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RECC



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To the Director of the U.S. Patent and Trademark Office, please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Ian FOTHERINGHAM
Nicholas OSWALD

Execution Date(s) 2/28/2008

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Richmond Chemical Corporation

Internal Address: Suite 100

Street Address: 2210 Midwest Road

City: Oakbrook Terrace

State: IL

Country: USA Zip: 50523

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Avani Macaluso

Internal Address: ROCKEY, DEPKE & LYONS, LLC.

Street Address: 233 S. Wacker Dr.

Suite 5450

City: Chicago

State: IL Zip: 60606

Phone Number: 312-277-2006

Fax Number: 312-441-0570

Email Address: amacaluso@rdklaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-3891

Authorized User Name Avani C. Macaluso

9. Signature: Avani C. Macaluso
Signature

Date

Avani C. Macaluso
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
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PATENT
REEL: 020633 FRAME: 0751

ASSIGNMENT

Serial No.:

Filed: _____

Inventor(s): Ian Fotheringham and Nicholas Oswald

Title: METHOD TO INCREASE THE YIELD AND IMPROVE PURIFICATION
OF PRODUCTS FROM TRANSAMINASE REACTIONS

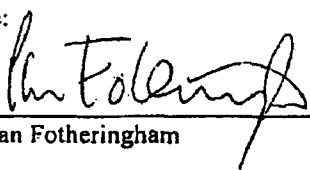
For and in consideration of One Dollar (\$1.00) to me in hand paid, and other valuable consideration, the receipt sufficiency of which is hereby acknowledged and confessed, we, Ian Fotheringham and Nicholas Oswald, of Edinburgh, Scotland, hereby sell, assign, transfer and set over unto Richmond Chemical Corporation, a corporation incorporated in the State of Illinois and, having an office and place of business at 2210 Midwest Road, Suite 100, Oakbrook Terrace, Illinois 50523, the entire right, title and interest in and to our invention in "METHOD TO INCREASE THE YIELD AND IMPROVE PURIFICATION OF PRODUCTS FROM TRANSAMINASE REACTIONS" as set forth in our application for United States Letters Patent therefor, and in and to the United States Letters Patent therefor, when issued, together with all improvements thereon and betterments thereof, all divisions, continuations, reexams and reissues thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said inventions in any and all foreign countries and the right to claim priority as to filing date under the International Convention on the basis of the aforesaid application for United States Letters Patent; and we hereby authorize and direct the Commissioner of Patents to issue the patent for said inventions when granted in accordance with this assignment and sale.

For the same consideration, we hereby agree that we will promptly communicate to the aforesaid assignee or its assigns, full and complete information concerning said improvements or betterments of the inventions disclosed in said application, and we will cooperate at any time upon request of said assignee or its assigns, at its or their expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new, divisional, continuing and reissue applications; we will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

Date: ^(FEB) 28-2-08

Date: 28/2/08

Signature:



Name: Ian Fotheringham

Signature:



Name: Nicholas Oswald