

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

1. Jun Zhang
2. Douglas J. Herdman
3. Rihard J. Zioboro

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 1. 3/3/08; 2. 3/3/08; 3. 2/28/03

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Osmose, Inc.

Internal Address: _____

Street Address: 980 Ellicott Street

City: Buffalo

State: New York

Country: U.S.A.

Zip: 14209-2398

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

12/068,743

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Einar Stole

Internal Address: _____

Milbank, Tweed, Hadley & McCloy

Street Address: 1850 K Street, N.W.

Suite 1100

City: Washington

State: D.C.

Zip: 20006

Phone Number: (202) 835-7553

Fax Number: (202) 835-7586

Email Address: estole@milbank.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 1000

Expiration Date 08/10

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:


Signature

March 20, 2008

Date

Einar Stole

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT

700364780

REEL: 020692 FRAME: 0649

OP \$40.00 12068743

Docket No. 38184.02401 US

Worldwide Rights — Sole or Joint

Assignment

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

OSMOSE, INC.
980 Ellicott Street
Buffalo, NY 14209-2398

(hereinafter ASSIGNEE) all right, title and interest in and to his invention relating to

**Wood Preserving Composition for Treatment of In-Service Poles,
Posts, Piling, Cross-Ties and Other Wooded Structures**

as set forth in his United States Patent Application

☐ executed concurrently herewith
☐ executed on _____
☒ Serial No. 12/068,743 filed February 11, 2008

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof and all applications for Letters Patent which may hereafter be filed for said invention in any country or countries foreign to the United States, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States and all divisions, continuations, additions, extensions, renewals and reissues thereof and all rights of priority in any such country or countries based upon the filing of the said application for Letters Patent of the United States which are created by any law, treaty or international convention; to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said ASSIGNEE, its successors, legal representatives or assigns he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said applications including divisions, continuations, reissues, extensions, additions, and renewals thereof and to any and all Letters Patent which may be granted therefor or thereon, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent for said invention;


Each of the undersigned hereby grants to Robert J. Koch, Registration No. 26,637, Einar Stole, Registration No. 47,272, Enrique Longton, Registration No. 47,304, Soheui Choe, L0309, Stephanie Amoroso, Registration No. 51,401, and Allison Fulton, Registration No. 57,106 the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

Name and Signature of Inventor:


Name: Jun Zhang

Date 3-3-08

Name and Signature of Witness:


Name: Amanda Masters

Date 3/3/08

PATENT

REEL: 020692 FRAME: 0650

Name and Signature of Inventor:

D. J. Herdman
Name: **Douglas J. Herdman**Date 3/3/08

Name and Signature of Witness:

Amanda Masters
Name: Amanda mastersDate 3/3/08

Name and Signature of Inventor:

Richard J. Ziobro
Name: **Richard J. Ziobro**Date 2-28-08

Name and Signature of Witness:

Gonna Lynn Bell Smith
Name:Date 2/28/08

Notary Public, Pike County, Georgia

My Commission Expires April 11, 2010

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

PATENT

RECORDED: 03/20/2008

REEL: 020692 FRAME: 0651