

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Jeff N. Maggioncalda</td><td>02/26/2008</td></tr><tr><td>Christopher L. Jones</td><td>02/25/2008</td></tr><tr><td>William F. Sharpe</td><td>02/23/2008</td></tr><tr><td>Ken Fine</td><td>02/25/2008</td></tr><tr><td>Ellen Tauber</td><td>02/21/2008</td></tr></tbody></table>	Name	Execution Date	Jeff N. Maggioncalda	02/26/2008	Christopher L. Jones	02/25/2008	William F. Sharpe	02/23/2008	Ken Fine	02/25/2008	Ellen Tauber	02/21/2008	
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RECEIVING PARTY DATA													
Name:	Financial Engines, Inc.												
Street Address:	1804 Embarcadero Road												
City:	Palo Alto												
State/Country:	CALIFORNIA												
Postal Code:	94303												
PROPERTY NUMBERS Total: 1													
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>11933555</td></tr></tbody></table>	Property Type	Number	Application Number:	11933555									
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CORRESPONDENCE DATA													
Fax Number: (303)856-7264 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>													
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Email: mdesanctis@hdciplaw.com													
Correspondent Name: Hamilton, DeSanctis & Cha LLP													
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Address Line 2: Suite 305													
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ATTORNEY DOCKET NUMBER:	FINA-000113												
NAME OF SUBMITTER:	Michael A. DeSanctis												

OP \$40.00 11933555

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PATENT
REEL: 020665 FRAME: 0086

Total Attachments: 6

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PATENT

REEL: 020665 FRAME: 0087

ASSIGNMENT

WHEREAS, we, **JEFF N. MAGGIONCALDA**, of 4050 El Cerrito Road, Palo Alto, CA 94306, **CHRISTOPHER L. JONES**, of 2004 Belle Monti Ave., Belmont, CA 94002, **WILLIAM F. SHARPE**, of 225 Crossroads Blvd. #403, Carmel, CA 93923, **KEN FINE**, of 2100 White Oak Way, San Carlos, CA 94070-4645 and **ELLEN TAUBER**, of 4723 Calle De Lucia, San Jose, CA 95124, have invented certain new and useful improvements for which an application entitled **"USER INTERFACE FOR A FINANCIAL ADVISORY SYSTEM"** is about to be made for Letters Patent of the United States, the application having been executed on even date herewith, and which may be identified in the United States Patent and Trademark Office by Application No. 11/933,555, filed November 1, 2007, and

WHEREAS, Financial Engines, Inc., a Corporation of the State of Delaware, and having its principal offices at 1804 Embarcadero Road, Palo Alto, California, 94303, USA (hereafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to the application, any inventions or discoveries described therein and in, to and under any and all Letters Patents to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold, assigned and transferred, and by these presents do hereby sell, assign and transfer unto the Assignee, its successors and assigns:

1. the entire right, title and interest in and to the application, any inventions or discoveries described therein and the Letters Patent(s), both foreign and domestic, that may or shall issue, including all rights under the International Convention, and
2. all proceeds of the application, any inventions or discoveries described therein and the Letters Patent(s) (including but not limited to, damages for pre-patent grant infringement, all license royalties and proceeds of infringement suits); and in and to any and all causes of action (excepting claims upon the United States of America) for past, present, and future infringement of any of the Letters Patent(s), or relating to any inventions or discoveries described therein, including the right to collect royalties and seek injunctive relief, for all such infringements and the right to sue on all such causes of action for their own use and benefit and the use and benefit of their successors, assigns and legal representatives,

We do hereby authorize and request the Commissioner of Patents to issue the Letters Patent(s) to the Assignee in accordance herewith.

We further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name, if desired, in any and all foreign countries, and additionally to claim the filing date of the United States application and/or otherwise take advantage of the provisions of the International Convention.

We do hereby covenant and agree with the Assignee, its successors and assigns, that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we or our executors or administrators will, at any time upon request, without further or additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, continuation, divisional, reissued, reexamined, substitute or extended Letters Patent(s) of the United States, or of any and all foreign countries on the invention, and in enforcing any rights, occurring as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents.

In Witness Whereof, we have hereunto set my hand and affixed my seal on the date written below.



JEFF N. MAGGIONCALDA

2/26/08
Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public or Witness


CHRISTOPHER L. JONES

2/25/08
Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public

My commission expires: _____

WILLIAM F. SHARPE

Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public

My commission expires: _____

CHRISTOPHER L. JONES

Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

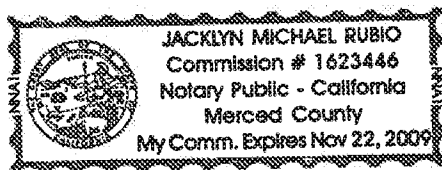
Notary Public

My commission expires: _____


WILLIAM F. SHARPE

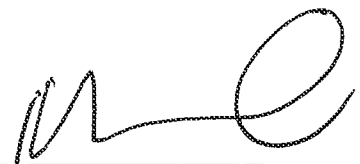
Feb 23, 2008
Date

On this 23 day of February, before me personally appeared William F. Sharpe, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/~~her~~ free act and deed.




Notary Public

My commission expires: November 22, 2009



KEN FINE

2/25/08

Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public

My commission expires: _____

ELLEN TAUBER Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public

My commission expires: _____

KEN FINEDate

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public

My commission expires: _____

Ellen R. Tauber
ELLEN TAUBER

2/21/08
Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public

My commission expires: _____