# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the execution dates of the assignors, and to remove the titles of the assignors previously recorded on Reel 019900 Frame 0596. Assignor(s) hereby confirms the assignment to OPNEXT JAPAN, INC.

### **CONVEYING PARTY DATA**

Name	Execution Date
Kazuhiro KOMATSU	02/01/2007
Yasushi SAKUMA	02/01/2007
Daisuke NAKAI	02/01/2007
Kaoru OKAMOTO	02/01/2007
Ryu WASHINO	02/01/2007

### **RECEIVING PARTY DATA**

Name:	OPNEXT JAPAN, INC.
Street Address:	216 Totsuka-cho, Totsuka-ku, Yokohama-shi
City:	Kanagawa
State/Country:	JAPAN

### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	11702203

# **CORRESPONDENCE DATA**

Fax Number: (703)684-1157

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 7036841120

Email: sbarber@msmbpatentlaw.com

Correspondent Name: John R. Mattingly

Address Line 1: 1800 Diagonal Road, Suite 370 Address Line 4: Alexandria, VIRGINIA 22314

ATTORNEY DOCKET NUMBER: POL-5699

NAME OF SUBMITTER: John R. Mattingly

PATENT REEL: 020787 FRAME: 0256

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\$40.00

V¥ H√ Total Attachments: 5 source=5699-CorrAssign#page1.tif source=5699-CorrAssign#page2.tif source=5699-CorrAssign#page3.tif source=5699-CorrAssign#page4.tif source=5699-CorrAssign#page5.tif



# UNITED STATES PATENT AND TRADEMARK OFFICE

Under Secretary of Commerce for Intellectual Property and DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 01, 2007

PTAS



\*500365890A\*

JOHN R. MATTINGLY 1800 DIAGONAL ROAD SUITE 370 ALEXANDRIA, VA 22314

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 10/01/2007

REEL/FRAME: 019900/0596

NUMBER OF PAGES: 4

BRIEF:

CORRECTIVE ASSIGNMENT TO CORRECT THE FIRST LISTED INVENTOR'S FIRST NAME IS MISSPELLED ON THE NOTICE OF RECORDATION DATED MAY 15, 2007 PREVIOUSLY RECORDED ON REEL 019291 FRAME 0851.

ASSIGNOR(S) HEREBY CONFIRMS THE ASSIGNMENT TO OPNEXT JAPAN,

INC..

DOCKET NUMBER: POL 5699

ASSIGNOR:

KOMATSU, KAZUHIRO, MR.

02/01/2007

DOC DATE: 01/02/2007

ASSIGNOR:

SAKUMA, YASUSHI, MR.

DOC DATE: 01/02/2007

ASSIGNOR:

NAKAI, DAISUKE MR.

DOC DATE: 01/02/2007

ASSIGNOR:

OKAMOTO, KAORU, MR.

DOC DATE: 01/02/2007

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JOHN R. MATTINGLY COMPANY: 700 DIAGONAL ROAD

ASSIGNOR:

WASHINO, RYU, MR. DOC DATE: 01/02/2007

019900/0596 PAGE 2

ASSIGNEE:

OPNEXT JAPAN, INC. 216 TOTSUKA-CHO, TOTSUKA-KU, YOKOHAMA-SHI KANAGAWA, JAPAN

SERIAL NUMBER: 11702203 FILING DATE: 02/05/2007

PATENT NUMBER: ISSUE DATE:

TITLE: SURFACE ILLUMINATED PHOTODIODE AND OPTICAL RECEIVER MODULE

KIMBERLY WHITE, EXAMINER ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

# **PATENT ASSIGNMENT**

Electronic Version v1.1 Stylesheet Version v1.1 10/01/2007 500365890

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the first listed inventor's first name is misspelled on the Notice of Recordation dated May 15, 2007 previously recorded on Reel 019291 Frame 0851. Assignor(s) hereby confirms the assignment to OPNEXT JAPAN, INC

#### **CONVEYING PARTY DATA**

Name	Execution Date
Mr. Kazuhiro Komatsu	01/02/2007
Mr. Yasushi Sakuma	01/02/2007
Mr. Daisuke Nakai	01/02/2007
Mr. Kaoru Okamoto	01/02/2007
Mr. Ryu Washino	01/02/2007

#### **RECEIVING PARTY DATA**

Name:	OPNEXT JAPAN, INC.
Street Address:	216 Totsuka-cho, Totsuka-ku, Yokohama-shi
City:	Kanagawa
State/Country:	JAPAN

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	11702203

# **CORRESPONDENCE DATA**

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Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

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ATTORNEY DOCKET NUMBER:

POL\_5699

PATENT REEL: 020787 FRAME: 0260 340.00 1171

JOHN R. MATTINGLY COMPANY: DO DIAGONAL ROAD

NAME OF SUBMITTER:

John R. Mattingly

Total Attachments: 2

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PATENT

**REEL: 020787 FRAME: 0261** 

# ASSIGNMENT (譲渡証)

As a below named inventor, I hereby declare that:

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me citizen of Japan by OPNEXT JAPAN, INC., a corporation organized under the laws of Japan, located at 216 Totsuka-cho, Totsuka-ku, Yokohama-shi, Kanagawa, Japan, receipt of which is hereby acknowledged I do hereby sell and assign to said OPNEXT JAPAN, INC., its successors and assigns, all my right, title and interest, in and for the United States of America, in and to

# Surface Illuminated Photodiode and Optical Receiver Module

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said OPNEXT JAPAN, INC., its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said OPNEXT JAPAN, INC.,

Signed on the date(s) indicated aside signatures:

**RECORDED: 04/10/2008** 

INVENTOR(S) (発明者フルネームサイン)	Date Signed (署名日)
1) Kazuhiro KOMATSU	2/1/2007
2) Yasushi SAKUMA	2/1/2007
3) Daisuke NAKAI	2/1/2007
4) Kaoru Okamoto	2/1/2007
5) Ryu WASHINO	2/1/2007
6)	
7)	
8)	
9)	
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