

REPLACEMENT COVER SHEET

Form PTO-1595 (Rev. 08/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Goldschmidt GMBH

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 02/01/2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: The Clorox Company

Internal Address: _____

Street Address: 1221 Broadway

City: Oakland

State: CA

Country: US Zip: 94612

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/264,606

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael Petrin, The Clorox Company

Internal Address: _____

Street Address: P.O. Box 24305

City: Oakland

State: CA Zip: 94623-1305

Phone Number: (510) 271-7417

Fax Number: (510) 271-1652

Email Address: Toni.Sampson@clorox.com

6. Total number of applications and patents

Involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 032270

Authorized User Name Michael Petrin

9. Signature:

/Michael Petrin/

March 5, 2008

Signature

Date

Michael Petrin

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

9

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 020606 FRAME: 0020

700362857

CH \$40.00 032270 11264606

ORIGINAL COVER SHEET

Form PTO-1595 (Rev. 08/05)
OMB No. 0651-0027 (exp. 6/30/2008)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Goldschmidt GmbH

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 23, 2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: THE CLOROX COMPANY

Internal Address: _____

Street Address: 1221 BroadwayCity: OaklandState: CACountry: US Zip: 94612Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

11/624,606☐ This document is being filed together with a new application.
B. Patent No.(s)Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael Petrin, The Clorox Company

Internal Address: _____

Street Address: P.O. Box 24305City: OaklandState: CA Zip: 94623-1305Phone Number: (510) 271-7417Fax Number: (510) 271-1652Email Address: Michael.Petrin@Clorox.com6. Total number of applications and patents involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____b. Deposit Account Number 032270

Authorized User Name _____

9. Signature: Toni Sampson

Signature

Date

Toni Sampson
Name of Person SigningTotal number of pages including cover sheet, attachments, and documents: 4Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

Dockett No. 380.196B

ASSIGNMENT
AND REASSIGNMENT

I. ASSIGNMENT

WHEREAS, Felix Müller, a resident of Velbert, DE (Germany) and Patrick Winter, a resident of Essen, DE (Germany), both residents of Germany, hereinafter referred to collectively as ASSIGNOR, have, along with others, invented a new and unobvious invention entitled

**TREATMENTS AND KITS FOR CREATING TRANSPARENT
RENEWABLE SURFACE PROTECTIVE COATINGS**

for which each has made, along with others, application for Letters Patent of the United States, such invention being described in and identified by said application having been given a Serial No. 11/264,606, and a filing date of October 31, 2005; and

WHEREAS, GOLDSCHMIDT GmbH, a corporation of Germany having a place of business at Goldschmidtstrasse 100, D-45127 Essen, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said application and said invention, and in and to said Patent, including the equivalent rights thereunder in all foreign countries; and

WHEREAS, ASSIGNOR is desirous of and willing to assign all right, title and interest in and to said Patent and acknowledges that he is under a continuing duty to assign said Patent to ASSIGNEE.

NOW, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR:

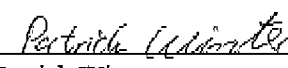
1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE, the entire right, title and interest in and to said Patent and said invention; including all priority rights under the International Convention associated with the filing of said application, for each country of the Union; and in and to any and all Letters Patent on said invention that may be granted by the United States or any foreign countries, including each and every Letters Patent granted on any application which is a division, substitution, or continuation of any application relating to said invention, and in and to each and every reissue or extension of said Letters Patent.

2. ASSIGNOR hereby warrants, covenants and represents the fact to be that he has not heretofore granted any license, right or privilege in respect to the said application or said invention or improvements, or in any other way encumbered the same, and that he has the full right to convey, free of all licenses and encumbrances, the entire interest hereby assigned.

IN TESTIMONY WHEREOF, I, FELIX MÜLLER, HAVE EXECUTED AND
DELIVERED THIS INSTRUMENT THIS 26 DAY OF January, 2006


Felix Müller

IN TESTIMONY WHEREOF, I, PATRICK WINTER, HAVE EXECUTED AND
DELIVERED THIS INSTRUMENT THIS 25 DAY OF January, 2006


Patrick Winter

II REASSIGNMENT

WHEREAS, GOLDSCHMIDT GmbH (ASSIGNEE), desires to assign its interest in and to U.S. Patent Application Serial No. 11/624,606 including the invention claimed thereby, as well as any foreign rights thereunder, to the CLOROX SERVICES COMPANY, a Delaware corporation, having a mailing address of 1221 Broadway, Oakland, California 94612, hereinafter referred to as REASSIGNEE; and

WHEREAS, REASSIGNEE desires to acquire the interest of ASSIGNEE in and to the Patent Application, including the invention claimed thereby, as well as any foreign rights thereunder.

WHEREAS, ASSIGNEE and REASSIGNEE have collaborated on said invention claimed in the Patent Application under the terms of a JOINT DEVELOPMENT AGREEMENT, wherein said use and obligations of each party to said Patent Application, as well as other new and novel inventions resulting from the collaboration, are described accordingly.

NOW, THEREFORE, in consideration of good and valuable consideration received by ASSIGNEE from REASSIGNEE, the receipt of which is hereby acknowledged:

1. ASSIGNEE does hereby sell, assign, transfer and confirm unto REASSIGNEE, its successors, assigns and heirs, all right, title and interest in and to the Patent Application, including

including the invention claimed thereby, as well as any foreign rights thereunder, and in and to any applications, divisions, continuations, renewals, and substitutes thereof, and reissues of the same.

2. ASSIGNEE further agrees to execute upon request of REASSIGNEE or its successors, assigns or heirs, such additional documents, if any, as are necessary and proper to secure patent protection on said invention, and to otherwise give full effect to, or perfect the rights of REASSIGNEE, or its successors, assigns or heirs, under this Reassignment.

IN TESTIMONY WHEREOF, I, DR. KLAUS ROTENBERG of
GOLDSCHMIDT GmbH HAVE DELIVERED THIS INSTRUMENT THIS FIRST DAY OF
FEBRUARY, 2006

GOLDSCHMIDT GmbH

By: 

Name: Dr. Klaus Rotenberg

Title: Authorized Officer

IN TESTIMONY WHEREOF, I, ERICH HÜLSEWEDE of
GOLDSCHMIDT GmbH HAVE DELIVERED THIS INSTRUMENT THIS FIRST DAY OF
FEBRUARY, 2006

GOLDSCHMIDT GmbH

By: 

Name: Erich Hülsewede

Title: Authorized Officer

JAPTNP FORMS\ASSIGNMENT-REASSIGNMENT

Practitioner's Docket No. 380.196B

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

CORRECTIVE ASSIGNMENT (DOCUMENT) COVER SHEET
(37 C.F.R. § 3.31) M.P.E.P., § 8th Edition, Rev. 3

Attached please find a corrective assignment (document) for recordal. The reel and frame number where the incorrectly recorded assignment document appears is as follows:

Reel: 017538

Frame: 0745

The original cover sheet is submitted herewith.

The original assignment document is also submitted herewith with the corrections made thereon which are each initialed and dated by the party conveying the interest.

IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)
FOR ASSIGNMENT (DOCUMENT) RECORDAL
(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

1. This assignment is for the following patent application and/or issued patent:
 - a. National application: SN: 11/264,606, filed on October 31, 2005.

which was previously assigned and recorded on February 2, 2006

Reel 017538, Frame 00745

TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS
AND TOTAL FEE

2. A. The total number of applications and/or patents identified in this cover sheet is: 1.
- B. The total fee is (37 C.F.R. § 1.21(h)):

1 x \$40.00 = \$40.00

- C. Payment of the fee is made by:

Authorization is hereby made to charge the amount of \$40.00 to Deposit Account 032270.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

**NAME OF PARTIES CONVEYING INTEREST
(37 C.F.R. § 3.31(a)(1))**

3. The parties conveying interest are:

Jodi Lynn Russell
Danielle Coutts

Ashot K. Serobian
Nicholas Pivonka

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST
(37 C.F.R. § 3.31 (a)(2))**

4. The rights are being conveyed to:

The Clorox Company
1221 Broadway
Oakland, CA 94612
US

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 C.F.R. § 3.31(a)(3))**

5. The accompanying document intends to accomplish an assignment.

**NAME AND ADDRESS OF PARTY TO WHOM
CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))**

6. Please address correspondence to:

Name: Michael Petrin
Address: The Clorox Company
P.O. Box 24305
Oakland, CA 94623-1305
US
Telephone No.: 510-271-7417

**DATE ORIGINAL ASSIGNMENT (DOCUMENT) EXECUTED
(37 C.F.R. § 3.31(a)(7))**

7. **January 23, 2006**

Since the error was in the cover sheet, the original assignment is being submitted again.

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

8. The attached document is in the English language.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

9. Submitted herewith are a true copy of the original documents, which I certify to be a true copy.

**STATEMENT (37 C.F.R. § 3.31(a)(9)) AND
SIGNATURE (37 C.F.R. § 3.31(a)(10))**

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

/Michael Petrin/
Michael Petrin
The Clorox Company
P.O. Box 24305
Oakland, CA 94623-1305
US

TOTAL NUMBER OF PAGES BEING SUBMITTED

11. The total number of pages being submitted, **including documents** are: 9.