

Docket No.: 19336

FORM PTO-1525 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
PDBA/REV04

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Arnold E. Postlethwaite
Andrew H. Kang

2. Name and address of receiving party(ies):

Name: The University of Tennessee Research FoundationAddress: 920 Madison Avenue

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

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☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☒ Other to correct typographical error assignor's name* previously recorded reel 018974 frame 0972Execution Date: 1/23/07 and 2/13/07City: Memphis State/Prov.: TennesseeCountry: USA ZIP: 38163

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B. Patent No.(s)

11/600,525November 16, 2006*(Reel: 018974)(Frame: 0972)

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5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter I. BernsteinRegistration No. 43,497Address: Scully, Scott, Murphy & Presser, P.C.400 Garden City Plaza-STE 300City: Garden City State/Prov.: NYCountry: USA ZIP: 11530

6. Total number of applications and patents involved:

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Edward W. Grolz, Reg. No. 33,705April 2, 2008

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FORM PTO-1596 (Mod/Rev)
(Rev. 03-01)
OMS No. 0861-0027 (exp. 5/31/2002)
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To the Director of the United States P&T

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3 attached original documents or copy thereof.

1. Name of conveying party(ies):

Arnold E. Postlethwaite

Andrew H. King

2-23-07

2. Name and address of receiving party(ies):

Name: The University of Tennessee Research FoundationAddress: 920 Madison AvenueSuite 515

Additional names(s) of conveying party(ies)

☐ Yes ☒ NoCity: Memphis State/Prov.: TennesseeCountry: USA ZIP: 38163

Additional name(s) & address(es)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: January 23, 2007 and February 13, 2007

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If this document is being filed together with a new application, the execution date of the application is:

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B. Patent No.(s)

11/600,525

November 16, 2006

Additional numbers

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6. Total number of applications and patents involved:

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REEL: 020743 FRAME: 0344

Docket: 19336

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, **Arnold E. Postlethwaite and Andrew H. Kang** we, the undersigned,

Hereby sell, assign and transfer to **The University of Tennessee Research Foundation** a tax-exempt, not-for-profit corporation of Tennessee, having a place of business at **920 Madison Avenue, Suite 515, Memphis, Tennessee, 38163** its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all improvements which are disclosed and claimed, and as possessed by the undersigned, any and all improvements which are disclosed but not claimed, in the application for United States Patent, Serial No. **11/600,525**, filed **November 16, 2006**, which has been executed by the undersigned on 1/23/07 and 2/13/07 and is entitled

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and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said improvements;

Authorize and request the Commissioner of Patents to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said improvements, or any of them, disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent for said improvements, or any of them; and may claim, in applications for said foreign Letters Patent, the priority of the aforesaid United States application under the provisions of the International Convention; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue and all other patent applications on any and

all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee its successors, assigns and representatives, all facts known to the undersigned relating to said improvements and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

EXECUTED THIS 23rd day of January 2007


Arnold E. Postlethwaite

EXECUTED THIS 13th day of February 2007


Andrew H. Kang