

Form PTO-1595 (Rev. 07/05)  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents, or the new address(es) below.

### 1. Name of conveying party(ies)

Yousuke Toujo, Kazuyuki Miyazawa, Taketoshi Kanda, Hiroshi Kutsuna, Kenichi Sakuma, Masayoshi Wada, and Yukimitsu Suda

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 16, 18 and 19, 2006

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: Shiseido Co., Ltd.

Internal Address: \_\_\_\_\_

Street Address: 5-5, Ginza 7-chome, Chuo-ku

City: Tokyo

State: \_\_\_\_\_

Country: JAPAN Zip: 104-8010

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)  
10/580,874

☐ This document is being filed together with a new application.  
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: TOWNSEND & BANTA

Internal Address: \_\_\_\_\_

Street Address: c/o PortfolioIP, P.O. Box 52050

City: Minneapolis

State: Minnesota Zip: 55402

Phone Number: 202-220-3124

Fax Number: 202-478-0844

Email Address: \_\_\_\_\_

### 6. Total number of applications and patents involved: 1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 20-1424

Authorized User Name Donald Townsend

### 9. Signature:

Donald E. Townsend, Jr.  
Signature

April 16, 2008

Date

Donald E. Townsend, Jr.  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

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PATENT  
REEL: 020814 FRAME: 0689

TOS-165-USA PC

ASSIGNMENT

WHEREAS I/WE, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

PHOSPHORYLCHOLINE GROUP-CONTAINING COMPOUND AND SURFACE MODIFYING AGENT  
(Title of Invention)

COMPOSED OF SUCH COMPOUND

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on May 25, 2006 (Serial No. 10/580,874); and

WHEREAS, SHISEIDO COMPANY, LTD.

a corporation of JAPAN (Full Name of Assignee)  
(Country of Incorporation) whose post office address is 5-5, GINZA 7-CHOME, CHUO-KU,

TOKYO 104-8010 JAPAN

(hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which the assignee is hereby acknowledged, I/We, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with assignee, its successors and assigns, any facts known to me/us respecting his invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation-in-part, continuation prosecution and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States; it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hand(s).

1. Full Name of Sole or First Assignor <b>Yousuke TOUJO</b>	Assignor's Signature <i>Yousuke Toujo</i>	Date <i>May. 16, 2006</i>
Address: C/O SHISEIDO RESEARCH CENTER (SHIN-YOKOHAMA) 2-1, HAYABUCHI 2-CHOME, TSUZUKI-KU, YOKOHAMA-SHI, KANAGAWA 224-8558 JAPAN		Citizenship <b>JAPANESE</b>
2. Full Name of Second Assignor, if any <b>Kazuyuki MIYAZAWA</b>	Assignor's Signature <i>Kazuyuki Miyazawa</i>	Date <i>May. 18, 2006</i>
Address: C/O SHISEIDO RESEARCH CENTER (SHIN-YOKOHAMA) 2-1, HAYABUCHI 2-CHOME, TSUZUKI-KU, YOKOHAMA-SHI, KANAGAWA 224-8558 JAPAN		Citizenship <b>JAPANESE</b>
3. Full Name of Third Assignor, if any <b>Taketoshi KANDA</b>	Assignor's Signature <i>Taketoshi Kanda</i>	Date <i>May. 18, 2006</i>
Address: C/O SHISEIDO RESEARCH CENTER (SHIN-YOKOHAMA) 2-1, HAYABUCHI 2-CHOME, TSUZUKI-KU, YOKOHAMA-SHI, KANAGAWA 224-8558 JAPAN		Citizenship <b>JAPANESE</b>
4. Full Name of Fourth Assignor, if any <b>Hiroshi KUTSUNA</b>	Assignor's Signature <i>Hiroshi Kutsuna</i>	Date <i>May. 18, 2006</i>
Address: C/O SHISEIDO RESEARCH CENTER (SHIN-YOKOHAMA) 2-1, HAYABUCHI 2-CHOME, TSUZUKI-KU, YOKOHAMA-SHI, KANAGAWA 224-8558 JAPAN		Citizenship <b>JAPANESE</b>
5. Full Name of Fifth Assignor, if any <b>Kenichi SAKUMA</b>	Assignor's Signature <i>Kenichi Sakuma</i>	Date <i>May. 18, 2006</i>
Address: C/O SHISEIDO RESEARCH CENTER (SHIN-YOKOHAMA) 2-1, HAYABUCHI 2-CHOME, TSUZUKI-KU, YOKOHAMA-SHI, KANAGAWA 224-8558 JAPAN		Citizenship <b>JAPANESE</b>

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PATENT

REEL: 020814 FRAME: 0690

TOS-165-USA-PC

# ASSIGNMENT

WHEREAS I/WE, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

PHOSPHORYLCHOLINE GROUP-CONTAINING COMPOUND AND SURFACE MODIFYING AGENT  
(Title of Invention)

COMPOSED OF SUCH COMPOUND

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on \_\_\_\_\_ (Serial No. \_\_\_\_\_); and

WHEREAS, SHISEIDO COMPANY, LTD.

(Full Name of Assignee)

a corporation of JAPAN

(Country of Incorporation)

whose post office address is 5-5, GINZA 7-CHOME, CHUO-KU,

TOKYO 104-8010 JAPAN

(hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which the assignee is hereby acknowledged, I/We, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of Assignment:

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with assignee, its successors and assigns, any facts known to me/us respecting his invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation-in-part, continuation prosecution and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hand(s).

1. Full Name of Sole or First Assignor <b>Masayoshi WADA</b>		Assignor's Signature <i>Masayoshi Wada</i>	Date <i>May. 19. 2006</i>
Address C/O SHISEIDO RESEARCH CENTER (SHIN-YOKOHAMA) 2-1, HAYABUCHI 2-CHOME, TSUZUKI-KU, YOKOHAMA-SHI, KANAGAWA 224-8558 JAPAN		Citizenship <b>JAPANESE</b>	
2. Full Name of Second Assignor, if any <b>Yukimitsu SUDA</b>		Assignor's Signature <i>Yukimitsu Suda</i>	Date <i>May. 19 2006</i>
Address C/O SHISEIDO RESEARCH CENTER (SHIN-YOKOHAMA) 2-1, HAYABUCHI 2-CHOME, TSUZUKI-KU, YOKOHAMA-SHI, KANAGAWA 224-8558 JAPAN		Citizenship <b>JAPANESE</b>	
3. Full Name of Third Assignor, if any		Assignor's Signature	Date
Address		Citizenship	
4. Full Name of Fourth Assignor, if any		Assignor's Signature	Date
Address		Citizenship	
5. Full Name of Fifth Assignor, if any		Assignor's Signature	Date
Address		Citizenship	

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RECORDED: 04/16/2008

REEL: 020814 FRAME: 0691