

02-25-2008

Form PTO-1595 (F)
OMB No. 0651-00

U.S. DEPARTMENT OF COMMERCE
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103484091

FORM COVER SHEET
TS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Wendell V. Twelves, Jr.
Wangen Lin
David G. Alexander

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 11/2/07; 2/12/08

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

2. Name and address of receiving party(ies)

Name: UNITED TECHNOLOGIES CORPORATION

Internal Address: _____

Street Address: One Financial Plaza

City: Hartford

State: CT

Country: USA Zip: 06103

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

A. Patent Application No. (s)

This document is being filed together with a new application.

B. Patent No. (s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Barry L. Kelmacher

Internal Address: BACHMAN & LaPOINTE, P.C.

Street Address: 900 Chapel Street, Suite 1201

City: New Haven

State: CT Zip: 06510

Phone Number: 203-777-6628

Fax Number: 203-865-0297

Email Address: docket@bachlap.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 21-0279

02/22/2008 NJAMA1 00000100 210279 12069714
Authorized User Name Barry L. Kelmacher
01 FC:8021 40.00 DA

9. Signature:

Barry L. Kelmacher
Signature

February 12, 2008

Date

Barry L. Kelmacher

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, Wendell V. Twelves, Jr., Wangen Lin and David G. Alexander, all citizens of the United States and residents of the State of Connecticut do hereby sell, assign and transfer to United Technologies Corporation, a corporation of the State of Connecticut, having a place of business at Hartford, Connecticut, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled SOLID STATE ADDITIVE MANUFACTURING SYSTEM (Attorney Docket No. PA-0003403-US 06-714), and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

(L.S.) Wendell V. Twelves, Jr.
Wendell V. Twelves, Jr.

11/02/07
(Date)

(L.S.) _____
Wangen Lin

(Date)

(L.S.) _____
David G. Alexander

(Date)

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, Wendell V. Twelves, Jr., Wangen Lin and David G. Alexander, all citizens of the United States and residents of the State of Connecticut do hereby sell, assign and transfer to United Technologies Corporation, a corporation of the State of Connecticut, having a place of business at Hartford, Connecticut, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled **SOLID STATE ADDITIVE MANUFACTURING SYSTEM** (Attorney Docket No. PA-0003403-US 06-714), and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

(L.S.) _____
Wendell V. Twelves, Jr.

(Date)

(L.S.) Wangen Lin
Wangen Lin

2-12-2008
(Date)

(L.S.) _____
David G. Alexander

(Date)

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, Wendell V. Twelves, Jr., Wangen Lin and David G. Alexander, all citizens of the United States and residents of the State of Connecticut do hereby sell, assign and transfer to United Technologies Corporation, a corporation of the State of Connecticut, having a place of business at Hartford, Connecticut, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled **SOLID STATE ADDITIVE MANUFACTURING SYSTEM** (Attorney Docket No. PA-0003403-US 06-714), and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

(L.S.) _____
Wendell V. Twelves, Jr.

(Date)

(L.S.) _____
Wangen Lin

(Date)

(L.S.) David G. Alexander
David G. Alexander

2/12/08

(Date)