

04-14-2008

U.S. PTO

11/983601

11/09/2007

11-9-07



103496397

rents or the new address(es) below.

To the Director of the U.S. Patent and

1. Name of conveying party(ies)/Execution Date(s):

Edward F. Stephens

Execution Date(s): August 31, 2006

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Northrop Grumman Corporation

Internal Address:

Street Address:

1840 Century Park East

City: Los Angeles

State: California

Country: United States of America Zip: 90067-2199

Additional name(s) & address(es) attached: ☐ Yes ☒ No

4. Application or patent number(s):



This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/09/07

Additional numbers attached?

☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Daniel J. Burnham
NIXON PEABODY LLP.

Internal Address:

Street Address: 161 North Clark Street., 48th Floor
NEMESS1 00000016 11983601

40.00 BP

City: Chicago

State: Illinois Zip: 60601-3213

Phone Number: (312) 425-3900

Fax Number: (312) 425-3909

Email Address: dburnham@nixonpeabody.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed (part of filing fee)
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number

50-4181

Authorized User Name

9. Signature:

Signature

November 9, 2007

Date

Daniel J. Burnham - Reg. No. 39,618

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Assignment Recordation Services, Director- U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: November 9, 2007

Signature:

Christine Pisarski

10797859.1

PATENT

REEL: 020800 FRAME: 0531

ASSIGNMENT

WHEREAS, I, Edward F. Stephens, hereinafter referred to as Assignor
together with Northrop Grumman Corporation
have jointly invented certain new and useful improvements in

LASER DIODE PACKAGE WITH AN INTERNAL FLUID COOLING CHANNEL

described in an application for United States Letters Patent as Serial No. 11/077,488 filed on March 10, 2005;

WHEREAS, Northrop Grumman Corporation, a Delaware corporation, having its principal place of business at 1840 Century Park East, Los Angeles, California 90067, hereinafter referred to as Assignee, is desirous of acquiring the entire right, title and interest in, to and under said improvements and said application:

NOW, THEREFORE, in consideration of Assignor's obligations to Northrop Grumman Corporation, and for other good and valuable consideration, the receipt and sufficiency is hereby acknowledged, Assignor has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, Assignor's entire right, title and interest in, to and under said improvements, and said application and all divisions, renewals, continuations, and continuations-in-part thereof, and all United States Letters patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the full right to claim for any such application the priority benefits of the International Convention for the Protection of Industrial Property and other priority-conferring treaties, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, divisions, continuations, continuations-in-part, renewals and reissues thereof; and Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to said Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

Assignor hereby acknowledges that at the time of making the improvements herein identified, Assignor was under an obligation to assign to Assignee, Assignor's entire right, title and interest in, to and under said improvements;

Assignor hereby covenants that Assignor has full right to convey the interest herein assigned, and that Assignor has not executed, and will not execute, any agreement in conflict herewith and that Assignee, Northrop Grumman Corporation, its successors, assigns and other legal representatives shall have the right to hold and enjoy for its and their own use and benefit, to the end of the term or terms for which such Letters Patent shall or may be granted, reissued or extended as fully and completely as the same right or could have been held and enjoyed by Assignor had this Assignment not been made.

Assignor hereby further covenants and agrees to communicate to Assignee, its successors, legal representatives and assigns, any and all facts known to Assignor respecting said improvements; to promptly provide Assignor with all documents relating to said improvements, said invention, and said Letters Patent as may be known or accessible to Assignor; to testify as to the same in any interference or litigation or other legal proceedings related thereto; to sign all lawful papers, execute all extensions, continuations, continuations-in-part, divisional and reissue applications; and to make all rightful oaths or declarations and generally do everything possible to aid Assignee, its successors, legal representatives and assigns in obtaining and enforcing all the rights herein conveyed for said improvements in all countries without further compensation, but at the expense of Assignee, its successors, legal representatives and assigns.

IN WITNESS WHEREOF, the undersigned has executed and delivered this instrument this 31st day of August, 2006;

Edward F. Stephens

Edward F. Stephens
Assignor's Signature

STATE OF Missouri

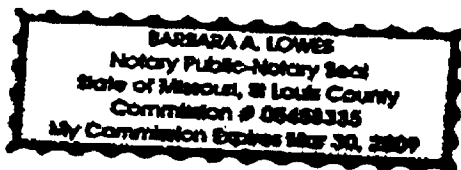
COUNTY OF St. Louis) ss

On 8-31-06 before me, BARBARA A. LOWES, personally appeared Edward F. Stephens, personally known to me - or - proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to this instrument and acknowledge to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument to be the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Barbara A. Lowes
Notary's Signature

(Seal)



FILED W/ MPIN 7/9/07

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

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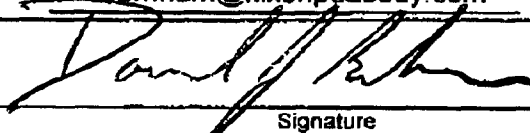
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