

# PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Opticomm Corporation	03/10/2008
RECEIVING PARTY DATA	
Name:	Emcore Corporation
Street Address:	10420 Research Road, SE
City:	Albuquerque
State/Country:	NEW MEXICO
Postal Code:	87123
PROPERTY NUMBERS Total: 1	
Property Type	Number
Patent Number:	6996344
CORRESPONDENCE DATA	
Fax Number:	(312)655-1501
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	312 655-1500
Email:	jpcdocket@welshkatz.com
Correspondent Name:	Welsh & Katz, Ltd.
Address Line 1:	120 S. Riverside Plaza
Address Line 2:	22nd Floor
Address Line 4:	Chicago, ILLINOIS 60606
ATTORNEY DOCKET NUMBER:	9456-105641
NAME OF SUBMITTER:	Jon P. Christensen (Reg. No. 34,137)
Total Attachments: 2 source=105641assign#page1.tif source=105641assign#page2.tif	

CH \$40.00 6996344

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PATENT  
REEL: 020690 FRAME: 0227

## PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT is made as of March 10, 2008 by OPTICOMM CORPORATION, a Delaware corporation having a principal place of business at 6827 Nancy Ridge Drive, San Diego, CA 92121, hereinafter referred to as the ASSIGNOR, and EMCORE CORPORATION, a New Jersey corporation, having a principal place of business at 10420 Research Road, SE, Albuquerque, New Mexico 87123, hereinafter referred to as the ASSIGNEE.

ASSIGNOR is the owner of U.S. Patent 6,996,344, and corresponding foreign patent rights, together with all rights, privileges, obligations and priorities of Seller in such assigned patents and applications, including but not limited to (i) the right to sue at law or in equity in respect of past, present and future infringement of any of such assigned patents; and (ii) the right to receive all proceeds or damages from any such infringement (such patents and applications being collectively hereinafter referred to as the "ASSIGNED PATENT").

ASSIGNEE desires to acquire the entire right, title and interest in and to said ASSIGNED PATENT.

For good and valuable consideration paid by the ASSIGNEE, the receipt of which is hereby acknowledged, ASSIGNOR does hereby sell, assign and transfer to the ASSIGNEE, at ASSIGNEE'S sole expense, the entire right, title and interest in and to the ASSIGNED PATENTS. The ASSIGNED PATENTS shall be held and enjoyed by the ASSIGNEE, its successors and assigns as fully and entirely as the same would have been held and enjoyed by the ASSIGNOR had this assignment not been made.

ASSIGNOR covenants and agrees to execute such further and confirmatory assignments in recordable form as the ASSIGNEE may require to vest record title of said respective ASSIGNED PATENTS in ASSIGNEE.

IN WITNESS WHEREOF, the ASSIGNOR has caused this Assignment to be executed by a duly authorized officer.

OPTICOMM CORPORATION

By:  \_\_\_\_\_

Name: Hong Hou

Title: President and COO

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Opticomm Corporation

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) \_\_\_\_\_

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Emcore Corporation

Address: 10420 Research Rd., SE

Albuquerque, NM 87123

Name: Same as above

Address: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)  
6,996,344

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ NO

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Jon P. Christensen

Address: Welsh & Katz, Ltd.  
120 S. Riverside Plaza  
22<sup>nd</sup> Floor

City: Chicago

State: IL Zip: 60606-3912

Phone Number: 312 655-1500

Fax Number: 312 655-1501

Email Address: jpcristensen@welshkatz.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

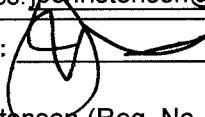
a. Credit Card Last 4 Numbers \_\_\_\_\_

Expiration Date \_\_\_\_\_

b. Deposit Account Number 23-0920

Authorized User Name Jon P. Christensen

**9. Signature:**



Signature

March 24, 2008

Date

Jon P. Christensen (Reg. No. 34,137) 9456-105641

Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450