:	To the Director of the L Please record the attac	02-08-2008 ₹ 103480812	ER SMALE	Pater Attori	nt and Trade ney Docket	of Commerce mark Office No. 08350.7469-00 ecordation Services
2	Name of conveying par Dachuan Yu (11/29/ Michael Parry Liecht Mahesh Sitaram Illir Matthew Thomas Me	ty(ies): (07) ty (11/29/07) ndala (11/29/07)	Name:	Name and address Caterpillar Inc.	_	party(ies):
3		party(ies) attached? ☐ Yes ☒ No	Internal	Address:		
2	Nature of conveyance:		Street A	Address: 100 N	I.E. Adams S	treet
\	Assignment	☐ Merger	City:	Peoria		
	Security Agreement	☐ Change of Name	State:	Illinois	Zip Code:	61629-6490
	Joint Research Agreement	Government Interest Assignment	Addition	ial name(s) & Addre	ess(es) attact	ned?
	Executive Order 9494,	7.00igrimone	- Addition		⊠ No	100.
	Confirmatory License Other: Document to correct previously recorded Assignment at Reel 020242/Frame 0201 Execution Date: 1) 11/29/07 3) 11/29/07 2) 11/29/07 4) 11/29/07 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution the application:			_		
				he execution date of		
	A. Patent Application Num	nber(s):	В.	Patent Number(s):	:	
	11/987,545					
		Additional numbers attached?	 Yes	⊠ No		
	Name and address of p concerning document s	party to whom correspondence should be mailed:	6.	Total number of ap	pplications ar	nd patents involved:
	Name: Christopher P. Isaac	(202) 408-4000	7.	Total fee (37 CFR	1.21(h) and	3.41): \$40
	Internal Address: FINNEGAN, & DUNNER	, HENDERSON, FARABOW, GARRETT				deficiency or credit count 06-0916)
:		ork Avenue, N.W.		Authorized to	be charged	to deposit account
	City: Washington, D.	C.	7			
	State: 2	Zip: 20001-4413	8.	Deposit Account N	No.: <u>06-0916</u>	
	document.	re. d belief, the foregoing information is true	and correct	. 62/67 (376%) (1888) (1888) 61 FC:8021 66 <u>639</u>	02/05/	2008
	Signed:	Christopher P. Isaac Reg. No.: 32,616 number of pages including cover sheet,	attachmen	ts and documents:	_	pate

6/2007

PATENT REEL: 020498 FRAME: 0387

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U.S. PTO 11/987545 11/30/2007 12-12-2007

U.S. Department of Commerce Patent and Trademark Office Attorney Docket No. 08350.7469-00

To the Director of the U.S. Patent and Train 103467962 Mail Stop Assignment Recordation Service

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Name of conveying pa	arty(ies):	2.	Name and addr	ess of receiving	party(ies):
1) Dachuan Yu (11/29 2) Michael Parry Leie	8/07) Liechty htt 11/29/07)		.		
3) Mahesh Sitaram ili	indala (11/29/07)	Name:	Caterpillar In	C.	
4) Matthew Thomas M					
Additional name(s) of conveying	g party(ies) attached? 🗌 Yes 🔯 No	Internal A	ddress:		
3. Nature of conveyance	:	Street Ad	dress: 100	N.E. Adams S	treet
⊠ Assignment	☐ Merger	City: F	Peoria		
Security Agreement	☐ Change of Name	State:	Illinois	Zip Code:	61629-6490
Joint Research Agreement	Government Interest Assignment	Additional	name(s) & Add	ress(es) attact	ned?
Executive Order 9494, Confirmatory License	Other:] Yes	⊠ No	
Execution Date: 1) 11/29/07 2) 11/29/07	-, · · · ·				
4. Application number(s) the application: 11/29	or patent number(s): If this document is t	eing filed tog	ether with a nev	w application, t	he execution date c
are approautors. Trize					
A. Patent Application Nur	nber(s):	B. F	Patent Number(s	s):	
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	Additional numbers attached?	Yes	□ No		
5. Name and address of concerning document	party to whom correspondence should be mailed:	6. T		applications an	d patents involved:
Name: Christopher P. Isaac	(202) 408-4000	7. Т	otal fee (37 CF	R 1.21(h) and	3.41): \$40
Internal Address: FINNEGAN & DUNNER	I, HENDERSON, FARABOW, GARRETT	Ø			eficiency or credit count 06-0916)
	ork Avenue, N.W.		Authorized	to be charged	to deposit account
City: Washington, D	.C.				
State:	Zip: 20001-4413	8. D	eposit Account	No.: <u>06-0916</u>	
9. Statement and signatu					
To the best of my knowledge an	d belief, the foregoing information is true	and correct a	nd any attached	d copy is a true	copy of the origina
document.				1	
Signed:				11/31/9	1/
	Christopher P. Isaac Reg. No.: 32,616			V Da	ite
Total	number of pages including cover sheet,	attachments a	and documents: 2/83/2687 CNGUYE	4 :NO GRADADO 1:	1907645
6/2007			FC:8821	IIL DODNAR/Y	49.88 OR
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REEL: 020498 FRAME: 0388

SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 08350.7469-00 Client Ref. No. 07-469

ASSIGNMENT

WHEREAS We, the below named inventors, [hereinafter referred to as Assignors], have made an invention entitled:

HYBRID POWER SYSTEM WITH VARIABLE SPEED GENSET

for which I/We executed an application for United United States Letters Patent on, 20 (led an application for
WHEREAS, Caterpillar In., a corporation Peoria, IL 61629-6490 (hereinafter referred to a and to this invention in all countries throughout this invention and the Letters Patent to be issued.	he world, and in and to the application for United	ht, title, and interest in
other good and valuable consideration the receip have sold, assigned, transferred, and set over, a lawful successors and assigns, our entire right, to filed (if any), and this applied of the United States which may be granted there the above provisional application (if any), as well applications for Letters Patent which may hereaf which may be granted on this invention in any for lower hereby authorize and request the Commissionary foreign country whose duty it is to issue pate invention to Assignee, its successors and assign	and do hereby sell, assign, transfer, and set over uitle, and interest in and to this invention, provision cation, and all divisions, and continuations thereo on, and all reissues thereof, and all rights to claim as all rights to claim priority on the basis of this atter be filed for this invention in any foreign country reign country, and all extensions, renewals, and reioner of Patents and Trademarks of the United Stants on applications as described above, to issue s, in accordance with the terms of this Assignment.	d, We, as Assignors, unto the Assignee, its hal application Serial No. of, and all Letters Patent in priority on the basis of application, and all y and all Letters Patent eissues thereof; and tates and any official of all Letters Patent for this int;
AND, WE HEREBY covenant that I/We if We have not executed and will not execute any a	have the full right to convey the interest assigned agreement in conflict with this Assignment;	by this Assignment, and
AND, WE HEREBY further covenant and Assignee, its successors and assigns, any facts proceeding, sign all lawful papers when called up necessary or desirable to perfect the title to this i divisional, continuation, and reissue applications. Assignee, its successors and assigns, to obtain a and any foreign country, it being understood that the Assignee, its successors and assigns. IN TESTIMONY WHEREOF, We have here	oon to do so, execute and deliver any and all paper nvention in said Assignee, its successors or assignee, its successors or assignee, make all rightful oaths and generally do everything and enforce proper patent protection for this inversary expense incident to the execution of such page 1.	stify in any legal ers that may be gns, execute all ing possible to aid ntion in the United States
1. FULL NAME OF SOLE OR FIRST ASSIGNOR Dachuan Yu	ASSIGNOR SIGNATURE	DATE 11/29/2007
ADDRESS 415 S. Sterling Ave., #2, Peoria, IL. 61604	m	CITIZENSHIP China
listing of Inventors Continued on Page 2 hereof ☑ Yes ☐ No		

Page 1 of 3

REEL: 020498 FRAME: 0389

SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 08350.7469-00 Client Ref. No. 07-469

1. WITNESS NAME Daniel Wentzel	WITNESS SIGNATURE	DATE 11/34/07
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7300 Saverkraut LN N	Mt. Vernon IN 47620	CITIZENSHIP
<u> </u>		
2. WITNESS NAME Breama Heidenbirm	WITNESS SIGNATURE	91 29/07
ADDRESS	tollard OH 43528	CITIZENSHIP USA
J .		
2. FULL NAME OF SECOND ASSIGNOR, IF ANY Michael Parry Liechty	ASSIGNOR'S SIGNATURE	DATE 4/29/07
· · · · · · · · · · · · · · · · · · ·		
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ADDRESS 14216 N. Wayne Rd., Chillicothe, IL. 6152		CITIZENSHIP
ADDRESS 14216 N. Wayne Rd., Chillicothe, IL. 6152	23	CITIZENSHIP USA
1 WITNESS NAME 1. WITNESS NAME Preama Heidenhira	23	CITIZENSHIP USA
14216 N. Wayne Rd., Chillicothe, IL. 6152		CITIZENSHIP
1. WITNESS NAME Preama Heidenburg ADDRESS	withese signature	DATE 11/29/07
1. WITNESS NAME Preama Heidenburg ADDRESS	withese signature	DATE 11/29/07
1. WITNESS NAME Preama Heidenburg ADDRESS 524 Niagara AVE	withese signature	DATE 11/29/07
1. WITNESS NAME Breama Heidenburg ADDRESS 524 Niagara AVE	WITNESS SIGNATURE Hollard OHIO 43528 WITNESS SIGNATURE Pariel Wants	DATE 11/29/07 CITIZENSHIP

PATENT

REEL: 020498 FRAME: 0390

SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 08350.7469-00 Client Ref. No. 07-469

3. FULL NAME OF THIRD ASSIGNOR, IF ANY Mahesh Sitaram Illindala	ASSIGNOR'S SIGNATURE	DATE 11/29/01	
ADDRESS 2114 Liams Way, Duniap, IL 61525		CITIZENSHIP India	
1 WITNESS NAME Breama Heidenburg	WITHESS SIGNATURE	DATE VEQUOT	
Day Via owa Ave Hollo	nd OH =43528	CITIZENSHIR	
Davic Wentzel	WITNESS SIGNATURE Daniel R. Winds	DATE 11/29/07	
ADDRESS 7300 Saver Front LN N M	Vernon, IN 47620	CITIZENSHIP USP	
4. Full name of Fourth Assignor, IF any Matthew Thomas Muller	ASSIGNOR'S SIGNATURE	DATE 11/29/c7	
ADDRESS 3319 N. Isabell Ave., Peoria, IL 61604		CITIZENSHIP USA	
1. WITNESS NAME CORY FISHER	WITNESS SIGNATURE	DATE / 2 7 / - 7	
ADDRESS PO Pos 257		CITIZENSHIP	
Bradford, IL 6/421	?		
		,	
2 WITNESS NAME MICHAEL LIKNUSSMAN	WITNESS SIGNATURE)	DATE 27/01	
ADDRESS 331 E ELLER DR		CITIZENSHIP.	
E. PEORIA, IL EIEII			

Page 3 of 3

PATENT REEL: 020498 FRAME: 0391 FEB 0 5 2008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:	
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Dachuan YU et al.) Group Art Unit: 3618

Application No.: 11/987,545) Examiner: Not yet assigned

Filed: November 30, 2007) Confirmation No.: 6390

For: HYBRID POWER SYSTEM WITH

VARIABLE SPEED GENSET

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

REQUEST FOR CORRECTED NOTICE OF RECORDATION OF ASSIGNMENT

Applicant respectfully requests the issuance of a corrected Notice of Recordation of Assignment Document for this application. A copy of the Notice of Recordation of Assignment Document and the Assignment document filed November 30, 2007 are enclosed. Upon review of the Notice of Recordation of Assignment Document, we noticed an error that occurred through the fault of the Applicant. Specifically, the last name of the second inventor is misspelled. As indicated on the Assignment document filed November 30, 2007, the correct spelling is "Michael Parry Liechty."

Applicant has attached herewith a copy of the Notice, including the appropriate correction, which is shown in red ink. In addition, we are concurrently filing a corrective Recordation Form Cover Sheet to correct the previously recorded Assignment. We request that a corrected Notice of Recordation of Assignment be supplied as soon as possible.

PATENT REEL: 020498 FRAME: 0392 If any fees are due in connection with the filing of this paper, please charge any required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: February 5, 2008

Christopher P. Isaac Ray. No. 56, 639

Reg. No. 32,616