

02-06-2008

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

103480251

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## PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies)

Matthew Joseph Swatsky  
Galen Edgar KnodeAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 31, 2008

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

## 2. Name and address of receiving party(ies)

Name: Lutron Electronics Co., Inc.

Internal Address: \_\_\_\_\_

Street Address: 7200 Suter Road

City: Coopersburg

State: PA

Country: U.S. Zip: 18036

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/800,296

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: Mark E. Rose, Sr. Patent Counsel

Internal Address: Lutron Electronics Co., Inc.

Street Address: 7200 Suter Road

City: Coopersburg

State: PA Zip: 18036

Phone Number: 610-282-6547

Fax Number: 610-282-6354

Email Address: mrose@lutron.com

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 1.21(h) &amp; 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

## 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_b. Deposit Account Number 123421  
Authorized User Name Mark E. Rose

## 9. Signature:

Mark E. Rose  
Signature02/01/2008  
DateMark E. Rose, Senior Patent Counsel  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 020475 FRAME: 0413

2.5.08

## ASSIGNMENT

WHEREAS, WE, Matthew Joseph Swatsky of 7558 Buttercup Road, Macungie, Pennsylvania 18062, and Galen Edgar Knode of 4577 Brighton Road, Macungie, Pennsylvania 18062, have invented a certain new and useful METHOD OF CONFIGURING A KEYPAD OF A LOAD CONTROL SYSTEM for which we filed an application for letters patent of the United States on May 4, 2007.

AND WHEREAS, Lutron Electronics Company, Inc., a corporation of the State of Pennsylvania, with a place of business at 7200 Suter Road, Coopersburg, Pennsylvania 18036-1299, hereinafter called the Assignee, is desirous of acquiring the entire right, title, interest and priority rights in and to said application, and the inventions therein disclosed, and any letters patent that may issue thereon;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, receipt whereof is hereby acknowledged, we hereby sell, assign, and transfer unto said Assignee, its successors, assigns and designees, our entire right, title, and interest in and to said application and any divisions or continuations thereof, and the inventions therein disclosed, and any improvements thereon, and any patent or patents that may be issued or reissued thereon and similar protective rights granted on said applications, as well as the right to claim any applicable priority rights arising from said application under the terms of any applicable conventions, treaties, statutes or regulations; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue any letters patent thereon, and reissues thereof, to said Assignee, its successors, assigns and designees; and we hereby authorize said Assignee, its successors, assigns and designees, to file in its own name applications for patent in foreign countries in connection with the inventions hereby transferred, under any applicable conventions, treaties, statutes or regulations, including, without limitation, the International Convention, the European Patent Convention, and the Patent Cooperation Treaty, claiming the priority of said United States application, or otherwise, and to secure in its own name the patent or patents issued thereon; and we hereby agree that, upon request, but without expense to us, we shall sign all papers, and make all rightful oaths, and do all acts which said Assignee, its successors, assigns or designees may consider necessary in connection with said United States application, and in connection with any other United States or foreign applications that may be filed in connection with said inventions, and with any improvements thereon, and in connection with any patents issued or reissued thereon, and shall furnish to Assignee, its successors, assigns, or designees any data, information, exhibits, memoranda, or other evidence in our possession relating to any of said inventions, discoveries or improvements, and shall testify in any ex parte or inter partes legal or administrative proceedings relating to any of said inventions, discoveries, improvements, applications, patents, or similar protective rights.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 31 day of January, 2008.

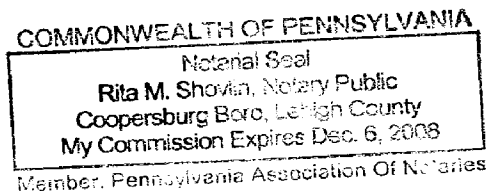
Matthew Joseph Swatsky  
Matthew Joseph Swatsky

State of Penn. )  
County of Lehigh ) SS:

On this 31<sup>st</sup> day of January, 2008, before me a Notary Public in and for the above County and State, personally appeared the above named Matthew Joseph Swatsky, and acknowledged the execution of the foregoing assignment as a free act and deed for the purpose herein set forth.

Rita M. Shovlin  
Notary Public

Seal



IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 31 day of January, 2008.

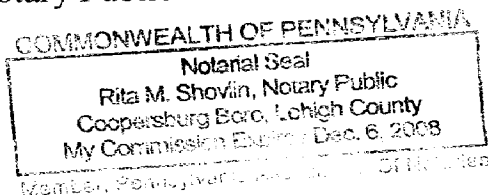
Galen Edgar Knode  
Galen Edgar Knode

State of Penn. )  
County of Lehigh ) SS:

On this 31<sup>st</sup> day of January, 2008, before me a Notary Public in and for the above County and State, personally appeared the above named Galen Edgar Knode, and acknowledged the execution of the foregoing assignment as a free act and deed for the purpose herein set forth.

Rita M. Shovlin  
Notary Public

Seal



Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2008

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 40.00

### Complete if Known

Application Number 11/800,296  
Filing Date May 4, 2007  
First Named Inventor Matthew Joseph Swatsky  
Examiner Name  
Art Unit 2612  
Attorney Docket No. 06-12919-P2-CP1

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 122421 Deposit Account Name: Lutron Electronics Co.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

#### 2. EXCESS CLAIM FEES

##### Fee Description

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	210	105
Multiple dependent claims	370	185

Total Claims Extra Claims Fee (\$) Fee Paid (\$)  
- 20 or HP = x =

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)  
- 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)  
- 100 = / 50 = (round up to a whole number) x =

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Record Assignment.

Fees Paid (\$)

\$40.00

#### SUBMITTED BY

Signature Mark E. Rose Registration No. 42,113 Telephone 610-282-6547  
Name (Print/Type) Mark E. Rose, Senior Patent Counsel Date 02/01/2008

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT

RECORDED: 02/05/2008

REEL: 020475 FRAME: 0416