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02-08-2008



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U.S. Department of Commerce **Patent and Trademark Office** 

Customer No.: 58,982

Attorney Docket No. 08350.7618-00000

**Mail Stop Assignment Recordation Services** 

Pleas	e record th	ne attached ori	ginal do	<u>c</u> 100.55							
1. Hong-Chin LIN			Name and address of receiving party:								
	2. Jame	es Eugene SCH es Thomas FEF			Name:	•	Cater	pillar Inc	•		
Additi	onal name(	s) of conveying	parties a	attached? ☐ Yes ⊠ No	Internal Address						
3.	Nature	of conveyance:			Street A	Addre	SS:	100 N.I	E. Adams Stre	eet	
☒	Assignme	nt		Merger	City:	Peo	oria				
	Security A	greement		Change of Name	State:		IL		Zip Code:	61629-6490	
	Joint Rese Agreemer			Government Interest Assignment	Addition	nal na	ame(s	s) & Addr	ess(es) attach	ned?	
		Order 9494, ory License		Other:		□ '	Yes		⊠ No		
Execu	ution Date:	<ol> <li>January</li> <li>February</li> </ol>	28, 2008 / 1, 2008	3							
4.	Applica the app	• •	or patent	number(s): If this document is be	eing filed t	togeth	ner wi	ith a new	application, t	he execution d	late of
Α.	Patent	Application Nun	nber(s):		В.	B. Patent Number(s):					
	11	/987,526									
			A	dditional numbers attached?	☐ Yes			⊠ No			
5.		and address of paring documents		vhom correspondence e mailed:	Total n	umbe	r of a	pplicatio	ns and patent	s involved: Or	ne (1)
Name	e: Bruce	C. Zotter			6.	Tot	al fee	(37 CFF	R 1.21(h) and	3.41): \$40	
Intern	nal Address	: FINNEGAN & DUNNEF		ERSON, FARABOW, GARRETT		Enclosed (Please charge deficiency or credit overpayment to Deposit Account No. 06-0916)					
Stree	t Address:	901 New Yo	ork Aven	ue, N.W.		Ì	Aut	horized t	to be charged	to deposit acc	ount
City:		Washington									
State	:	D.C.	Zip:	20001-4413	7.	De	posit	Account	No.: <u>06-0916</u>	2	
8.	Statem	ent and signatu	ire.								
To th	e best of m ment.	y knowledge ar	nd belief,	the foregoing information is true	and corre	ct and	d any	attached	d copy is a tru	e copy of the o	original
			7	7 02		<del> </del>			Februa	ry 5, 2008	
	S	igned:	120	Bruce C. Zotter Reg. No. 2	7,680					Date	
		Tota	l number	of pages including cover sheet,	attachmer	nts an	nd do	cuments:	: 10		
5/2006				-				 108 MJAMA			1

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## **ASSIGNMENT**

WHEREAS We, the below named inventors, (hereinafter referred to as Assignors), have made an invention entitled:

#### HYDRAULIC FLOW CONTROL SYSTEM AND METHOD

for which We filed an application for United States Letters Patent on November 30, 2007 (Serial No. 11/987,526); and

WHEREAS, Caterpillar Inc., a corporation of Delaware whose post office address is 100 N.E. Adams Street, Peoria, Illinois 61629-6490 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Hong-Chin Lin	ASSIGNOR'S SIGNATURE	DATE 2/4/0).
ADDRESS 611 Revere Road, Glenview, IL 60025		U.S.A.

Listing of Inventors Continued on Page 2 hereof Yes No

Page 1 of 3

PATENT REEL: 020495 FRAME: 0862

1. WITNESS NAME (Lawi Nasvalla)	WITNESS SIGNATURE	2/4/08
1. WITNESS NAME (Lawi Nasvalla.  ADDRESS 2147 Allegra Circle  Nafervilla, IL 8	CITIZENSHIP	
Napervilla, IL 8	0563	
2. WITNESS NAME YUN-Ken Ho	WITNESS SIGNATURE	Feb. 4 08
ADDRESS 1436 Chekea In	Naperville, IL 60565	CITIZENSHIP Taiwou, RO
2. FULL NAME OF SECOND ASSIGNOR, IF ANY James Eugene Schimpf	ASSIGNOR'S SIGNATURE	DATE
ADDR <b>ess</b> 23860 West Plainsman Circle, Plainfield, IL 605	86	CITIZENSHIP U.S.A.
		1
1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP
2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP
		<del></del>

3. FULL NAME OF THIRD ASSIGNOR, IF ANY James Thomas Ferrier	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 2361 Cottonwood Drive, Elgin, IL 60123		CITIZENSHIP U.S.A.
1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP
Author		OTTESNOTIF
	T	
2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

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AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, We have hereunto set our hands.

FULL NAME OF SOLE OR FIRST ASSIGNOR Hong-Chin Lin	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 611 Revere Road, Glenview, IL 60025	CITIZENSHIP U.S.A.	

Listing of Inventors Continued on Page 2 hereof 🖾 Yes 🔲 No

Page 1 of 3

PATENT REEL: 020495 FRAME: 0865

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1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP
2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP
2. FULL NAME OF SECOND ASSIGNOR, IF ANY James Eugene Schimpf	ASSIGNOR'S SIGNATURE	DATE 18, 2008
ADDRESS 23860 West Plainsman Circle, Plainfield, IL 605	OTIZENSHIP U.S.A.	
Kav Schimet	WITNESS SIGNATURE	1-28-08
1. WITNESS NAME  Kay Schimpf  ADDRESS  23860 West Plains man Ci	role	CITIZENSHIP USA
Plainfield, 14 6058	6	
2. WITNESS NAME PUNICI I SCHIMPF	WITNESS SIGNATURE	DATE 1/28/08
ADDRESS 23860 WEST PLAINSMEN	•	CITIZENSHIP USA
Mainfield, 12 600		

3. FULL NAME OF THIRD ASSIGNOR, IF ANY James Thomas Ferrier	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 2361 Cottonwood Drive, Elgin, IL 60123	CITIZENSHIP U.S.A.	
1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP
2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

Page 3 of 3

PATENT REEL: 020495 FRAME: 0867

# **ASSIGNMENT**

WHEREAS We, the below named inventors, (hereinafter referred to as Assignors), have made an invention entitled:

## HYDRAULIC FLOW CONTROL SYSTEM AND METHOD

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WHEREAS, Caterpillar Inc., a corporation of Delaware whose post office address is 100 N.E. Adams Street, Peoria, Illinois 61629-6490 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, We, as Assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention, provisional application Serial No.

[It is invention, provisional application (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filled for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to Issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Hong-Chin Lin	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 611 Revere Road, Glenview, IL 60025	CITIZENSHIP U.S.A.	

Listing of inventors Continued on Page 2 hereof M Yes Mo

Page 1 of 3

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS	CITIZENSHIP	
2. WITNESS NAME	WITNESS SIGNATURE	DATE
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2. FULL NAME OF SECOND ASSIGNOR, IF ANY James Eugene Schimpt	ASSIGNOR'S SIGNATURE	DAYE
ADDRESS 23860 West Plainsman Circle, Plainfield, IL 605	86	CITIZENSHIP U.S.A.
	WITHER CONSTITUTE	DATE
1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP
2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

3. FULL NAME OF THIRD ASSIGNOR, IF ANY James Thomas Ferrier	ASSIGNOR'S SIGNATURE	DATE 2/1/08
ADDRESS 2361 Cottonwood Drive, Elgin, IL 60123		CITIZENSHIP U.S.A.
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2 WITNESS NAME JOB-CPL T Frystak	WITNESS SIGNATURE	DATE 2/1/08
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**RECORDED: 02/05/2008**