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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
Ronald T. Smith	01/22/2008

## **RECEIVING PARTY DATA**

Name:	Alcon, Inc.
Street Address:	P.O. Box 62, Bosch 69
City:	Hunenberg
State/Country:	SWITZERLAND
Postal Code:	CH-6331

### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12014151

# **CORRESPONDENCE DATA**

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Correspondent Name: ALCON

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Address Line 4: FORT WORTH, TEXAS 76134

ATTORNEY DOCKET NUMBER: 3362 US

NAME OF SUBMITTER: Kenneth D. Bassinger, Reg. #43,484

### Total Attachments: 4

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<u>PATENT</u>

REEL: 020474 FRAME: 0442

Form **PTO-1595** (Rev. 08/05) OMB No. 0651-0027 (exp. 6/30/2008)

RECORDATION FORM COVER SHEET		
PATENT	S ONLY DN 3362 US	
To the Director of the U.S. Patent and Trademark Office: Pleas	se record the attached documents or the new address(es) below.	
1. Name of conveying party(ies)  Jack R. Auld  Ronald T. Smith	2. Name and address of receiving party(ies)  Name: Alcon, Inc.  Internal Address:	
Additional name(s) of conveying party(ies) attached? Yes X No  3. Nature of conveyance/Execution Date(s):  Execution Date(s) January 22, 2008  X Assignment Merger  Security Agreement Change of Name  Joint Research Agreement  Government Interest Assignment  Executive Order 9424, Confirmatory License  Other  4. Application or patent number(s): This  A. Patent Application No.(s)	Street Address:    P.O. Box 62	
Additional numbers att	 ached?	
5. Name and address to whom correspondence concerning document should be mailed:  Name: Kenneth D. Bassinger  Internal Address: TB4-8	6. Total number of applications and patents involved: 1  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged by credit card	
Street Address: 6201 South Freeway	X Authorized to be charged to deposit account Enclosed None required (government interest not affecting title)	
City: Fort Worth	8. Payment Information	
State: TX Zip: 76134	a. Credit Card Last 4 Numbers Expiration Date	
Phone Number: 817-551-8260  Fax Number: 817-551-4610  Email Address: Kenneth.bassinger@alconlabs.com	b. Deposit Account Number 501051  Authorized User Name Kenneth D. Bassinger	
9. Signature: L. H. Ram	27/1-12000	
Kenneth D. Bassinger, Reg. 43,484  Name of Person Signing	Total number of pages including cover sheet, attachments, and documents:	

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

DOCKET NO. 3362 US PATENT APPLICATION

**ASSIGNMENT** 

WHEREAS, we, the undersigned, have invented certain new and useful

improvements in the following:

TARGETED ILLUMINATION FOR SURGICAL INSTRUMENT

for which we have made application for United States Letters Patent.

WHEREAS, ALCON, INC., a Swiss corporation having a place of business at

Bosch 69, 6331 Hunenberg, Switzerland (hereinafter referred to as "ASSIGNEE"), is

desirous of acquiring our entire right, title and interest in and to the invention, and in and to

the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good

and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we

do hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal

representatives our entire right, title and interest in and to said invention and in and to said

application and all patents which may be granted therefore, and all divisions, reissues,

substitutions, continuations, continuations-in-part and extensions thereof; and we hereby

authorize and request the Commissioner of Patents and Trademarks to issue all patents for

said invention, or patents resulting therefrom, insofar as our interest is concerned, to the said

ASSIGNEE of our entire right, title and interest.

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PATENT REEL: 020474 FRAME: 0444 DOCKET NO. 3362 US PATENT APPLICATION

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal

representatives the full and exclusive rights, title and interest to the invention disclosed in

said applications throughout the world, including the right to file applications and obtain

patents, utility models, industrial models and designs for said invention in its own name

throughout the world including all rights of priority, all rights to publish cautionary notices

reserving ownership of said invention and all rights to register said invention in appropriate

registries; and

We further agree to execute any and all powers of attorney, applications, assignments,

declarations, affidavits, and any other papers in connection therewith necessary to perfect

such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or its

successors, assigns and legal representatives, any facts known to us respecting any

improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings,

sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and

substitute applications, make all lawful oaths, and generally do everything possible to vest

title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal

representatives to obtain and enforce proper protection for said invention in all countries.

I further authorize and direct Alcon attorneys to insert below\* the serial number and

filing date of said application now identified as Attorney Docket No. 3362 US as soon as the

same shall have been made known to them by the United States Patent Office.

\*Serial Number:

Filing Date:

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PATENT REEL: 020474 FRAME: 0445 DOCKET NO. 3362 US PATENT APPLICATION

IN WITNESS WHEREOF, I have hereunto set my hand and seal this ZZ day of

beniany, 2008.

Address:

Ronald T. Smith

134 White Cap Lane

271 Oak Glen

Newport Coast, CA 92657 Invine CA 92618

### **ACKNOWLEDGEMENT**

State of California

§ §

County of Orange

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

Signature

**RECORDED: 02/07/2008** 

JUL Comm Notary Or My Comm

JULIE HORNUNG
Commission # 1543482
Notary Public - California
Orange County
My Comm. Expires Jan 10, 2009

Notary Public