

## PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Yukinori Yamamoto	04/11/2008
RECEIVING PARTY DATA	
Name:	UT-Battelle, LLC
Street Address:	One Bethel Valley Road
Internal Address:	4500N, MS-6258
City:	Oak Ridge
State/Country:	TENNESSEE
Postal Code:	37831-6258
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12103837
CORRESPONDENCE DATA	
Fax Number:	(865)574-0381
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	(865) 574-4178
Email:	bowmanke@ornl.gov
Correspondent Name:	Joseph A. Marasco
Address Line 1:	One Bethel Valley Road
Address Line 2:	4500N, MS-6258
Address Line 4:	Oak Ridge, TENNESSEE 37831-6258
ATTORNEY DOCKET NUMBER:	1956 UTRF
NAME OF SUBMITTER:	Karen E. Bowman
Total Attachments: 3 source=1956 ORAU Assignment#page1.tif source=1956 ORAU Assignment#page2.tif	

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PATENT  
REEL: 020811 FRAME: 0769



**RECORDATION FORM COVER SHEET**  
**PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Yukinori Yamamoto

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 4/11/2008

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Oak Ridge Associated Universities

Internal Address: \_\_\_\_\_

Street Address: 120 Badger Avenue

City: Oak Ridge

State: TN

Country: USA Zip: 37830

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

12/103,837

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Joseph A. Marasco

Internal Address: UT-Battelle, LLC

Street Address: One Bethel Valley Road

4500N, MS-6258

City: Oak Ridge

State: TN Zip: 37831-6258

Phone Number: (865) 574-4178

Fax Number: (865) 574-0381

Email Address: marascoja@ornl.gov

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 13-1958

Authorized User Name Joseph A. Marasco

**9. Signature:**

/Joseph A. Marasco/

Signature

4/16/2008

Date

Joseph A. Marasco, Reg. No. 32798

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

**3**

## ASSIGNMENT

WHEREAS, I, the below-named inventor whose residence and post office are as stated below, am a co-inventor of certain new and useful improvements in

### **High Nb, Ta, and Al Creep- and Oxidation-Resistant Austenitic Stainless Steels**

(hereinafter referred to as "Subject Invention") for which an application for United States Letters Patent was executed by me on even date herewith, and

WHEREAS, Oak Ridge Associated Universities, the not-for-profit operating contractor of the U.S. Department of Energy's Oak Ridge Institute for Science and Education under it prime contract No. DE-AC05-06OR23100, hereinafter referred to as "ASSIGNEE," is desirous of acquiring the entire right, title and interest of the undersigned in the Subject Invention and to any Letters Patent that may be granted therefor in the United States of America and in any and all foreign countries.

NOW, THEREFORE, in accordance with the terms of my employment agreement with ASSIGNEE and for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to ASSIGNEE my entire right, title and interest in the Subject Invention, to all rights of priority thereto pursuant to the International Convention for the Protection of Industrial Property, and in any Letters Patent on the Subject Invention that may be granted in the United States of America and in any and all foreign countries, including any and all Letters Patent that are divisions, reissues, continuations, substitutions or extensions of any Letters Patent or applications therefor on the Subject Invention.

I hereby authorize and request the Commissioner of the United States Patent and Trademark Office and the officials of any and all foreign countries to issue any and all of said Letters Patent, when granted, to the ASSIGNEE.

Further, I hereby agree to communicate to said ASSIGNEE or its representatives any facts known to us respecting said Subject Invention, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, to execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, and to make all rightful oaths and generally do everything possible to aid said ASSIGNEE and its representatives to obtain and enforce proper protection for said Subject Invention in the United States of America and in any and all foreign countries.

I further agree that the terms, covenants and conditions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns, and legal representatives, and shall be binding on me, my heirs, legal representatives, and assigns.

Full name of inventor Yukinori Yamamoto

Signature: [Signature] Date: 4/11/2008

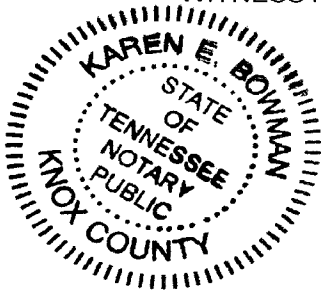
Residence: 790 Emory Valley Road, #608, Oak Ridge, Tennessee 37830

Post Office Address: 790 Emory Valley Road, #608, Oak Ridge, Tennessee 37830

State of Tennessee     )  
County of Roane        )

On this 11th day of April, 2008, before me, a Notary Public in and for the County and State aforesaid, appeared **YUKINORI YAMAMOTO** to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.



SEAL

[Signature]  
Notary Public

My Commission Expires: **My Commission Expires June 5, 2010**