, I (We), Alexander BOECK, residing at Grafrather Strasse 53, 82288 Kottgeisering, Germany, ASSIGNOR(S), have invented certain new and useful improvements in SECURING ELEMENT FOR FASTENING MOVING BLADES, an application for a Patent of the United States for which:

____ I (we) am (are) about to execute;
 was executed on _____ (date(s));
 X is identified by Davidson, Davidson & Kappel, LLC, Docket No. 5038.1055;
 X was filed on July 19, 2006 as International Appl. Serial No. PCT/DE2006/001246.
 X I (we) hereby authorize and request our attorney, Davidson, Davidson & Kappel, LLC of 485 Seventh Avenue, 14th Floor, New York, New York 10018 to insert here in parentheses (Application number _____, filed _____) the filing date and application number of said application when known; and

WHEREAS, MTU Aero Engines GmbH, Dachauer Strasse 665, 80995 Muenchen, Germany ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me (us) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I (we), the said ASSIGNOR(S), have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and

I (WE) HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument; and

I (WE) HEREBY covenant and agree that I (we) have full right to convey the entire interest herein assigned, and that I (we) have not executed, and will not execute, any agreement in conflict herewith; and

I (WE) HEREBY further covenant and agree that I (we) will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me (us)

respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, I (we) hereunto set my (our) hand(s) and seal(s) the day and year set opposite my (our) signature(s).

Dated: _____

28.11.07

Alexander Boeck

Alexander BOECK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of: Alexander BOECK
Examiner: To Be Assigned Art Unit: To Be Assigned
Serial No.: To Be Assigned
Filed: Herewith as national phase of International Patent Application
PCT/DE2006/001246, filed July 19, 2006
For: SECURING ELEMENTS FOR FASTENING MOVING
BLADES
Customer No.: 23280
Attorney Docket No.: 5038.1055

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

January 28, 2008

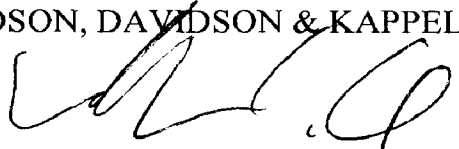
LETTER RE: PRIORITY

Sir:

Applicant hereby claims priority of German Application Serial No. DE 10 2005 035
901.9, filed July 30, 2005 through international patent application PCT/DE2006/001246, filed
July 19, 2006.

Respectfully submitted,
DAVIDSON, DAVIDSON & KAPPEL, LLC

By


William C. Gehris, Reg. No. 38, 156

Davidson, Davidson & Kappel, LLC
485 Seventh Avenue, 14th Floor
New York, New York 10018
(212) 736-1940

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INFORMATION DISCLOSURE STATEMENT

S i r:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicant hereby makes of record the documents listed on the accompanying PTO-1449 Form (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application. While the references are being submitted herewith, some or all of the references may not constitute prior art under the U.S. patent laws.

This Information Disclosure Statement is filed under 37 C.F.R. §1.97 (b), before the mailing date of a First Office Action. Therefore, no fee is believed due.