

U.S. PTO

12/068081

RM PTO-1595 (modified)
v. 03-01)
IB No. 0651-0027 (exp. 5/31/2002)
3A/REV04

02/01/2008

02-14-2008

Docket No.: NEC-07013US

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



103482111

ab settings

to the Director of the United States Patent and

ached documents or the new address(es) below.

1. Name of conveying party(ies):

- 1) Kei YOSHIKAWA
2) Ryusuke SHIBATA
3) Tokio SAWATAISHI
4) Nobuo NAGANO
5) Koichi IGUCHI

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

Nature of conveyance/Execution Date(s):

Execution Date(s): 1-5) January 18, 2008

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies):

Name: NEC Electronics Corporation

Address: 1753 Shimonumabe, Nakahara-ku

City: Kawasaki

State/Prov.: Kanagawa

Country: JAPAN ZIP: 211-8668

Additional name(s) & address(es) ☐ Yes ☒ No

Application or patent numbers(s):

A. Patent Application No. (s)

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

Name and address to whom correspondence concerning document should be mailed:

Name: Sean M. McGinn

Registration No.: 34,386

Address: McGinn IP Law Group, PLLC

8321 Old Courthouse Road

Suite 200

City: Vienna

State/Prov.: VA

Country: USA ZIP: 22182-3817

Phone Number: 703-761-4100

Fax Number: 703-761-2375

Email Address: smcginn@mcginniplaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number 50-0481

Authorized User Name McGinn

Signature:

Signature

February 1, 2008

Date

Sean M. McGinn

Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

Documents to be recorded (including cover sheet) should be faxed to (571)273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

02/04/2008 DEKMANU1 00000045 12068081

04 FC:0021

40.00 CP

PATENT

REEL: 020516 FRAME: 0058

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS

**NAME AND ADDRESS
OF ASSIGNOR**

Kei YOSHIKAWA and Ryusuke SHIBATA
c/o Kyushu Denshi Co., Ltd.,
89 Nagasaki, Shiranuhi-machi, Uki-shi,
Kumamoto, 869-0562 Japan

Tokio SAWATAISHI, Nobuo NAGANO and Koichi IGUCHI
c/o NEC Electronics Corporation,
1753 Shimonumabe, Nakahara-ku,
Kawasaki, Kanagawa 211-8668, Japan

(HEREINAFTER REFERRED TO AS ASSIGNOR), have
invented and own a certain new and useful invention
entitled:

TITLE OF INVENTION:

LIGHT RECEIVING CIRCUIT

WHEREAS

**FULL NAME AND ADDRESS
OF ASSIGNEE**

NEC Electronics Corporation
1753 Shimonumabe, Nakahara-ku,
Kawasaki, Kanagawa 211-8668, Japan

(hereinafter referred to as ASSIGNEE), is desirous of
acquiring the entire right, title, and interest in, to and under
said invention and the United States Letters Patent to be
obtained therefor:

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be
it known that in consideration of the payment of ASSIGNEE
to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of
which is hereby acknowledged, and for other good and
valuable consideration, ASSIGNOR, by these presents,
hereby sells, assigns and transfers to ASSIGNEE the entire
and exclusive right, title and interest to said invention and all
Letters Patent of the United States to be obtained therefor on
said application or any continuation, division, renewal,
substitute or reissue thereof for the full term or terms for
which the same may be granted.

ASSIGNOR hereby authorizes and requests the
Commissioner of Patents and Trademarks to issue said
Letters Patent of the

United States Patent obtained therefor on said application or any
(Assignment of Application for Patent -- page 1 of 2

continuation, division, renewal, substitute or reissue thereof for the full term or terms to said ASSIGNEE, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

DATE OF SIGNING

IN WITNESS WHEREOF, I/We have hereunto set

hand and seal this 18th day of January, 2008.

SIGNATURE

By: Kei Yoshikawa (吉川)
Kei YOSHIKAWA

SIGNATURE

By: Ryusuke Shibata (柴田)
Ryusuke SHIBATA

SIGNATURE

By: Tokio Sawataishi (澤田)
Tokio SAWATAISHI

SIGNATURE

By: Nobuo Nagano (永野)
Nobuo NAGANO

SIGNATURE

By: Koichi Iguchi
Koichi IGUCHI

WITNESSES

Mitsushi Ukeni
Shigeo Murota
(Assignment of Application for Patent - page 2 of 2)

02/01/2008

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

PATENTS ONLY

Tab settings → → → ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Director of the United States Patent and Trademark Office: Please record the attached documents or the new address(es) below

1. Name of conveying party(ies):

- 1) Kei YOSHIKAWA 5) Koichi IGUCHI
2) Ryusuke SHIBATA
3) Tokio SAWATAISHI
4) Nobuo NAGANO

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

Nature of conveyance/Execution Date(s):

Execution Date(s): 1-5 January 18, 2008

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies):

Name: NEC Electronics Corporation

Address: 1753 Shimonumabe, Nakahara-ku

City: Kawasaki

State/Prov.: Kanagawa

Country: JAPAN ZIP: 211-8668

Additional name(s) & address(es) ☐ Yes ☒ No

Application or patent numbers(s):

A. Patent Application No. (s)

☒ This document is being filed together with a new application

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

Name and address to whom correspondence concerning document should be mailed:

Name: Sean M. McGinn

Registration No.: 34,386

Address: McGinn IP Law Group, PLLC

8321 Old Courthouse Road

Suite 200

City: Vienna

State/Prov.: VA

Country: USA ZIP: 22182-3817

Phone Number: 703-761-4100

Fax Number: 703-761-2375

Email Address: smcginn@mcginniplaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number 50-0481

Authorized User Name McGinn

Signature:



Signature

February 1, 2008

Date

Sean M. McGinn

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: ☐Documents to be recorded (including cover sheet) should be faxed to (571)273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450