

Rev 9/05 <span style="float: right;">U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office</span>	
RECORDATION FORM COVER SHEET PATENTS ONLY	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.	
1. Name of conveying party(ies)  THE UNIVERSITY OF NEWCASTLE  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies)  Name: THE UNIVERSITY OF NEWCASTLE RESEARCH ASSOCIATES LIMITED  Street Address: Industry Development Centre, University Drive  City: CALLAGHAN, New South Wales 2308  Country: AUSTRALIA  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other  Execution Date: <u>February 23, 2005</u>	4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No.(s) <u>10/451,291</u> (filed April 16, 2004) B. Patent No.(s)  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5. Name and address of party to whom correspondence concerning document should be mailed or faxed:  Weingarten, Schurgin, Gagnebin & Lebovici LLP  Ten Post Office Square Boston, Massachusetts 02109 FAX: (617) 451-0313	6. Total number of applications and patents Involved <u>[1]</u>  7. Total fee (37 CFR 3.41)....\$ <u>40.00</u>  <input type="checkbox"/> Enclosed  <input checked="" type="checkbox"/> Authorized to be charged to Deposit Account No. 23-0804
DO NOT USE THIS SPACE	
8. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Attorney Name: Holliday C. Heine, Ph.D. Registration No. 34,346  Signature: <u>Holliday C. Heine</u> Date: <u>April 8, 2008</u>  Total number of pages including cover sheet, attachments, and document: <u>[7]</u>	
Attorney Docket No. ADAM-001XX	

CLG/mc/365223.1 #2

Sent VIA FACSIMILE to:  
Assignment Division, Recordation Services  
Fax No. (571) 273 0140

700367005

**PATENT**  
**REEL: 020774 FRAME: 0972**

CH \$40.00 230804 10451291

**BLAKE DAWSON WALDRON**  
P A T E N T S E R V I C E S

---

# Deed of Confirmation

**The University of Newcastle**

**The University of Newcastle Research Associates Limited**

Blake Dawson Waldron Patent Services  
Level 36, Grosvenor Place  
225 George Street  
Sydney NSW 2000  
Telephone: +61 2 9258 6000  
Fax: +61 2 9258 6999

**Ref: DGC DAA 02 1346 8252**

© Blake Dawson Waldron Patent Services 2004

**PATENT**

**REEL: 020774 FRAME: 0973**

---

## CONTENTS

<b>1.</b>	<b>INTERPRETATION</b>	<b>1</b>
1.1	Definitions	1
1.2	Rules for interpreting this document	2
<b>2.</b>	<b>CONFIRMATION</b>	<b>2</b>
2.1	Confirmation by the Assignor	2
2.2	Confirmation by the Assignee	2
<b>3.</b>	<b>GENERAL</b>	<b>2</b>
3.1	Amendment	2
3.2	Governing law	2
3.3	Giving effect to this document	3
3.4	Operation of this document	3
3.5	Counterparts	3

**DEED OF CONFIRMATION****DATE**

On or after 23 February 2005

HCH  
2/4/2008**PARTIES**

**The University of Newcastle**, a body corporate constituted pursuant to the provisions of *The University of Newcastle Act 1989 (NSW)* of University Drive, Callaghan, New South Wales, 2308, Australia (**Assignor**)

**The University of Newcastle Research Associates Limited** (ABN 97 000 710 074), of Industry Development Centre, University Drive, Callaghan, New South Wales, 2308, Australia (**Assignee**)

**RECITALS**

- A. The Assignor and the Assignee are parties to the Agreement.
- B. The Assignor and the Assignee acknowledge and confirm that the Agreement effected the assignment to the Assignee of the Assignor's entire rights, title and interest in and to the Patent Rights and the Inventions.

**OPERATIVE PROVISIONS****1. INTERPRETATION****1.1 Definitions**

The following definitions apply in this document.

**Agreement** means an agreement between the Assignor and the Assignee relating to the Patent Rights and the Inventions, dated 29 May 2002.

**Patent Rights** means:

- a) International (PCT) Patent Application No. PCT/AU00/00729 filed 28 June 2000 claiming priority from Australian Provisional Patent Application No. PQ1248 filed 28 June 1999 and Australian Provisional Patent Application No. PQ8003 filed 6 June 2000;
- b) International (PCT) Patent Application No. PCT/AU01/01672 filed 21 December 2001 claiming priority from Australian Provisional Patent Application No. PR2305 filed 22 December 2000;
- c) all patent applications that have been or may be based on, or which claim priority from, or are derived from or are continuations of, any of the patent applications identified in (a) and (b) above; and
- d) all patents which may be granted pursuant to any of the patent applications identified in (a) or (b) above.

**PATENT****REEL: 020774 FRAME: 0975**

**Inventions** means the inventions disclosed in the patent applications identified in (a) and (b) above.

## **1.2 Rules for interpreting this document**

Headings are for convenience only, and do not affect interpretation. The following rules also apply in interpreting this document, except where the context makes it clear that a rule is not intended to apply.

### **(a) A reference to:**

- (i)** a document or agreement, or a provision of a document or agreement, is to that document, agreement or provision as amended, supplemented, replaced or novated;
- (ii)** a party to this document or to any other document or agreement includes a permitted substitute or a permitted assign of that party;
- (iii)** anything (including a right, obligation or concept) includes each part of it.

### **(b) A singular word includes the plural, and vice versa.**

### **(c) A word which suggests one gender includes the other genders.**

### **(d) If a word is defined, another part of speech has a corresponding meaning.**

## **2. CONFIRMATION**

### **2.1 Confirmation by the Assignor**

The Assignor acknowledges and confirms that the Agreement effected the assignment to the Assignee of the Assignor's entire right, title and interest in and to the Patent Rights and the Inventions.

### **2.2 Confirmation by the Assignee**

The Assignee acknowledges and confirms that the Agreement effected the assignment to the Assignee of the Assignor's entire right, title and interest in and to the Patent Rights and the Inventions.

## **3. GENERAL**

### **3.1 Amendment**

This document can only be amended, supplemented, replaced or novated by another document signed by the parties.

### **3.2 Governing law**

- (a)** This document is governed by the law in force in New South Wales, Australia.

- (b) Each party submits to the non-exclusive jurisdiction of the courts exercising jurisdiction in New South Wales, Australia, and any court that may hear appeals from any of those courts, for any proceedings in connection with this document, and waives any right it might have to claim that those courts are an inconvenient forum.

### 3.3 Giving effect to this document

Each party must do anything (including execute any document), and must ensure that its employees and agents do anything (including execute any document), that the other party may reasonably require to give full effect to this document.

### 3.4 Operation of this document


- (a) This document contains the entire agreement between the parties about its subject matter. Any previous understanding, agreement, representation or warranty relating to that subject matter is replaced by this document and has no further effect.
- (b) Any right that a person may have under this document is in addition to, and does not replace or limit, any other right that the person may have.
- (c) Any provision of this document which is unenforceable or partly unenforceable is, where possible, to be severed to the extent necessary to make this document enforceable, unless this would materially change the intended effect of this document.

### 3.5 Counterparts

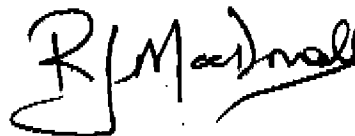
This document may be executed in counterparts.

**EXECUTED** as a deed.

**EXECUTED** by The University of  
Newcastle in the presence of:

  
\_\_\_\_\_  
Signature of witness

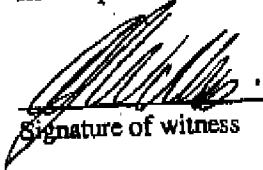
Renee Butcher  
\_\_\_\_\_  
Name (please print)



\_\_\_\_\_  
Signature

R J MacDonald  
\_\_\_\_\_  
Name (please print)

**EXECUTED** by The University of  
Newcastle Research Associates Limited  
in the presence of:

  
Signature of witness

Alison Williams  
Name (please print)

  
Signature

SOOZIE J SMITH CEO  
Name and position

PATENT