

02-05-2008

FORM PTO-1595

(Rev. 06/93) **PATENTS ONLY**
OMB No. 0651-0011 (Exp. 04/94)

103479607

HEET

U.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No.: AUB-00802Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mark E. Byrne
Allison Collins
Asa D. Vaughan
Siddarth Venkatesh

— Additional name(s) of conveying party(ies) attached.

2. Name and address of receiving party(ies):

Auburn University
309 Samford Hall
Auburn, Alabama 36849-5176

— Additional name(s) and address(es) attached.

3. Nature of conveyance:

☒ Assignment — Merger
— Security Agreement — Change of Name
— Other: _____Execution Date: Mark E. Byrne, Asa D. Vaughan and Siddarth Venkatesh all signed on January 17, 2008 and Allison Collins signed on January 23, 2008.

4. Application number(s) or patent number(s):

— This document is being filed together with a new application, the execution date of the application is:
☒ Patent Application Serial No.(s): 11/985,262 filed on November 13, 2007.
— Patent No.(s):
— Additional numbers attached.

5. Name and address of party to whom correspondence concerning document should be mailed:

Jonathan O. Owens
HAVERSTOCK & OWENS LLP
162 North Wolfe Road
Sunnyvale, CA 940866. Total number of applications and patents involved: 1.

7. Total fee(s) [37 CFR §§ 1.21(h) and 3.41]:

☒ Check(s) in the amount of 40.00 is enclosed

8. Authorization to Charge Additional Fees:

☒ The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication and which may be required under 37 CFR §§ 1.21(h) and 3.41 to Deposit Account No. 08-1275.

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dated: February 1, 2008Signature: Jonathan O. Owens
Name: Jonathan O. Owens
Reg. No.: 37,902Total number of pages including cover sheet, attachments, and document: 2

02/05/2008 DBYRNE 00000015 11985262

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PATENT
REEL: 020475 FRAME: 0114

ASSIGNMENT

WHEREAS, WE, Mark E. Byrne, Allison Collins, Asa D. Vaughan and Siddarth Venkatesh, hereinafter referred to as "ASSIGNORS", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: THERAPEUTIC CONTACT LENSES WITH ANTI-FUNGAL DELIVERY

Date of execution of application: _____ Filing Date: November 13, 2007 Serial No.: 11/985,262

WHEREAS, Auburn University, a non-profit organization of the State of Alabama, located at 309 Samford Hall, Auburn University, Auburn, Alabama 36849-5176, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee, and Assignee's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any Letters Patent.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have hereunto signed their names to this assignment on the dates indicated below.

Mark E. Byrne
Mark E. Byrne

1/17/08
Date

Allison Collins
Allison Collins

1/23/08
Date

Asa D. Vaughan
Asa D. Vaughan

1/17/08
Date

Siddarth Venkatesh
Siddarth Venkatesh

1/17/08
Date

STATE OF Alabama

COUNTY OF Lee

On 1/17/08, 1/23/08 before me, Misty Whigham Brown
personally appeared Mark E. Byrne, Allison Collins, Asa D. Vaughan and Siddarth Venkatesh

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose names are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

SEAL

Misty W. Brown
Signature of Notary Public

NOTARY PUBLIC STATE OF ALABAMA AT LARGE
MY COMMISSION EXPIRES: Oct 26, 2008
BONDED THRU NOTARY PUBLIC UNDERWRITERS

Misty Whigham Brown

PATENT

REEL: 020475 FRAME: 0115

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 1618
Mark E. Byrne et al.)	Examiner: Not yet assigned
Serial No.: 11/985,262)	<u>TRANSMITTAL LETTER</u>
Filed: November 13, 2007)	162 North Wolfe Road
For: THERAPEUTIC CONTACT)	Sunnyvale, CA 94086
LENSES WITH ANTI-FUNGAL)	(408) 530-9700
DELIVERY)	Customer No. 28960
)	
)	
)	
)	

Mail Stop: Assignment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

Enclosed please find an Assignment (1 page) plus PTO Form 1595 for filing in the U.S. Patent and Trademark Office. Also enclosed is a check in the amount of \$40.00 to cover the assignment recordation fee.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

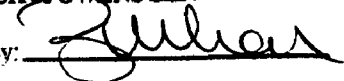
Dated: February 1, 2008

By: 
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 2/1/08 By: 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 1618
Mark E. Byrne et al.)	Examiner: Not yet assigned
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HAVERSTOCK & OWENS LLP.

Date: 2/1/08 By: 