

Docket No.: LAU07-050A

FORM PTO-1595 (Modified)  
(Rev. 03-01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
POB/REV04

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**BRENDEL; Michael**

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):

Name: **LAU Industries**

Internal Address: \_\_\_\_\_

Street Address: **4509 Springfield Street**

City: **Dayton** State: **OH** ZIP: **45431**

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

Assignment  Merger

Security Agreement  Change of Name

Other \_\_\_\_\_

Execution Date: **February 4, 2008**

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **February 11, 2008**

A. Patent Application No.(s)	Filing Date	B. Patent No.(s)
<b>12/069,497</b>	<b>February 11, 2008</b>	

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Jeffrey Thurnau**

Internal Address: **Mail Stop IP Law Dept. 10-A3**

Street Address: **The Gates Corporation**  
**1551 Wewatta Street**

City: **Denver** State: **CO** ZIP: **80202**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

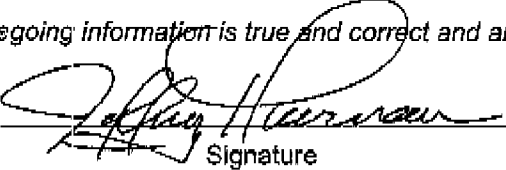
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8. Deposit account number:  
**50-1534**

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Jeffrey Thurnau, Reg. No. 42,183**  **3/12/08**

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: **3**

700363803

CH \$40.00 501534 12069497

**ASSIGNMENT**

In consideration of the sum of Twenty-Five (\$25.00) Dollars and other good and valuable consideration paid to the undersigned (hereinafter designated as "undersigned"),

Name of Inventor: Michael Brendel  
250 Pleasant Hill Dr.  
Centerville, OH 45459  
US

the undersigned agrees to assign, and hereby does assign, transfer, and set over unto:

Name of Assignee LAU INDUSTRIES  
Address of principal place of business 4509 Springfield Street  
Dayton, OH 45431  
State of Incorporation a corporation of Delaware

(hereinafter designated as the "ASSIGNEE") the entire right, title, and interest for the United States and all foreign countries (including protection in foreign countries via the Patent Cooperation Treaty), in the invention, and all applications for patent including provisionals, non-provisionals, counterparts, and PCT applications and any Letters Patent which may be granted therefor, the application (hereinafter designated as "application") being known by the Title:

"Forward Swept Centrifugal Fan Wheel"

Attorney Docket No. LAU07-050A  
which is  $\phi$  attached or  $\phi$  referenced in:

$\phi$  U.S. Non-Provisional Application Serial No.: 12/069,497 Filing Date: 11/02/2008  
(Day/Month/Year)

Executed On: \_\_\_\_\_  
(Day/Month/Year)

$\phi$  PCT Application Serial No.: \_\_\_\_\_ Filing Date: \_\_\_\_\_  
(Day/Month/Year)

$\phi$  and which claims priority from one or more United States provisional patent applications, the earliest of which is:

Provisional Application No.: \_\_\_\_\_ Filing Date: \_\_\_\_\_  
(Day/Month/Year)

( $\phi$  See Annex for additional Provisional Application Nos. and Filing Dates)

1. The undersigned agrees to execute all papers necessary in connection with the application and any counterpart application(s) in the United States and foreign countries, including but not limited to continuing prosecution applications (CPAs), continuations, continuations-in-part, divisions, reexaminations and reissues or extensions thereof, and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

2. The undersigned agrees to execute all papers necessary in connection with any interference which may be declared or litigation concerning this application and any counterpart application(s), or in connection with any opposition or nullity proceeding, and to cooperate with the ASSIGNEE in every way possible in obtaining evidence and in conducting such interference, litigation, opposition or nullity proceeding.

**PATENT**

**REEL: 020639 FRAME: 0477**

3. The undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4. The undersigned agrees to do all other acts which, in the opinion of ASSIGNEE, may be necessary or desirable to secure the grant of Letters Patent to ASSIGNEE or its nominees, in the United States and in all other countries where ASSIGNEE may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in ASSIGNEE or its nominees the full and complete legal and equitable title to all such Letters Patent.

5. The undersigned hereby authorizes and requests the Commissioner of Patents in the United States and the appropriate national or regional authority in each foreign country to issue any and all Letters Patents resulting from said application or any counterpart application(s) thereof and any reexaminations, reissues and extensions to the said ASSIGNEE, as ASSIGNEE of the entire interest, and hereby covenants that the undersigned has full right to convey the entire interest herein assigned, and that the undersigned has not executed and will not execute, any agreement in conflict herewith.

6. The undersigned hereby grants ASSIGNEE the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, I have executed this assignment at Dayton, OH this 4th day of February, 2008

[Signature]  
Michael Brendel (Signature)

Michael Brendel  
Michael Brendel (Printed Name)

Witness \_\_\_\_\_ Date \_\_\_\_\_      Witness \_\_\_\_\_ Date \_\_\_\_\_

ACKNOWLEDGMENT

STATE OF OHIO      )  
                                      )  
COUNTY OF MONTGOMERY      )

SS:

Acknowledged before me, a Notary Public, within and for said County and State, Witness my hand and Notarial Seal this 4<sup>th</sup> day of Feb., 2008.

Notary Public  
In and for the State of Ohio  
My Commission Expires Feb. 12, 2011

[Signature]  
Notary Public  
LYNDA KINOSOR  
Printed Name

Outside the USA:  
Two witnesses are required when acknowledgment before a Notary Public is not feasible.

\_\_\_\_\_  
Witness                                  Date

\_\_\_\_\_  
Witness                                  Date



**The Gates Corporation**  
1551 Wewatta Street  
Denver, CO 80202

## FAX COVER SHEET

**Date:** March 12, 2008

**TO:** RightFax                      **FAX:** 571-273-0140

**From:** Jeffrey Thurnau              **Phone:** (303) 744-4743  
Patent Counsel                      **Fax:** (303) 744-4653

Number of pages including cover sheet: 5

**APPLICATION NO.:** 12/069,497  
**FILING DATE:** FEBRUARY 11, 2008  
**DOCKET NO.:** LAU07-050A  
**TITLE:** FORWARD SWEEP CENTRIFUGAL FAN WHEEL  
**ATTORNEY:** JEFFREY THURNAU

**ATTACHMENTS INCLUDE: RECORDATION FORM COVER SHEET  
- 2 PAGES**

**COPY OF ASSIGNMENT - 2 PAGES**

**Please contact the undersigned if you need further information.  
Thank you for your assistance.**

**Jeffrey Thurnau Reg. No. 42,183  
(303) 744-4743**

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**PATENT  
REEL: 020639 FRAME: 0479**

Docket No.: LAU07-050A

FORM PTO-1596 (Modified)  
(Rev. 03-01)  
OMB No. 0951-0027 (exp. 5/31/2002)  
P08/REV04

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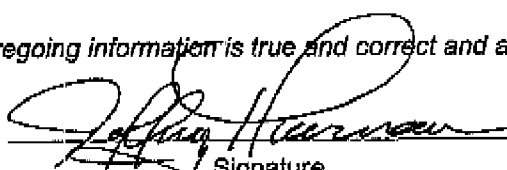
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**Jeffrey Thurnau, Reg. No. 42,183**

Name of Person Signing



Signature

**3/12/08**

Date

**3**

Total number of pages including cover sheet, attachments, and document:

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RECORDED: 03/12/2008

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