

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

JP

RECORDATION FORM COVER SHEET PATENTS ONLY

S-112,310

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

GENERAL ELECTRIC COMPANY

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 01/24/2008

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☒ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)Name: United States Department of Energy

Internal Address: _____

Street Address: 1000 Independence Ave. S.W.City: WashingtonState: D.C.Country: USA Zip: 20585

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

11/941,415

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:Name: Mark P. DvorscakInternal Address: U. S. Dept. of Energy, IPLDStreet Address: 9800 S. Cass AveCity: ArgonneState: IL Zip: 60439Phone Number: 630-252-2393Fax Number: 630-252-2779

Email Address: _____

6. Total number of applications and patentsInvolved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$** _____

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
 Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:

Signature

Date

Michael J. Dobbs #58,093

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CONFIRMATORY LICENSE

Title of Invention: Earth-alkaline-aluminosilicate as water-vapor barrier layer for rare-earth silicate EBC coatings

Inventor(s): Yuk-Chiu Lau, Larry S. Rosenzweig, Krishan Lal, Curtis Alan Johnson, Peter Meschter, Reza Sarrafi-Nour

Patent Application Serial No: 11/941415

Filing Date : 11/16/07

Patent Number:

Issue Date:

Name of Contractor: General Electric Company

DOE Contract No.: DE-FC26-05NT42643

DOE Case No.: S-112,310

Contractor Docket No.: 225497


The Contractor has reported the above-identified invention as a Subject Invention to DOE and has elected to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up, worldwide license in this subject invention, patent application and any resulting patent, including any continuation, divisional, reissue, or supplemental application thereof, to practice or to have practiced for or on behalf of the United States throughout the world; and of all other rights acquired by the Government by the patent rights clause in the above-identified contract.

It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified subject invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed this 21st day of JANUARY, 2008

By: 

William M Genovese/IP Counsel

Official's Name and Title (typed)

General Electric Company

Contractor Name (typed)

1 River Road
Schenectady, NY 12345

Business Address

PATENT

RECORDED: 02/21/2008

REEL: 020539 FRAME: 0918

*** INITIAL PAGE ***