

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

| SUBMISSION TYPE: | NEW ASSIGNMENT | | | | | | | | |
|--|---|---------------|----------------|---------------------|------------|------------|------------|---------------|------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT | | | | | | | | |
| CONVEYING PARTY DATA | | | | | | | | | |
| <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:70%;">Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Robert S. HODGES</td> <td>08/09/2007</td> </tr> <tr> <td>Yuxin CHEN</td> <td>07/10/2007</td> </tr> <tr> <td>Michael VASIL</td> <td>03/19/2008</td> </tr> </tbody> </table> | | Name | Execution Date | Robert S. HODGES | 08/09/2007 | Yuxin CHEN | 07/10/2007 | Michael VASIL | 03/19/2008 |
| Name | Execution Date | | | | | | | | |
| Robert S. HODGES | 08/09/2007 | | | | | | | | |
| Yuxin CHEN | 07/10/2007 | | | | | | | | |
| Michael VASIL | 03/19/2008 | | | | | | | | |
| RECEIVING PARTY DATA | | | | | | | | | |
| Name: | The Regents of the University of Colorado | | | | | | | | |
| Street Address: | 201 Regent Administrative Center - 3 SYS | | | | | | | | |
| City: | Boulder | | | | | | | | |
| State/Country: | COLORADO | | | | | | | | |
| Postal Code: | 80309 | | | | | | | | |
| PROPERTY NUMBERS Total: 1 | | | | | | | | | |
| <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:30%;">Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>11721915</td> </tr> </tbody> </table> | | Property Type | Number | Application Number: | 11721915 | | | | |
| Property Type | Number | | | | | | | | |
| Application Number: | 11721915 | | | | | | | | |
| CORRESPONDENCE DATA | | | | | | | | | |
| Fax Number: | (303)499-8089 | | | | | | | | |
| <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> | | | | | | | | | |
| Phone: | 3034998080 | | | | | | | | |
| Email: | cnelson@greenwin.com | | | | | | | | |
| Correspondent Name: | Steven J. Penner | | | | | | | | |
| Address Line 1: | 4875 Pearl East Circle, Suite 200 | | | | | | | | |
| Address Line 4: | Boulder, COLORADO 80301 | | | | | | | | |
| ATTORNEY DOCKET NUMBER: | 89-04-CU | | | | | | | | |
| NAME OF SUBMITTER: | Steven J. Penner | | | | | | | | |

CH \$40.00 11721915

Total Attachments: 5
 source=89-04_US_exec_assgn-CU#page1.tif
 source=89-04_US_exec_assgn-CU#page2.tif

source=89-04_US_exec_assgn-CU#page3.tif

source=89-04_US_exec_assgn-CU#page4.tif

source=89-04_US_exec_assgn-CU#page5.tif

**ASSIGNMENT
TO THE REGENTS OF THE UNIVERSITY OF COLORADO**

WHEREAS, WE:

1. **Robert S. Hodges**, residing at 6881 E. 12th Avenue, Denver, Colorado, 80220;
2. **Yuxin Chen**, residing at 833 Dexter Street, #305, Denver, Colorado, 80220;
3. **Michael Vasil**, residing at 8014 South Race Way, Centennial, Colorado, 80122;

(herein called "ASSIGNORS"), are the inventors of the invention entitled:

"Antimicrobial Peptides and Methods of Use";

for which the following patent application:

| Application Type | Application Serial No. | Filing Date |
|--|------------------------|-------------------|
| United States Provisional Patent Application | 60/636,220 | December 15, 2004 |
| International Application (WO) | PCT/US05/45393 | December 15, 2005 |
| United States Patent Application | 11/721,915 | June 15, 2007 |

were submitted or filed (collectively, the "LISTED APPLICATIONS");

AND WHEREAS, **The Regents of the University of Colorado** (herein called "ASSIGNEE"), a body corporate, an entity organized and existing under the laws of the State of Colorado, having a principal place of business at:

201 Regent Administrative Center – 3 SYS
Boulder, Colorado, 80309, United States of America,

is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application(s);

NOW, THEREFORE, for good and valuable consideration the receipt of which is hereby acknowledged, I/we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and said applications herein, including said LISTED APPLICATIONS and all continuations and subsequent applications, including divisional applications thereof, and national phase applications as applicable; and all Patents of the United States which may be granted thereon and all reissues and extensions; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States; together with the right to file such applications and the right to claim for the same the priority of patent

application(s) herein, including said LISTED APPLICATIONS and applications thereof and therefrom, applications for industrial protection under the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and applications thereof and therefrom; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND I/WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND I/WE HEREBY covenant and agree that I/we have full right to convey the entire interest herein assigned, and that I/we have not executed, and will not execute, any agreement in conflict herewith;

AND I/WE HEREBY authorize the above-mentioned ASSIGNEE or its legal representative to insert in this instrument the filing date and serial number of said application(s) or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document;

AND I/WE HEREBY further covenant and agree that I/we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me/us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all continuing and subsequent applications, including divisional, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain, maintain, and enforce proper protection for said invention in any and all countries;

IN TESTIMONY WHEREOF, I/we hereunto set our hands and seals (if applicable) the day and year set opposite our signatures.

Date **ROBERT S. HODGES**

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2007, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that he executed the same of his own free will for the purposes and consideration herein expressed.

Date: _____
Notary Public

My Commission expires: _____

Aug 10, 2007
Date Yuxin Chen
YUXIN CHEN

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this 10th day of August, 2007, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that s/he executed the same of his/her own free will for the purposes and consideration herein expressed.

Date: Aug 10, 2007
Yi Bing Huang
~~Notary Public~~ witness

My Commission expires: _____
Dr. Yi-Bing Huang
Rm 5A, 2519 Jie Fang St.
Changchun, Jilin, P.R. China, 130021

Additional Assignors on additional sheets: Yes

