Form PTO-1595 (Rev. 08/05)
OMB No. 0651-0027 (exp. 6/30/2008)

Doc Code: \$-092,498

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
1. Name of conveying party(les) SAN FRANCISCO B.A.R.T.	2. Name and address of receiving party(ies)  Name: U.S. Department of Energy  Internal Address: GC-62 6F-067
Additional name(s) of conveying party(ies) attached? Yes No  3. Nature of conveyance/Execution Date(s):  Execution Date(s) 2/05/2008	Street Address: 1000 Independence Avenue, S.W.
<ul> <li>□ Assignment</li> <li>□ Merger</li> <li>□ Security Agreement</li> <li>□ Joint Research Agreement</li> <li>□ Government Interest Assignment</li> <li>☑ Executive Order 9424, Confirmatory License</li> </ul>	City: Washington  State: D.C.  Country: Zip: 20585-0162
4. Application or patent number(s):	Additional name(s) & address(es) attached?  Yes No document is being filed together with a new application.
A. Patent Application No.(s) 09/291,814 Additional numbers att	B. Patent No.(s)
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
Name: Brenda K. Banks	7. Total fee (37 CFR 1.21(h) & 3.41) \$
Internal Address: GC-62 6F-067  U.S. Department of Energy  Street Address: 1000 Independence Avenue, S.W.	<ul> <li>□ Authorized to be charged by credit card</li> <li>□ Authorized to be charged to deposit account</li> <li>□ Enclosed</li> <li>☒ None required (government interest not affecting title)</li> </ul>
City: Washington State: D.C. Zip: 20585-0162	8. Payment Information a. Credit Card Last 4 Numbers
Phone Number: 202-586-2802	Expiration Date
Fax Number: 202-586-2805	b. Deposit Account Number
Email Address: brendq.banks@hq.doe.gov	Authorized User Name
9. Signature: Signature	Date Total number of pages including
Brenda K. Banks Name of Person Signing	cover cover

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT REEL: 020583 FRAME: 0383

09/291,814

## CONFIRMATORY LICENSE CRADA PARTICIPANTS

DOE Case No. S-92,498 Title: Efficient High Density Train Operations

Inventor(s): Susanna P. Gordon, John A. Evans

Serial No.: 09/291,814

Participant: San Francisco B.A.R.T.

CRADA No.: 01326

Patent Rights Clause No.:

Filing Date (U.S.) 04/14/1999

Patent No. 6.332,107 Issue Date: 12/18/2001

Foreign applications filed or intended to be filed at Contractor's expense in (countries):

The Participant, having the right to retain title to certain Subject Inventions as a result of the above-identified U.S. Department of Energy (DOE) CRADA, has reported the above-identified invention as a Subject Invention to DOE with an election to retain title thereto.

Now, therefore, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up license to practice the invention or have it practiced for or on behalf of the United States throughout the world, and of all other rights acquired by the Government under the above-identified CRADA, including the march-in rights in accordance with 48 CFR 27.304.1(g). It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said CRADA, or from asserting any other rights of the Government with respect to the above-identified invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed, this 5 day of Feloman, 2008

San Francisco Bey Area Rajind Transit Mistrict Contractor PARTICIPANT

(SEAL)

By Esper Low, A Horney Contractor's Officer and Title

Contractor's Cinco.

PARTICIPANT

300 Lakeside Dr., 23 rd 71.

Business Address October, CA 94612

PATENT REEL: 020583 FRAME: 0384

**RECORDED: 02/28/2008**