Substitute Form PTO-1595 Attorney Docket No.: 09857-057001

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Gene Lopez	The Nasdaq Stock Market, Inc. Office of the General Counsel 9600 Blackwell Road Rockville, MD 20850
Additional name(s) attached? ☐ Yes 图 No	
3. Nature of conveyance:	
☑ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other:	
Execution Date: 2/11/08	Additional names/addresses attached? ☐ Yes 图 No
4. Application number(s) or patent number(s):	
If this document is being filed with a new application, the execution date of the application is:	
A. Patent Application No(s).:	B: Patent No(s).:
09/812,225	
Additional numbers attached? ☐ Yes 图 No	
Name/address of party to whom correspondence concerning document should be mailed:	6. Total number of applications/patents involved: 1
DENIS G. MALONEY	7. Total fee (37 CFR §3.41): \$40
Fish & Richardson P.C. 225 Franklin Street	☐ Enclosed 国 Authorized to charge Deposit Account.
Boston, MA 02110	8. Deposit Account No.: 06-1050
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February 12, 2008 Date of Transmission

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02/11/2008 MON 15:02 FAX 240 386 5141

NASD REGULATION INC.

2001

Attorney Docket No.: 09857-057001

<u>ASSIGNMENT</u>

For valuable consideration, we, John Malitzis, of 2127 California Street N.W., Washington, DC 20008; Peter J. Martyn, of 417 Overbrook Rd., Ridgewood, New Jersey 07450; Daniel Franks, of 3333 Avalon Gates, Trumbull, CT 06611; Debra S. Peter, of 34 Circle Boulevard, Newfoundland, New Jersey 07435-1429; Patricia Dizenhaus, of 3 Gristmill Drive, Manalapan, New Jersey 07726; Thomas P. Moran, of 7135 Forestwood Court McLean VA 22101; Gene Lopez, of 30 North Fenwick Street, Arlington, Virginia 22201, hereby assign to THE NASDAO STOCK MARKET, INC., a corporation of Delaware, having a place of business at Office of the General Counsel, 9600 Blackwell Road, Rockville, MD 20850, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled ODD LOT PROCESSING IN CENTRALIZED AUTOMATED MARKET SYSTEM, filed March 19, 2001. and assigned U.S. Serial Number 09/812.225, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

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PATENT REEL: 020501 FRAME: 0719

NO. 7922 P. 4/4

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NASD REGULATION INC.

2002

Attorney Docket No.: 09857-057001

DATE:	JOHN MAĽITZIS
DATE:	PETER J. MARTYN
DATE:	DANIEL FRANKS
DATE:	DEBRA S. PETER
DATE:	PATRICIA DIZENHAUS
DATE:	THOMAS P. MORAN
DATE: 2/11/2008	CELONILA SOL

Assignment Page 2 of 2

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