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U.S. DEPARTMENT OF COMMERCE
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103492970

To the Director of the U.S. Patent and Trademark Office, or the new address(es) below.

1. Name of conveying party(ies):

Osamu Maeda (2-29-08); Masaki Shiozaki (2-29-08); Norihiko Yamaguchi (3-3-08); Yoshinori Yamauchi (2-29-08); Takahiro Arakida (2-29-08)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventors' name

- ☒ Assignment ☐ Merger ☐ Change of Name
☐ Security Agreement ☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Sony Corporation

Internal Address:

Street Address:

1-7-1 Konan
Minato-Ku, Tokyo
108-0075
JAPAN

City:

State:

Country: Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

This application

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Christopher M. Tobin
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6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number 18-0013

Authorized User Name Christopher M. Tobin

9. Signature:

Signature

March 24, 2008

Date

Ronald P. Kananen - 24,104
Christopher M. Tobin - 40,290

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

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PATENT

REEL: 020748 FRAME: 0453

Docket Number: _____

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in
VERTICAL CAVITY SURFACE EMITTING LASER AND METHOD OF MANUFACTURING IT

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 1-7-1 Konan, Minato-Ku, Tokyo, Japan (hereinafter referenced as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all prior rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: _____, Filing Date: _____.

This assignment executed on the dates indicated below.

Osamu MAEDA

Name of first or sole inventor

Kanagawa, Japan

Residence of first or sole inventor

Osamu Maeda

Signature of first or sole inventor

February 29, 2008

Execution date of U.S. Patent Application

February 29, 2008

Date of this assignment

Masaki SHIOZAKI

February 29, 2008

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Execution date of U.S. Patent Applica

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Execution date of U.S. Patent Applica

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Date of this assignm

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Execution date of U.S. Patent Applica

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February 29, 2008

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February 29, 2008

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Execution date of U.S. Patent Applica

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February 29, 2008

Signature of fifth inventor

Date of this assignm

PATENT

RECORDED: 03/24/2008

REEL: 020748 FRAME: 0455