

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

David E. Hartley  
John Alvarez

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) February 6, 2008

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: William A. Cook Australia Pty. Ltd.

Internal Address: \_\_\_\_\_

Street Address: 12 EWelectronics Street

City: Brisbane Technology Park, Eight Mile Plains

State: Brisbane, Queensland

Country: AU Zip: 4113

Additional name(s) & address(es) attached? ☒ Yes ☐ No

### 4. Application or patent number(s):

A. Patent Application No.(s)  
11/983,151

☐ This document is being filed together with a new application.  
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Richard J. Godlewski

Internal Address: \_\_\_\_\_

Street Address: P.O. Box 2269

City: Bloomington

State: IN Zip: 47402

Phone Number: 812-330-1824

Fax Number: 812-330-9049

Email Address: \_\_\_\_\_

### 6. Total number of applications and patents involved: \_\_\_\_\_

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 13-2528

Authorized User Name Richard J. Godlewski

### 9. Signature:

Richard J. Godlewski  
Signature

Feb 28, 2008  
Date

Richard J. Godlewski

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 132528 11983151

**Serial No. 11/983,151**

**Recordation Form Cover Sheet**

**Continuation of Information Item 2 – Name and Address of Receiving Parties:**

**Cook Incorporated  
750 N. Daniels Way  
Bloomington, IN 47404**

PA-6065-RFB - Hartley et al.

PATENT

CERTIFICATION OF FACSIMILE TRANSMISSION	
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being facsimile transmitted to the Patent and Trademark Office on the date shown below.	
<u>February 08, 2008</u>	<u>Pam Garwood</u> (Typed or printed name of person signing certification)
Date	<u>Pam Garwood</u>

**ASSIGNMENT AND AGREEMENT**

For value received, we, David E. Hartley, residing at 2 View Street, Subiaco, 6008, Western Australia, Australia and John Alvarez, residing at 29 Goldsmith Road, Claremont, 6010, Western Australia, Australia, hereby sell, assign, and transfer to **William A. Cook Australia Pty. Ltd.**, an Australian corporation, 12 Electronics Street, Brisbane Technology Park, Eight Mile Plains, Brisbane, Queensland 4113 Australia, and its successors, assigns, and legal representatives, hereinafter collectively "COOK AUSTRALIA" and to **Cook Incorporated**, a corporation of the State of Indiana, having an office at 750 N. Daniels Way, Bloomington, IN, 47404, U.S.A., and its successors, assigns, and legal representatives, hereinafter collectively "Cook," the entire right, title and interest, for the United States of America, in and to certain inventions related to "EMERGENCY TRANSECTION DEVICE" described in U.S. Patent Application Serial No. 11/983,151 filed on November 7, 2007, and all the rights and privileges in said application and under any and all Letters Patent or any continuation, division, renewal, or substitute thereof, and any reissue or re-examination thereof that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Cook, and Cook Australia, either jointly or individually, the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Cook and Cook Australia, either jointly or individually, to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

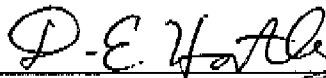
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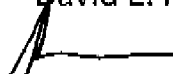
We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Cook and Cook Australia, either jointly or individually, to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Cook and Cook Australia, either jointly or individually, in the United States and in all countries foreign to the United States, or to such nominees as Cook and Cook Australia, either jointly or individually, may designate.

We agree that, when requested, we shall, without charge to Cook and Cook Australia, either jointly or individually, but at their expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Date: 23<sup>rd</sup> Jan. 2008David E. Hartley

Witnessed:

Signature of WitnessColin P. MilsonPrinted Name of Witness7 Lewin Way  
Scarborough WA 6019.

Date: \_\_\_\_\_

John Alvarez

Witnessed:

Signature of WitnessPrinted Name of Witness

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We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Cook and Cook Australia, either jointly or individually, to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

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Date: \_\_\_\_\_

David E. Hartley


Witnessed: \_\_\_\_\_

Signature of Witness

Printed Name of Witness

Date: 6/2/08  
John Alvarez

Witnessed: \_\_\_\_\_

  
Signature of WitnessR. COLLETT.  
Printed Name of Witness

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PATENT

Signed for and on behalf of  
WILLIAM A. COOK AUSTRALIA PTY. LTD.  
this 12<sup>th</sup> day of FEBRUARY, 2007

Gus Raddeo  
Gus Raddeo, Managing Director

In the presence of:

DO'Brien  
Witness

Donna O'Brien  
Printed Name of Witness

Signed for and on behalf of  
COOK INCORPORATED  
this \_\_\_\_ day of \_\_\_\_\_, 2007

\_\_\_\_\_  
Kem Hawkins

United States of America     )  
State of Indiana             ) ss:  
County of Monroe            )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2007, before me  
personally came Kem Hawkins, to me known to be the individual described  
in and who executed the foregoing instrument, and acknowledged  
execution of the same.

\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_

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PATENT

Signed for and on behalf of  
WILLIAM A. COOK AUSTRALIA PTY. LTD.  
this \_\_\_\_ day of \_\_\_\_\_, 2008

\_\_\_\_\_  
Gus Taddeo, Managing Director

In the presence of:

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Printed Name of Witness

Signed for and on behalf of  
COOK INCORPORATED  
this 12 day of Feb., 2008

Kem Hawkins  
Kem Hawkins

United States of America )  
State of Indiana ) ss:  
County of Monroe )

On this 12th day of February, 2008, before me  
personally came Kem Hawkins, to me known to be the individual described  
in and who executed the foregoing instrument, and acknowledged execution  
of the same.

Diana A. Hawkins  
Notary Public

My Commission Expires: JUNE 27, 2014