

Form PTO-1595 (Rev. 07/05)
OMB No. 0851-0027 (exp. 6/30/2008)U.S. Department of COMMERCE
United States Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Kelly Renee Bales

2. Name and address of receiving party(ies)

Name: Eli Lilly and Company

Internal Address: _____

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

Street Address: Lilly Corporate Center

3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 16, 2002

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Joint Research Agreement
- ☐ Government Interest Assignment
- ☐ Executive Order 9424, Confirmatory License
- ☐ Other _____

City: Indianapolis

State: IN

Country: US

Zip: 46285

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No.(s)
10/486,908☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Herbert D. Hart III

Internal Address: _____

Street Address: 500 W. Madison Street

34th Floor

City: Chicago

State: IL

Zip: 60661

Phone Number: 312-775-8000

Fax Number: 312-775-8100

Email Address: mhmpcto@mcandrews-ip.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged by credit card
- ☒ Authorized to be charged to deposit account
- ☐ Enclosed
- ☐ None required (government interest not affecting title)

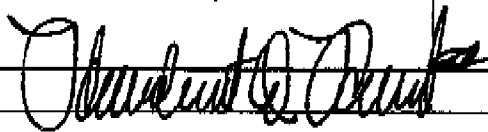
8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 13-0017

Authorized User Name McAndrews Held & Malloy, Ltd.

9. Signature:



Signature

February 15, 2008

Date

Herbert D. Hart III

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents

3

Documents to be recorded (including cover sheet) should be faxed to (571)273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 130017 10486908

Docket No. P-14969

ASSIGNMENT

WHEREAS I, Kelly Renee Bales, of the City of Claydale, County of Putnam, State of Indiana have made an invention which is the subject of a PROVISIONAL PATENT APPLICATION, titled, ASSAY METHOD FOR ALZHEIMER'S DISEASE, filed August 17, 2001, as application Serial No. 60/313,221 (hereinafter the "Application"); and

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire my entire right, title, and interest in the Application and in all inventions disclosed in the Application;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign, transfer and set over unto Eli Lilly and Company, its successors and assigns (collectively, "Lilly") my entire right, title, and interest in, the Application and in all inventions disclosed therein; all rights of priority thereto pursuant to the International Convention for the Protection of Industrial Property; any and all present or future patent applications to such inventions that may be filed in the United States or any foreign country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications under the Patent Cooperation Treaty ("PCT"), United States provisional patent applications, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates, copyrights, trademarks, and data package exclusivity rights; and any and all Letters Patent of the United States and of all foreign countries and all related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and Sale to Lilly not been made.

For myself and for my heirs, successors and legal representatives, I covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For myself and for my heirs, successors and legal representatives, I further covenant and agree with Lilly that upon request I and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to me or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and

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REEL: 020626 FRAME: 0593

P-14969

-2-

complete legal and equitable title to all such Letters Patent and related intellectual property.

IN WITNESS WHEREOF I have executed this assignment on the date indicated below:

4/16/02
Date

Kelly Renee Bales
/ Kelly Renee Bales

UNITED STATES OF AMERICA

STATE OF INDIANA)

SS:

(DATE)

COUNTY OF MARION)

Before me, a Notary Public for Marion County, State of Indiana, personally appeared Kelly Renee Bales and acknowledged the execution of the foregoing instrument this 16th day of April, 2002.

Theresa J. Schmidt
Notary Public

My Commission Expires

May 2, 2007

PATENT

RECORDED: 03/10/2008

REEL: 020626 FRAME: 0594