



103479078

To the Honorable Commissioner of Pa...

... original documents or copy thereof.

1.23

1. Name of conveying party(ies):  
Christian Suppel-Adrian, Fabian Kern, Michael  
Wolf, Peter Hagmann

2. Name and address of receiving party(ies)  
Name: Novartis AG

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
Execution Date: 8/10/06, 8/11/06, 8/14/06

Street Address: Lichtstrasse 35  
City: Basel State: Switzerland ZIP: 4056  
Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is: January 23, 2008  
A. Patent Application No.(s) 01/28/2008 MKAYPAGH 00000006 502965 11989364  
04 FC:8021 40.00 DA  
B. Patent No.(s) \_\_\_\_\_  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: CIBA Vision Corporation  
Internal Address: Patent Department  
Street Address: 11460 Johns Creek Parkway  
City: Duluth State: GA ZIP: 30097-1556

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR 3.41) \$ 40  
 Enclosed  
 Authorized to be charged to deposit account and any other additional fees required.  
8. Deposit account number:  
50-2965 (in the name of Ciba Vision)  
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
Clark A.D. Wilson Clark A.D. Wilson January 23, 2008  
Name of Person Signing Signature Date  
Reg. No. 55,732  
 Certificate of Mailing below  
Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:  
Director of the US Patent and Trademark Office, PO Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

Martha Martin Martha Martin January 23, 2008  
Type or print name Signature Date

Cáse 34412

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**ASSIGNMENT**

For good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, we

Christian SÜPPEL-ADRIAN	citizen of Germany	c/o Gisela Süppel-Adrian Johann Süppel Hohbergstrasse 8 63911 Klingenberg DE
Fabian KERN	citizen of Germany	Am Krontal 15 63868 Grosswallstadt DE
Michael WOLF	citizen of Germany	Landgraf-Georg-Str. 68 64283 Darmstadt DE
Peter HAGMANN	citizen of Germany	Justin Kirchgässner Strasse 2 63906 Erlenbach am Main DE

do hereby sell, assign and transfer to **Novartis AG**, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, and its successors, assigns and legal representatives, all our right, title and interest for all countries of the world except Austria and to **Novartis Pharma GmbH**, a company organized under the laws of Austria, of Brunner Strasse 59, 1230 Vienna, Austria, and its successors, assigns and legal representatives, all our right, title and interest for Austria (Novartis AG and Novartis Pharma GmbH hereinafter referred to collectively as the "**ASSIGNEES**") in and to (1) all of our inventions and discoveries described in the patent application(s) entitled

METHOD AND APPARATUS FOR CENTERING AN OPHTHALMIC LENS

filed\* in the RO/ \_\_\_\_\_ on \_\_\_\_\_, 20\_\_\_\_, and accorded International Patent Application Number PCT \_\_\_\_\_, (2) said patent application(s), (3) (3) all national stages of said international patent application, (4) all other patent applications in all countries and regions claiming the priority of the EP, patent application filed on July 29, 2005 and accorded Application Number 05016546.3, (5) all continuations and divisions of all of said patent applications (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(5) hereinafter referred to collectively as "patent applications"), (6) all patents that are granted on any of said patent applications, (7) all registrations and confirmations of, and importation certificates based on, one or more of said patents and (8) all reissues, renewals and extensions of said patents, reexamination certificates issued for said patents and supplementary protection certificates based on said patents (including the right to file patent applications on said inventions and discoveries in the names of **ASSIGNEES**, their designees or in any or all of our names, at their election and in accordance with applicable law in all countries and regions and all rights of priority in all countries and regions for all of said patent applications under the International Convention for the Protection of Industrial Property, and all other applicable similar international agreements), the same to be held and enjoyed by said **ASSIGNEES**, their successors, assigns and legal representatives, to the full ends of the terms for which all patents therefor may be granted, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made.



This 19 day of Aug, 2006.

L.S.

KERN

ACKNOWLEDGEMENT

\_\_\_\_\_  
) s.s.:  
\_\_\_\_\_  
)

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, personally appeared before me \_\_\_\_\_ to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

\_\_\_\_\_  
Notary Public

Executed this 11 day of Aug, 2006.

Michael WOLF L.S.

ACKNOWLEDGEMENT

State of \_\_\_\_\_  
) s.s.:  
County of \_\_\_\_\_  
)

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, personally appeared before me \_\_\_\_\_ to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

\_\_\_\_\_  
Notary Public

Executed this 10 day of August, 2006.

Peter Hagmann L.S.  
**Peter HAGMANN**

**ACKNOWLEDGEMENT**

State of \_\_\_\_\_ )

) s.s.:

County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, personally appeared before me  
to me known, and known by me to be the same person described in and  
who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her)  
own free will for the purpose set forth.

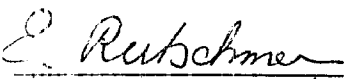

(Seal)

\_\_\_\_\_  
Notary Public

**ACCEPTANCE OF ASSIGNMENT**

With reference to the Assignment to **NOVARTIS AG** of an invention entitled "**METHOD AND APPARATUS FOR CENTERING AN OPHTHALMIC LENS**" signed by **Gisela Süppel-Adrian and Johann Süppel** on behalf of **Christian SÜPPEL-ADRIAN, Fabian KERN, Michael WOLF and Peter HAGMANN**; this will certify that **NOVARTIS AG** accepts such Assignment.

**NOVARTIS AG**

By :		
Name :	Ella Rutschmann	Biljana Colovic
Function :	Administrative Professional	Head of Foreign Filing
Date:	<u>17.08.2006</u>	

### Attestation

I, the undersigned notary public of Basel, Switzerland, certify herewith the authenticity of the signatures of:

- **Ms. Gisela Süppel-Adrian**, German citizen, residing in Klingenberg, Germany;
- **Mr. Johann Süppel**, German citizen, residing in Klingenberg, Germany;
- **Mr. Fabian Kern**, German citizen, residing in Grosswallstadt, Germany;
- **Mr. Michael Wolf**, German citizen, residing in Darmstadt, Germany;
- **Mr. Peter Hagmann**, German citizen, residing in Erlenbach am Main, Germany.

I further certify that the above signatures are the genuine signatures of **Ms. Ella Rutschmann**, citizen of Lotzwil, Switzerland, residing in Basel, Switzerland, and of **Ms. Biljana Colovic**, citizen of Serbia, residing in Basel, Switzerland, both acting for **Novartis AG**, in Basel, Switzerland, both as proxy holders, both with joint signature.

The authenticity of the signatures was established by comparison.

BASEL, this 21<sup>th</sup> (twenty-first) day of August 2006 (twothousandandsix).

*M. Stadler, Notar*

Leg.Prot.Nr. 927 /2006

Ausfertigung



**AMTSGERICHT OBERNBURG**

Obernburg, 22.09.2004

Geschäfts-Nr.: VI 0348/04

**E r b s c h e i n**

Christian Ludwig Süppel

geboren am 25.06.1976

gestorben am 18.07.2004

zuletzt wohnhaft Bergstr. 9, 63911 Klingenberg

ist beerbt worden von:

1. Johann Süppel,  
geb. 01.02.1938, Hohbergstr. 8, 63911 Klingenberg  
zu 1/2 - ein Halb -
2. Gisela Süppel-Adrian, geb. Adrian,  
geb. 27.07.1949, Hohbergstr. 8, 63911 Klingenberg  
zu 1/2 - ein Halb -

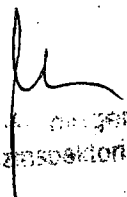
Berninger  
Rechtspflegerin



Die Übereinstimmung der Ausfertigung  
mit der Urschrift wird bestätigt.

Obernburg, 22.09.2004

Der Urkundsbeamte der Geschäftsstelle  
des Amtsgerichts:

  
Hofmannsrieden



certified copy

executed copy

Court Obernburg

Case Number VI 0348/04

Obernburg, 22-9-2004

Certificate of Inheritance

Christian Ludwig **Süppel**

born on the 25th day of June 1976

died on the 18th day of July 2004

last place of domicile was Bergstrasse 9, 63911 Klingenberg

has been inherited by:

1. Johann **Süppel**

born on the 1st day of February 1938, Hobergstrasse 8, 63911 Klingenberg

2. Gisela **Süppel-Adrian**,

born on the 27th day of July 1949, Hobergstrasse 8, 63911 Klingenberg

Berninger

Court official

[seal of the court in Bavaria]

The authenticity of the executed  
copy with the original is hereby con-  
firmed

Obernburg, 22-09-2004

The official of the office of the court  
sig. (unreadable)

The present certified copy conforms in all respect with the executed copy pre-  
sented today

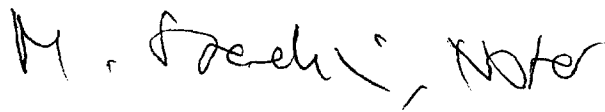
Obernburg, 10 August 2006

[seal of the notary public]

sig. Martin Herrmann, Notary Public

For true photocopy of the true photocopy issued by Martin Hermann, notary, on the 10<sup>th</sup> (tenth) day of August 2006 (twothousandandsix) and for true translation of the German text.

BASEL, Switzerland, this 21<sup>st</sup> (twenty-first) day of August 2006 (twothousandandsix)



M. Staehelin, Notar

Dr. M. Staehelin  
Notar

Leg. Prot. Nr. 928 /2006

For true photocopy of the assignment with case no. 34412 and for true photocopy of the true photocopy of the inheritance certificate issued by Martin Hermann, notary, on the 10<sup>th</sup> (tenth) day of August 2006 (twothousandandsix) and for true translation of the German text.

BASEL, Switzerland, this 5<sup>th</sup> (fifth) day of December 2007 (twothousandandseven).

M. Staebel, Notar

Leg. Prot. Nr. 1974/2007

PATENT

RECORDED: 01/23/2008

REEL: 020486 FRAME: 0901