

PATENT ASSIGNMENT

Electronic Version v1.1

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SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

RELEASE BY SECURED PARTY

CONVEYING PARTY DATA

Name	Execution Date
The Regents of the University of California	06/05/2001

RECEIVING PARTY DATA

Name:	Gary A. Jarvis
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Postal Code:	94121

Name:	Constance M. John
Street Address:	4150 Clement Street
City:	San Francisco
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Name:	Hakon Leffler
Street Address:	Solvegatan 23
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Postal Code:	SE-223 62

PROPERTY NUMBERS Total: 2

Property Type	Number
Application Number:	10726198
Patent Number:	6770622

CORRESPONDENCE DATA

Fax Number: (303)447-7800

PATENT

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REEL: 020518 FRAME: 0635

OP \$80.00 10726198

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NAME OF SUBMITTER:

Lea E. Murray

Total Attachments: 3

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UNIVERSITY OF CALIFORNIA, SAN FRANCISCO
Office of Technology Management

BERKELEY • DAVIS • IRVINE • LOS ANGELES • RIVERSIDE • SAN DIEGO • SAN FRANCISCO



SANTA BARBARA • SANTA CRUZ

May 17, 2001

IN DUPLICATE

Gary A. Jarvis
VA Medical Center
4150 Clement Street
San Francisco, CA 94121

Constance M. John
VA Medical Center
4150 Clement Street
San Francisco, CA 94121

Hakon Leffler
Institute of Laboratory Medicine
Lund University
Solvegatan 23
SE-223 62 Lund
Sweden

Subject: Release of Rights, UCSF Case No. SF01-056

Dear Drs. Jarvis, John, and Leffler:

Pursuant to the University of California Patent Policy, you have fully disclosed and reported your invention entitled "Truncated Galectin-3 Therapy for Breast Cancer" identified as UCSF Case No. SF01-056 to the University of California San Francisco Office of Technology Management ("OTM").

In response to your request that the University assign its rights in the above referenced invention back to the inventor(s), The Regents of the University of California ("The Regents") will release its rights to the invention to you and hereby grants to you the requisite permission to request a

waiver of patent rights from your research sponsor, in accordance with the University patent policy, Article II.B, paragraph 2 (enclosed). Your written request should be directed to:

Gary A. Jarvis, Constance M. John, Hakon Leffler

May 22, 2001

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Danny L. Lapse
Contract Specialist
Acquisition Activity
ATTN.: MOMK-AAA-A
820 Chandler Street
Fort Detrick, MD 21702-5014
Phone: 301-619-7147

By signing this agreement, you assert the following:

- 1) no further research or development of the invention is presently in progress or is contemplated by you, or others at your request, using University funds or facilities,
- 2) at the time of your acceptance of the terms of this letter agreement, you have not entered an agreement with a third party for the development or commercialization of the invention,
- 3) you are prepared to file a U.S. patent application, file related foreign patent applications, and/or are prepared to use your best efforts to commercialize the invention,
- 4) you have requested that The Regents release patent rights in the invention to you.

The OTM has approved a release to you of all right, title, and interest of The Regents in and to the invention.

You agree to the following:

- 1) to inform the OTM annually of your progress in the filing of patent application(s) and the commercialization of the invention,
- 2) to provide the OTM with a copy of the patent(s) in the event it issues,
- 3) to provide the date of your first sale of product or process covered by the patent(s) or coming under the invention,
- 4) to make full disclosure to the OTM of any improvement you make with regard to the invention using University funds or facilities. Also, you understand such improvement will belong to The Regents and will be handled in accord with the University of California policy and practice.

You hereby grant The Regents:

- 1) a shop-right and royalty-free license to make, have made, and use the invention for educational and research purposes,

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The Regents hereby release to you all The Regents' right, title, and interest in and to the invention disclosed and described in UCSF Case No. SF01-056 subject to The Regents' right to assert title by declaring the above release void from the beginning, if after one year from the date of this letter and yearly thereafter you have not either expended funds in the further development of the invention, or commercialized the invention by substantially satisfying the market demand for the invention.

Please sign below to acknowledge your acceptance of the terms of this letter agreement. Please return this letter to the OTM for acceptance by The Regents. A copy for your files will be forwarded to you after execution.

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Joel B. Kirschbaum
Joel B. Kirschbaum, Ph.D. - Director
Office of Technology Management

6/5/01
Date

Gary A. Jarvis
Gary A. Jarvis
Inventor

6/5/01
Date

Constance M. John
Constance M. John
Inventor

06/04/01
Date

Hakon Leffler
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