

Docket No.: 8429

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08/REV04

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert E. Carcelli
Matthew J. Eschenauer

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: March 20, 2008

2. Name and address of receiving party(ies):

Name: The Sherwin-Williams CompanyInternal Address: 11 Midland Bldg. - Legal Dept.Street Address: 101 Prospect Avenue, N.W.City: Cleveland State: OH ZIP: 44115Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

Filing Date

B. Patent No.(s)

11/962,452

March 20, 2008

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Vivien Y. Tsang, Esq.Internal Address: The Sherwin-Williams Company11 Midland Bldg. - Legal Dept.Street Address: 101 Prospect Avenue, N.W.City: Cleveland State: OH ZIP: 441156. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

19-2025

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Vivien Y. TsangMarch 20, 2008

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

700364819

REEL: 020695 FRAME: 0516

CH \$40.00 192025 11962452

ASSIGNMENT

We, Robert E. Carcelli of Mayfield Heights, County of Cuyahoga, State of Ohio, and Matthew J. Eschenauer of Vermilion, County of Erie, State of Ohio, having invented certain new and useful improvements in: FLEXIBLE CONCRETE WATERPROOFER and, in consideration of the sum of one Dollar(s) (\$1.00) and other good and valuable consideration to each of us paid by THE SHERWIN-WILLIAMS COMPANY, a corporation of Ohio, having its principal office and place of business at Cleveland, Ohio, hereby assign to THE SHERWIN-WILLIAMS COMPANY, its successors and assigns, the entire right, title and interest in and to said improvements in the United States, its territories, and all foreign countries, and in, to and under the application for Letters Patent of the United States disclosing and claiming said improvements, bearing Sherwin-Williams Patent Case No(s). 8429, and claiming priority from Provisional Patent Application No. 60/871,650, filed on December 22, 2006 and in, to and under any Reissue, Divisional, Continuing, Substitute, or other application based in whole or in part thereon, and any corresponding application filed in any foreign country, and in, to and under any Letters Patent of the United States or any foreign country which may be issued on any of said applications.

Further, we do hereby covenant and agree for ourselves and our legal representatives to assist our aforesaid assignee, its successors and assigns in the prosecution of the said application or applications, and in any interference or litigation which may arise involving our said improvements, application or applications, or the said patent or patents, and to execute (1) any application for Reissue of the aforesaid patent or patents, and (2) any Divisional, Continuing, Substitute or foreign application based in whole or in part on the above specifically identified application or applications and (3) any assignment of said applications or patents granted thereon, all as may be requested by our said assignee.


And we further grant to THE SHERWIN-WILLIAMS COMPANY its successors and assigns, the right to claim for any of the aforesaid patent applications any privileges available under Conventions or other agreements relating to Industrial Property or under the municipal law in the countries in which said applications are filed.

And we hereby authorize and request the United States Commissioner of Patents, and appropriately empowered officials of foreign countries, to issue Letters Patent or Patents upon the aforesaid application or applications to THE SHERWIN-WILLIAMS COMPANY, aforesaid, its successors and assigns, as assignee of our entire right, title and interest in and to the same.

IN WITNESS WHEREOF, we have hereunto set our hands and seals this 20th day of March, 2008, and this 20th day of March, 2008, respectively.



Robert E. Carcelli



Matthew J. Eschenauer

State of Ohio)
)ss:
County of Cuyahoga)

Before me, this 20th day of March, 2008, came Robert E. Carcelli to me known to be the first person named aforesaid and acknowledged the execution thereof to be his own free act and deed.

(SEAL)

Laurie M. Feltes
Notary Public – Laurie M. Feltes
My commission expires May 23, 2011.

State of Ohio)
)ss:
County of Cuyahoga)

Before me, this 20th day of March, 2008, came Matthew J. Eschenauer to me known to be the second person named aforesaid and acknowledged the execution thereof to be his own free act and deed.

(SEAL)

Laurie M. Feltes
Notary Public – Laurie M. Feltes
My commission expires May 23, 2011.

The application(s) for Letters Patent identified in the foregoing assignment bearing Sherwin-Williams Patent Case No(s). 8429, has, by the United States Patent Office been given the Serial No(s). 11/962,452 and filing date(s) December 21, 2007, respectively.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Robert E. Carcelli, et al.)
 Conveying Party)
)
 and)
)
The Sherwin-Williams Company)
 Receiving Party)

Mail Stop Assignment Recordation Services
Director of the United States Patent
and Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450


CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being facsimile
Transmitted to the Director of the United States Patent and
Trademark Office, (fax no. (571) 273-0140) on
March 20, 2008:

1. Request for Recordation of Assignment for U.S. Serial No.
11/962,452;
2. Recordation Form Cover Sheet (Form PTO-1595), in duplicate;
and
3. ASSIGNMENT – 2 pages.

Number of pages including this cover page: 6

Laurie M. Feltes
(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Robert E. Carcelli, et al.)
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)
and)
)
The Sherwin-Williams Company)
Receiving Party)

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Director of the United States Patent
and Trademark Office
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Alexandria, VA 22313-1450


REQUEST FOR RECORDATION

Dear Sir:

Enclosed please find a Recordation Form Cover Sheet, in duplicate, and a document entitled "ASSIGNMENT", dated March 20, 2008. The document assigns U.S. Serial No. 11/962,452 from Robert E. Carcelli and Matthew J. Eschenauer to The Sherwin-Williams Company. The Assignee, The Sherwin-Williams Company, respectfully requests recordation of this Assignment. The Commissioner is hereby authorized to charge the amount of Forty Dollars (\$40.00) to Deposit Account No. 19-2025. A duplicate copy of the attached Recordation Form Cover Sheet is enclosed. If there are any other fees due for this submission, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 19-2025.

Respectfully submitted,

THE SHERWIN-WILLIAMS COMPANY


Vivien Y. Tsang
Reg. No. 40,209

March 20, 2008
The Sherwin-Williams Company
11 Midland Bldg. – Legal Department
101 Prospect Avenue, NW
Cleveland, Ohio 44115
(216) 566-2487

PATENT
REEL: 020695 FRAME: 0520

Docket No.: 8429

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6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

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Vivien Y. Tsang

Name of Person Signing

Signature

March 20, 2008

Date

Total number of pages including cover sheet, attachments, and document:

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